

CITY OF NORWICH
COMMUNITY DEVELOPMENT BLOCK GRANT • APPLICATION FOR FUNDING
PUBLIC SERVICES

PROGRAM YEAR 2023 (PY 49) • SEPTEMBER 1, 2023 – AUGUST 31, 2024

DUE: Friday February 10th, 2023 AT 4 PM AT 23 UNION STREET, NORWICH, 2ND FLOOR

Office of Community Development
 23 Union Street, 2nd floor • Tel (860) 823-3770 • Fax (860) 823-3715

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PART I: GENERAL INFORMATION

AGENCY: Safe Futures, Inc.

LEGAL NAME
 (if different from Agency) _____

ADDRESS: 16 Jay Street
New London, CT 06320

E-MAIL: msoussloff@safefuturesct.org

EXECUTIVE DIRECTOR: Katherine Verano, Chief Executive Officer

CONTACT NAME AND TITLE: Margaret N. Soussloff, Chief Operating Officer

TELEPHONE: (860) 447-0366 ext. 214

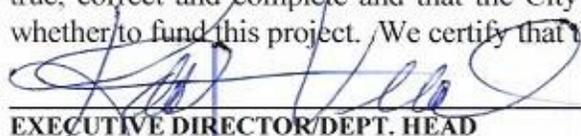
AGENCY FISCAL YEAR: 7/1 _____ 6/30 _____
 Begin End

PROGRAM OR PROJECT NAME: Norwich Domestic Violence Response Team (NDVRT)

CDBG REQUEST & AWARD AMOUNTS:

	REQUEST	AWARD
UPCOMING FISCAL YEAR (This Request) (September 1, 2023 – August 31, 2024)	<u>\$15,000</u>	\$ _____
CURRENT FISCAL YEAR (Prior Year Award) (September 1, 2022 – August 31, 2023)	<u>\$15,000</u>	<u>\$15,000</u>

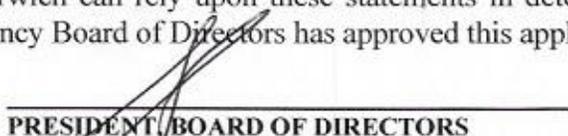
The information contained herein and attached as exhibits hereto is, to the best of our knowledge and belief, true, correct and complete and that the City of Norwich can rely upon these statements in determining whether to fund this project. We certify that the Agency Board of Directors has approved this application.



EXECUTIVE DIRECTOR/DEPT. HEAD

Katherine Verano, Chief Executive Officer
 Printed Name

2-7-23
 DATE



PRESIDENT/BOARD OF DIRECTORS

Gregory Mass sd
 Printed Name

2/7/23
 DATE

PART II: PROGRAM INFORMATION

A. INTRODUCTION/AGENCY INFORMATION

A1. Brief History

Safe Futures was established as the Women’s Center of Southeastern Connecticut, Inc. in 1976 to be a resource center and rape crisis hotline for women. In 1978, Genesis House, a shelter for battered and abused women, was opened and a 24-hour hotline was established. Phoenix House, the first domestic violence transitional living program in Connecticut, opened in 1991, and violence-prevention education programs were added in 1992. In 2004, the agency created a domestic violence team in partnership with the Norwich Police Department as part of the Victim Advocate Law Enforcement (VALE) program. In 2007, the agency helped to establish a dedicated domestic violence docket in the New London court and created the first domestic violence supportive housing program in Connecticut that provides intensive case management to chronically homeless families affected by trauma. In 2012, the Women’s Center changed its name to Safe Futures to better reflect those being served, the full scope of programs, and to highlight the importance of violence prevention and breaking the cycles of violence in our work. Also in 2012, the agency, in collaboration with Connecticut Coalition Against Domestic Violence, piloted the Lethality Assessment Program (LAP) with four local municipal law enforcement agencies, which is now a statewide program. In 2016, the agency expanded the transitional living program to include scattered-sites. In 2018, the agency was recognized nationally as being the first domestic violence shelter in Connecticut to accept victims with pets. In 2019, the agency acquired the Norwich-based Bethsaida Community, Inc. with their on-site programs Katie Blair House and Flora O’Neil Apartments. Also in 2019, the agency, in collaboration with CCADV, implemented a Rapid Re-housing program as well as expanding the Law Enforcement program regionally. In 2020, the agency implemented Camp HOPE America-Safe Futures for children impacted by family violence and embarked on the development of The Center for Safe Futures, following the national family justice center model, with Alliance for Hope International and community partners. In 2021, the agency purchased land in Waterford for the project through a bequest and a targeted gift along with establishing a VOICES group for survivors to advise the development of the center. Additionally, the agency implemented a year-round Pathways Mentoring Program, as part of Camp HOPE America-Safe Futures. In 2022, Safe Futures CEO, Katherine Verano, was appointed by CT Governor Lamont and CT General Assembly to serve a 4-year term on the Judicial Review Council. Also in 2022, the agency began a Feasibility Study and Capital Campaign for The Center.

The mission of Safe Futures is to save lives, restore hope, and change the future for those impacted by domestic violence, sexual assault, stalking, and trafficking in southeastern Connecticut.

Our ultimate vision is to eliminate violence in our community so that southeastern Connecticut becomes a place:

- Where violence and abuse are not tolerated;
- Where all victims and survivors are able to get the support and resources they need to create a new life for themselves; and
- Where all children and teens feel safe, and learn the skills to establish healthy friendships and dating relationships.

Safe Futures is a 501(c)(3) nonprofit organization. Management of Safe Futures is the primary responsibility of the CEO, with oversight from the Board of Directors. The Director of Crisis Counseling & Camp HOPE, Director of Residential Programs, Director of Education & Engagement, and the Associate Directors of Court Support Services oversee all direct service programs and financial management is the primary responsibility of the CFO, with oversight from the CEO and the Board of Directors.

Safe Futures provides crisis services, counseling, court support, and housing for all victims of domestic violence, sexual assault, stalking, and trafficking in Southeastern Connecticut. All services are free and confidential; provided by Certified Domestic Violence Crisis Counselors; provided with equality, equity, liberation, and inclusion; survivor-centered; trauma-informed; and voluntary to those in need regardless of income level, age, ability, religion, ethnicity, gender, and sexual identity. Safe Futures, deemed an “essential service”, remains operational at all times. Last year, Safe Futures served: close to 10,000 individuals seeking counseling and court support services for domestic violence, sexual assault, stalking and trafficking; over 5,000 victims in court with 35% of the criminal docket being domestic violence cases; 202 women, children, and men, along with their pets, who were homeless due to domestic violence, in our emergency shelter (or in hotels when the shelter was full) and 168 victims in our on-site or scattered transitional housing programs, on-site or scattered site permanent supportive housing, rapid re-housing program, or on-site short-term safe living program; and over 1,700 students and 40 educators and community members participating in our prevention education programs.

Through the Lethality Assessment Program (LAP), area police officers assess an intimate partner domestic violence victim on scene to determine if they are at risk of being murdered. If a victim is at high risk, police call a dedicated phone line at Safe Futures. Last year, of the 872 Lethality Screens administered by area police, officers called in 527, and 75% (397/527) of victims immediately utilizing services at Safe Futures with the Law Enforcement Advocate Coordinator following up with all.

A2. Hours of Operation

Safe Futures provides services during the following hours of operation:

- New London Counseling & Advocacy Office - Monday through Friday, 9 am to 5 pm.
- Norwich Counseling & Advocacy Office – Monday through Friday, 9 am to 5 pm. (For Fiscal Year 2023-partially funded with City of Norwich CDBG PY 48 funds. Continuation of program based on available funding for Fiscal Year 2024 and CDBG PY 49 funds.)
- Family Violence Victim Advocates (FVVAs) in the New London and Norwich Criminal Courts – Monday through Friday, 9 am to 5 pm.
- Civil Family Violence Victim Advocates (CFVVAs) in the New London and Norwich Civil Courts - Monday through Friday, 9 am to 5 pm.
- Victim Advocate Law Enforcement (VALE) program located in the Norwich Police Department - varying days, 7 hours/week (For Fiscal Year 2023- partially funded with City of Norwich CDBG PY 48 funds. Continuation of program based on available funding for Fiscal Year 2024 and CDBG PY 49 funds.) For Fiscal Year 2023, due to the impact of the exemplary Norwich VALE program, Safe Futures again received funding from Connecticut Coalition Against Domestic Violence (CCADV) to mirror the VALE program regionally. Continuation of the regional VALE program in Fiscal Year 2024 is unknown at this time).
- Domestic Violence Hotline – Available 24 hours/day, 365 days/year.
- Lethality Assessment Program dedicated phone line for law enforcement – Available 24 hours/day, 365 days/year.
- Emergency domestic violence shelter and case management services - Available 24 hours/day, 365 days/year.
- Nurse Advocate to assess victim’s medical needs, schedule as needed.
- Child and Family Advocate to support children and their families to be healthy and safe, schedule as needed.
- LBGTQ+ Advocate to better meet population’s needs, schedule as needed.
- Camp HOPE Safe Futures/Pathways Mentor Program for children exposed to domestic violence, held during summer for 1-week overnight camp and monthly meetings during year.

- Protect Our Pets allowing victims pets to stay with them in all our housing programs and supports our therapy dogs, Luna and Gizmo, and our working/companion cats, Jill and Milo.
- Transitional Living Program, on-site, located in New London – Case Management services available 35 hours/week.
- Supportive Housing Program, scattered site, office located in Norwich – Case Management services available 35 hours/week.
- Supportive Housing Program, on-site, located in Norwich-Case Management services available 35 hours/week.
- Short-Term safe living program for victims on their journey to recovery from substance use disorder, on-site, located in Norwich – Case Management services available +35 hours/week.
- Rapid Re-housing Program, scattered site, office located in New London-Case Management services available 35 hours/week.
- Domestic Violence and Self-Esteem support groups located in Norwich and New London - 1 evening in Norwich and 1 evening in New London/week.
- Community Education and Outreach programs, including violence-prevention education programs, throughout New London County by appointment.

A3. Total number of persons employed

Total employees is forty-three (43), with twenty-nine (29) full-time and fourteen (14) part-time.

A4. Person responsible for compliance

Katherine Verano, Chief Executive Officer

A5. Federal Funding

In Fiscal Year 2020-2021, the total of Federal Funds Safe Futures received was \$1,081,721. This was above the Federal Single Audit threshold of \$750,000. Safe Futures had a Federal Single audit for fiscal year 20-21. There were no material weaknesses; no significant deficiencies and no matters of noncompliance noted, as well as no exceptions to testing. Safe Futures expects to be awarded over \$750,000 in Federal Funds in fiscal year 2021-2022.

B. STATEMENT OF NEED

B1. Need Statement

Domestic violence (DV) is a pattern of abusive behavior in a relationship where one partner tries to control and dominate the other. Abusers use a variety of tactics to instill a sense of fear/helplessness and to lower the self-esteem of their victims including physical harm, financial/occupational restriction, sexual abuse or rape, gaslighting (a form of manipulation where a victim questions their sense of reality and/or memories) or emotional battering/belittling. Victims are often isolated from outside resources and intimidated by their abuser's threats to harm them, or their children, if they do seek help, creating an environment that is nearly impossible to leave.

Research shows that economic dependency is the strongest predictor of a victim of DV returning to an abusive relationship, even if they know their safety is in jeopardy by doing so. The unemployment rate across the country and the state is considerably low but still higher in Norwich and New London. For December 2022 (latest rates available) the unemployment rate in U.S. was 3.3%; CT was 3.1%; New London County (Safe Futures service area) was 3.2%; City of Norwich was 3.7%; and City of New London was 4.6%.¹ The latest United Way ALICE (Asset Limited, Income Constrained) report (2020) indicates that

¹Connecticut Department of Labor, <http://www1.ctdol.state.ct.us/lmi/laus/lmi123.asp>

33% of households in southeastern Connecticut either earn more than the Federal Poverty Level, but less than the basic cost of living for the area or are poverty-level households, while in Norwich it is 44%.² Connecticut Family Violence 2021 Arrest Report notes: of the 169 towns in CT, Norwich had the highest family violence offense rate per capita in the state (2,550 per 100,000 pop.) with 85% being intimate partner arrest incidents. New London ranked third (1,799 per 100,000 pop.) with 86.2% being intimate partner arrest incidents.³ In 2015, of the 8 intimate partner homicides in CT, 25% (2/8) were from New London County, with one being from Norwich.⁴ In 2016, of the 12 intimate partner homicides in CT, one occurred in Norwich as well.⁵ In 2018, of the 14 intimate partner homicides in CT, 2 were from New London County.⁶ Also, for 2019, there were 13 intimate partner homicides in CT, with one occurring in New London County.⁷ In 2020 and 2021, there was 1 intimate partner homicide each year in Norwich.⁸ In 2022, there were four intimate partner homicides in southeastern Connecticut (a 300% increase from the previous year). Further, through the Lethality Assessment Program (LAP), area police officers assess a domestic violence victim at the scene to determine if they are at high risk of being murdered. If a victim is at high risk, they call a dedicated phone line at Safe Futures. The Norwich Police Department was one of the first to join the LAP in September 2012. Last year, of the 192 Lethality Screens administered by Norwich police, officers called in 101 that were of high risk of being murdered with immediately connecting 68 victims to Safe Futures at the time of the incidence, with all victims being followed up by the VALE advocate.

Norwich residents comprise about 25% of those who receive services from Safe Futures each year, and the Norwich DV Response Team (NDVRT) was created in 2004 to provide services specifically in Norwich and the surrounding towns. The original NDVRT consisted of Family Violence Victim Advocates (FVVAs) in the Norwich court, a Victim Advocate Law Enforcement (VALE) Advocate in the Norwich Police Department, weekly support groups at the Madonna Place, 24-hour hotlines, and a full-time walk-in Counseling office. In March 2019, Safe Futures acquired the Norwich-based Bethsaida Community, Inc. and their on-site programs Katie Blair House (KBH), a short-term safe living program for women who are victims of domestic violence, sexual assault, stalking and trafficking on their journey to recovery from substance use disorder, and Flora O'Neil Apartments (FON), permanent supportive housing. The addition of these programs further strengthens NDVRT with residential options.

In 2009, reduced funding forced Safe Futures to close the full-time Norwich walk-in Counseling office. As a result, Norwich residents had to travel to New London to receive services from Safe Futures, greatly reducing who had access to walk-in services. In September 2012, with support from Norwich CDBG, we were able to restore a part-time office within the Center for Mental Health at the William W. Backus Hospital. A crisis counselor worked there two days/week. Since April 2015, through collaborative efforts, and, by leveraging several resources along with Norwich CDBG, we've had a full-time counseling office located at 241 Main Street, Norwich, staffed Monday-Friday, 9am-5pm.

In recent years, the VALE Program was primarily supported by fundraising dollars and a few private foundation grants. Unfortunately, for Fiscal Year 2016, the State of Connecticut restructured a grant we received for 20 years, and we were no longer deemed eligible. To replace the lost of these state funds, we had to redirect fundraising dollars. As a result, this necessitated the suspension of the VALE Program in July 2015. Fortunately, with the support of Norwich CDBG Re-Allocation funds, VALE services were reinstated

² United Way Alice Report-Connecticut, <http://bit.ly/ConnALICE>

³ Connecticut Family Violence 2021 Arrest Report, State of Connecticut Department of Emergency Services and Public Protection.

⁴ Upon Further Examination, 2015-2016 Findings & Recommendations, Connecticut Domestic Violence Fatality Review Committee, www.ctcadv.org.

⁵ Upon Further Examination, 2017-2018 Report of the Connecticut Domestic Violence Fatality Review Task Force, www.ctcadv.org.

⁶ Connecticut Family Violence 2018 Homicide Report, State of Connecticut Dept. of Emergency Services and Public Protection, published June 2019.

⁷ Upon Further Examination, 2019-2020 Report of the Connecticut Domestic Violence Fatality Review Task Force, www.ctcadv.org.

⁸ Connecticut Family Violence 2020 and 2021 Homicide Report, State of Connecticut Dept. of Emergency Services and Public Protection..

in December 2015. Even after the CDBG Re-Allocation funds ended in 5/31/16, Safe Futures was able to continue VALE until the start of awarded funds from Norwich CDBG Program Year 42, 9/1/16, which also supported the crisis counselor at the Norwich office. We were also awarded funds from Norwich CDBG Program Year 43, beginning 9/1/17, Program Year 44, beginning 9/1/18, Program Year 45, beginning 9/1/19, Program Year 46, beginning 9/1/20, Program Year 47, beginning 9/1/21, and Program Year 48, beginning 9/1/22 for both programs. With over 100 victims from Norwich referred to VALE in CDBG Program Year 47, the need continues.

B2. Uniqueness of services

Safe Futures provides services exclusively for victims of domestic violence, sexual assault, stalking, and trafficking, and their families in southeastern Connecticut. There are no other agencies in the Norwich area that offer similar services, being a member agency of Connecticut Coalition Against Domestic Violence (CCADV) and having certified Domestic Violence Counselors/Advocates available at our walk-in office and at the Norwich Police Department to work alongside police officers when they are responding to domestic violence calls.

B3. Address Community Needs

Since April 2015, to better address the needs of Norwich victims, Safe Futures has leveraged several funding sources, including Norwich CDBG funding, to lease an office space on Main Street in downtown Norwich, providing walk-in services five days/week. Due to lack of funding, the VALE Program was not staffed from July 2015 to December 2015, even though there was a need. With the support of the City of Norwich, CDBG Re-Allocation funds, we were able to reinstate VALE services in December 2015. Although this funded ended 5/31/16, Safe Futures made a concerted effort to continue the program when we were notified we would be receiving City of Norwich, Program Year 42 CDBG funds, beginning 9/1/16, and were successful. Safe Futures also received Program Year 43 CDBG funds, beginning 9/1/17, Program Year 44 CDBG funds, beginning 9/1/18, Program Year 45 CDBG funds, beginning 9/1/19, Program Year 46 CDBG funds, beginning 9/1/20, Program Year 47 funds, beginning 9/1/21, and Program Year 48 funds, beginning 9/1/22 for both the counseling office services and VALE. Hence, the VALE program in partnership with the Norwich Police Department has been available once again since December 2015.

As a member agency of CCADV, Safe Futures collaborates with the 17 other Connecticut member agencies and the network of domestic violence agencies nationwide to ensure safety for all victims of domestic violence. Several program staff are active participants in CCADV work groups in their areas of expertise. Safe Futures has a strong history of collaboration with federal, state, and local community providers as well to ensure that services to victims are provided safely, holistically, efficiently and effectively. We are involved in several initiatives that better serve victims by collaborating with law enforcement, first responders and other community providers.

We are members of Connecticut Statewide Model Policy task force. Safe Futures CEO, Director of Child & Family Advocacy and Camp HOPE, and Associate Director of Court Support Services-New London, are certified as a Police Officer Standards and Training Council (POSTC) Instructor. Safe Futures is part of a team that includes a local police department's Sergeant, another local department's officer, and the Assistant State's Attorney from GA10 that conducts monthly Law Enforcement Council (LEC) training (September through May). The two-hour LEC Training is for law enforcement's professional development in order to keep current in Connecticut domestic violence law. The training is part of the state mandated recertification training for all sworn officers and supervisors (such as from Norwich Police Department) through the LEC of Eastern Connecticut. The LEC covers twenty-six towns in Southeastern and Eastern Connecticut.

Safe Futures CEO and Associate Director of Court Support Services-New London are International, National, and Statewide Lethality Assessment Program trainers. With CCADV Director of Law Enforcement Services and a local police department's officer they regularly conduct "LAP Train the Trainer" presentations, in Connecticut and other states. Safe Futures CEO has also traveled to China to train law enforcement on LAP. The training covers the history of LAP, how the screening questions were developed, and the importance of conducting the screen when working with victim/survivors. The training is attended by law enforcement professionals and advocates. One of the training goals is that advocates and law enforcement get a better understanding of what they each do in providing immediate crisis intervention and safety planning for victims, so victims are connected to all the services they need to be safe, including receiving follow-up from advocates. Training together ensures that the best possible services are being offered and explained to the victim. At the end of the training, attendees are considered certified in LAP and are able to provide their individual teams with LAP training and information.

B4. Waiting List

Safe Futures' programs strive to provide services at the moment victims seek support, and a 24-hour hotline, answered by a certified Domestic Violence Counselor/Advocate, are available for the times when a staff member is not. Currently, walk-in services in Norwich are provided Mondays through Fridays from 9am to 5pm in our office in downtown. The VALE program certified Domestic Violence Counselor/Advocate is available 1 day a week to work alongside Norwich police officers when they are responding to DV calls, providing immediate crisis intervention and advocacy to victims during an investigation, and for follow-up services.

C. PROGRAM DESCRIPTION

C1. General Description

For Program Year 49, funding from Norwich CDBG is requested to partially support a certified Domestic Violence Counselor/Advocate position in Norwich which provide services five days/week in our walk-in office and a VALE Advocate to work with the Norwich Police through the VALE Program one day a week.

Domestic Violence Counselors/Advocates provide immediate support and, when appropriate, crisis intervention services. The main objective of the Counseling program is to listen, assess the degree of danger, safety plan, and provide information and referrals that can best meet the victim's needs. Contrary to popular belief, getting a victim to leave an abusive situation is not always the best or safest choice. Abusers will often restrict access to finances, destroy the victim's rental and/or credit history, or prevent the victim from being employed, all of which make it incredibly hard for the victim to be able to provide for themselves and their children or obtain housing independently, putting the family at risk of homelessness and poverty.

Our certified Domestic Violence Counselors/Advocates work with victims to make the best and safest decisions for themselves, and their children. Our office is conveniently located at 241 Main Street, Norwich, close to Madonna Place, Reliance House, Catholic Charities, Southeastern Council on Alcoholism and Drug Dependence, the Norwich courthouse, Norwich Human Services, and Safe Futures residential programs, Katie Blair House and Flora O'Neil Apartments. This location ensures that the maximum numbers of victims are not only aware of, but have easy access to our services, which include:

- Designing safety plans so that the victim can continue living with the abuser as safely as possible, until leaving is an option or escape is necessary;
- Support and assistance accessing our emergency domestic violence shelter and obtaining emergency transportation to flee a violent situation;
- One-on-one support helping victims, and when appropriate their children, understand the effects of

domestic violence and help them cope with the emotional impact of the abuse;

- Providing in-person support and assistance at the emergency room;
- Access to our bilingual advocate or an interpreter through Language Line Translation to ensure that language and cultural differences do not act as barriers to service;
- Making referrals to additional programs for therapeutic counseling, mental/physical health, and coordination with community-based agencies for other specialized services;
- Assisting victims to understand the process of obtaining a Temporary Restraining Order or other legal documents to increase their safety; and
- Providing community donations of clothing, household goods, personal care items and other basic needs.

Since Safe Futures' in-kind donation center, Essentials, is located in New London, the Norwich office allows for a collection of personal care items, duffel bags, clothing, and food to be easily accessible in case of an emergency - enabling victims to leave as soon as they need to without worrying about taking the time to pack an extra set of clothes or personal care items for themselves and their children. It also provides a safe space to bring victims who need to be removed from a dangerous situation and begin safety planning for immediate relocation.

The VALE Program places a certified Domestic Violence Counselor/Advocate in the Norwich Police Department to work alongside the designated Domestic Violence police officer, and other police officers when responding to domestic violence calls. The advocate is located at the police station, rides with the police, and is provided with a desk, phone, etc. With this unique partnership, the VALE Advocate provides immediate crisis intervention and advocacy to victims during a police investigation, acting as a liaison between law enforcement and victims so that victims can feel safe and learn to trust the police officers as a resource.

The VALE Advocate provides the following services:

- Immediate response to domestic incidents to ensure the safety of the victim and their children, and support to the police officers investigating the call;
- Safety planning for victims, including information on how to enhance their safety in their home and develop an understanding of domestic violence;
- Information and education about protective orders and assistance with protective order modification, as well as information about applying for civil restraining orders;
- Information on the law enforcement processes and education about the victim's rights;
- Escorted transportation of the victim to and from court;
- Assistance and one-on-one support to help the victim and their family at court, as they are often re-victimized during the court process; and
- Unannounced phone calls and follow up visits along with law enforcement, to ensure that the victim and children are safe, that their needs are being met, and that the offender is honoring restraining and protective orders.

The VALE Advocate acts as a vital link between victims and domestic violence services Safe Futures offer and other community agencies - a link that can prevent future fatalities and ensure that victims are able to access the resources they need to live better, safer lives, free from violence.

C1a. Alignment with 5-year Consolidated Plan (see www.norwichct.org)

The *City of Norwich, Connecticut Consolidated Plan 2020-2024*, recognizes domestic violence services as a needed activity in the "Create a Suitable Living Environment" category. In answer to the Community Needs

Assessment Question 10-Special Community Needs Services, 70.91% answered that Domestic Violence Services were either a Somewhat High or High Priority.⁹ Further, the plan recognizes domestic violence victims need access to safe, affordable housing opportunities with strong case management. Safe Futures NVRDT assists the city in accomplishing this goal through supportive services.#

C1b. Collaboration with other programs and organizations

To provide services in Norwich, Safe Futures actively collaborates with a number of programs and organizations. The NDVRT was created through partnerships with the Norwich court, Norwich Police Department, and the William W. Backus Hospital. The FVVAs and CFVVAs utilize space in the Norwich court and the VALE Advocate utilizes space in the Norwich Police Department. For PY 49, Safe Futures will continue offering services at our walk-in office and the VALE Advocate will continue working with the Norwich Police Department, with the advocate located at the police station and working alongside the police. The Norwich Police Department is dedicated to providing services to DV victims, but does not have the resources to provide advocacy, as the VALE Advocate does. The Norwich Police fully supports the continuation of the VALE Program and Advocate position, as indicated by past support letters and of the willingness to speak at the Public Hearings in support of the project.

Safe Futures' weekly domestic violence support group sessions have been held in the offices of Madonna Place in Norwich (since COVID-19 they are being held through teleconferencing with plans to commence with in-person groups soon).

Since December 2011, Safe Futures has collaborated with Covenant Shelter, Always Home, Thames Valley Council for Community Action (TVCCA), Thames River Family Program, and Southeastern Mental Health Authority to provide a Coordinated Access system (CAN) for homeless families in southeastern Connecticut, meeting weekly. Under this system, homeless families make one point of entry call and the collaborating agencies work together to find the family shelter. This system is deemed a best practice by the U.S. Department of Housing and Urban Development. In 2013, the program was expanded to include New London Homeless Hospitality Center, Norwich Human Services, Reliance House and St. Vincent DePaul Place to provide CAN for individuals, as well. And, in fall 2018, to more effectively serve homeless individuals and families, the Southeastern CAN and the Northeastern CAN merged, combining resources area served, to form the Eastern CAN. Safe Futures Director of Residential Programs of Safe Futures is part of the CAN Steering Committee that meets at least monthly and other Staff Futures staff attend the weekly CAN meetings.

C1c. Links with local or regional plans

The Department of Housing and Urban Development considers all victims of domestic violence as low or very-low income, and in New London County for the 2021 CT Point-in Time Count (latest report available), 10% of homeless individuals reported being a survivor of domestic violence.¹⁰ Therefore, Safe Futures staff are actively involved in local, regional, and statewide efforts to end homelessness and are members of the following groups:

- Eastern Connecticut Partnership to End Homelessness, Coordinated Access Network-Steering Committee, Community Care Team, Family Community Care Team, CAN Representative to CT BOS Steering Committee

⁹ City of Norwich, Connecticut consolidated plan 2020-2024, www.norwichct.org

¹⁰Connecticut Coalition to End Homelessness, CT Point-in-Time Count 2021 Norwich-New London Summary, <https://cceh.org/data/interactive/2021pitdashboard/>.

- CT BOS Steering Committee-statewide initiative to integrate domestic violence and homeless systems
- Connecticut Coalition to End Homelessness
- Connecticut Coalition Against Domestic Violence (CCADV)-Residential Meeting and Housing Solutions Matching Meeting

C1d. Partnerships

In 2004, Safe Futures created a domestic violence team in partnership with the Norwich Police Department as part of VALE. Full funding of our request from PY 49 will allow our partnership with the Norwich Police Department to continue (and to support a crisis counselor in our Norwich Office). The Norwich Police see the value of the partnership as evidenced through consulting with Safe Futures on domestic violence, sexual assault, stalking, and trafficking issues, acknowledgement of VALE being a bridge between victim and police, since so many victims are not comfortable with reporting their abuse to police, working as a team with the advocate to deliver trauma-informed services, and providing an office space for the advocate.

C1e. New program or service?

Funding is requested to support certified Domestic Violence Counselor/Advocate position, 5 days/week at our Norwich Office and a VALE Advocate 1 day a week at the Norwich Police Station through VALE. The Norwich Crisis Counselor position was created in part with Norwich CDBG funding obtained in PY 38, and sustained in PY39, PY40, PY41, PY 42, PY 43, PY 44, PY 45, PY 46, PY 47 and PY 48. CDBG funding in Program Year 36 was used to restore the weekly Norwich support group, and funding in Program Year 37 enabled Safe Futures to maintain the VALE Advocate position and expand it from 18 hours/week to 21 hours/week. Until July 2015, Safe Futures sustained the VALE Program through other grants and fundraising dollars. Funding from Program Year 41 Re-Allocation (and other Safe Futures generated grants and fundraising dollars) allowed us to restore the VALE Program with an advocate position. Funding from PY 42, PY 43, PY 44, PY 45 PY 46, PY 47 and now PY 48 has allowed us to continue the VALE Program. Although, now at only one day a week due to reduced funding from other sources.

C2. Activity or Service Information

C2a. Location of services

C2b. Frequency of services

C2c. Hours of operation

If we receive full CDBG funding (along with other needed funding), Counseling & Advocacy walk-in services will be provided Monday through Friday from 9:00am to 5:00pm at our office at 241 Main Street, 9/1/22-8/31/23. The VALE Advocate will be located with the Norwich Police Domestic Violence unit at the Norwich Police Department, 70 Thames Street, Norwich. The advocate will be available 1 day a week from 9/1/23-8/31/24. As the police receive DV related calls 24/7, the advocate will be flexible, to work some evening hours so they will be available at different times. Additional support and crisis intervention services are available through Safe Futures 24-hour hotlines, including the dedicated Lethality Assessment Program (LAP) hotline for Law Enforcement.

C2d. Anticipated number to be served from Norwich

During PY 49, it is anticipated that 600 individuals, 350 of them Norwich residents, will be served through the walk-in office. We anticipate with the VALE Advocate available for one day a week, approximately 120 individuals, 100 of them Norwich residents, will be served through the program.

C2e. Hours of Operation

See answer to C2a/b/c.

C3. Percentage of requested grant funds for administration and salaries and Total number of employees hired and/or retained as a result

No CDBG funds will be used for administrative salaries. The requested funds will be used to retain two employees in Norwich, as follows:

- 1) 20% of full-time Domestic Violence Counselor/Advocate in Norwich (Counseling Office).
- 2) 20% of Victim Advocate Law Enforcement (VALE) Advocate in Norwich (in partnership with Norwich Police Department).

C4. Outcomes Theory of Change

When a victim of domestic violence can meet with a Domestic Violence Counselor/Advocate, in-person, and work on a safety plan, receive basic needs, referrals for community-based services, and discuss how domestic violence has personally affected their own life, they feel safer, know where to turn for resources, and can preserve their safety in the future.

Inputs:

One certified Domestic Violence Counselor/Advocate available Monday through Friday; office space at 241 Main Street, Norwich; safety planning and education materials and Safe Futures' pamphlets; referral information and forms; personal care items to be given to clients; phone, computer and other office supplies including surveys.

One certified Domestic Violence Vale Advocate available one day a week; office space at Norwich Police Department, 70 Thames Street; safety planning and education materials and Safe Futures' pamphlets; referral information and forms; basic need items to be given to clients; phone, computer and other office supplies including surveys.

Outputs:

600 victims will receive services from the Norwich office and 350 will be Norwich residents.

120 victims of DV, 100 being Norwich residents, will receive VALE Program services.

Activities:

Walk-in crisis intervention and counseling services in our office at 241 Main Street in Norwich Monday through Friday from 9:00 am to 5:00 pm; safety planning; assessment; psycho-educational support and counseling about the effects of domestic violence on the victim and children; coordination of transportation to safe shelter when necessary; assistance understanding application process for a Temporary Restraining Order; Bi-Lingual services and access to Language Line Translation services; referrals to community resources or other Safe Futures programs; coordination of services with VALE, FVVAs and CFVVAs; provision of 911 emergency cell phones; and provision of donated clothing, household, personal care items, and other basic needs as needed.

Work alongside Norwich police officers when responding to domestic violence calls providing immediate crisis intervention and advocacy one day a week, flexible hours; safety planning; assessment; psycho-educational support and counseling about the effects of domestic violence on the victim and children; coordination of transportation to safe shelter when necessary; assistance understanding application process for a Temporary Restraining Order; Protective Order checks; Bi-Lingual services and access to Language Line Translation services; referrals to community resources or other Safe Futures programs; coordination of services with Crisis Counselors, FVVAs and CFVVAs; provision of 911 emergency cell phones; and provision of donated clothing, household, personal care items, and other basic needs as needed.

Outcomes: The outcomes we measure are the industry standard used by domestic violence programs nationwide. They include: increase knowledge of how to enhance one's safety; increase knowledge about domestic violence; and, increase knowledge of community resources. Surveys are utilized to gather information and victims are asked to answer each question either "Yes" or "No". Since these surveys are submitted anonymously, we cannot separate surveys received from Norwich residents from those who are not Norwich residents. We have data on the population served through the Norwich office and VALE and will project these results to the Norwich residents.

By providing the opportunity for Norwich residents to meet in-person with Domestic Violence Counselors/Advocates, we expect to improve the safety and quality of life for these underserved residents. The following illustrates how the NDVRT collaborate together and with the Norwich Police Department and with other area providers in providing needed services to victims from Norwich.

The VALE Advocate received a referral from Safe Futures Associate Director of Court Support Services, Norwich regarding a victim who was receiving court support services and counseling after her car was shot at and was threatened to be killed by her boyfriend, with whom she has a child with. The abuser also shot at relative's cars. The VALE Advocate contacted the Norwich Police Department to provide them with information pertinent to the case.

Concurrently, the abuser repeatedly called Safe Futures hotline threatening the agency overall. In a collaborative effort to keep the victim safe, the police departments in the cities where Safe Futures has sites, Norwich and New London, along with the mayor offices of the cities, and Connecticut Coalition Against Domestic Violence (CCADV) was made aware of this as Safe Futures went into Lockdown. In addition, the VALE Advocate, Safe Futures CEO, Safe Futures Adult Advocate and Court Advocates maintained contact with the police departments as to the progress of the investigation and potential arrest of the perpetrator. It was discovered that the perpetrator had several outstanding arrest warrants for incidents involving the victim and weapons.

As the abusers' actions were life threatening to the victim, to remain safe, she lived with different family members and friends and remained in hiding. Advocates maintained contact with the victim throughout, providing, over the phone, extensive safety planning, crisis counseling, support and by confidential safe delivery, basic needs-helping with rent, utility bills, food, phone, etc. The VALE Advocate coordinated communication between the staff at Safe Futures and members of the Norwich Police Department for the delivery of the needed items to the victim in a safe manner.

The perpetrator was ultimately arrested by the Norwich Police Department and held on a 2.4-million-dollar bond. The domestic violence police liaison notified the VALE Advocate soon after his arrest. The advocate then immediately notified members of Safe Futures staff of the perpetrator's arrest, who then informed the victim. Advocates continue to provide counseling and other support requested to the victim.

E. FUNDING QUESTIONS

E1. Amount and source of leveraged funding

The requested funding of \$15,000 will be combined with \$5,000 from the United Way and any funding received from the following sources for 2023-2024: \$7,000 from the R.S. Gernon Trust (to be applied for), \$15,000 from the Edward and Mary Lord Foundation (to be applied for), and \$5,000 from Nordson Corporation Foundation (to be applied for). In addition, Safe Futures has committed to contribute \$10,500 from general fundraising.

E2. If you do not receive the amount of funds requested from CDBG, how do you propose to administer and/or complete the project in the manner presented and how will this affect your service population?

If the requested funds from CDBG are not received, we will have to reassess our ability to fully staff the Norwich office five days/week and partner with the Norwich police on the VALE program with the Domestic Violence Counselor/Advocate and VALE Advocate for the 2023-2024 year. Our goal is to sustain the accessibility of DV services to Norwich residents, and without full CDBG funding; our ability to accomplish that may be limited.

E3. What items would you reduce/eliminate from your budget if the City wanted to (only) partially fund your application?

We are requesting funding to partially support the cost of the Domestic Violence Counselor/Advocate and VALE Advocate salaries, so a reduction in funding would likely reduce the number of hours we can provide these services for Norwich residents in 2023-2024. Depending on the level of funding we may have to again suspend the VALE program all together.

F. OTHER

F1. List other agencies that provide similar services and identify those with which you collaborate. If services are similar, please elaborate on what makes this service unique.

Safe Futures provides services, support, and housing for victims of domestic violence, sexual assault, stalking, and trafficking in southeastern Connecticut. The VALE program is unique as it places a certified DV Counselor/Advocate in the Norwich Police Department to work alongside police officers when responding to domestic violence calls. Other Norwich agencies that provide complementary but not similar services, and with whom Safe Futures collaborates include:

- Reliance House
- Backus Hospital
- Prosecutors, Investigators, Family Relations Office, and Probation Officers in the Norwich Court system
- Connecticut Legal Services
- United Community and Family Services
- Child and Family Agency
- CT Dept. Children and Families (DCF)
- Generations Family Health Services
- Norwich Police Department
- Madonna Place
- SMHA
- NHS
- TVCCA
- Thames River Family Program
- CT Dept. Social Services (DSS)
- Catholic Charities
- Sexual Assault Crisis Center

F2. Is your request for continuation of a previously-funded CDBG program?

F2a. If yes, please state the reason(s) why.

Safe Futures has received funding from PY38, PY39, PY40, PY41, PY42, PY43, PY 44, PY 45, PY 46, PY 47, and PY 48 to expand and support the hours of the Norwich Crisis Counselor in the NDVRT. We received funding from PY41 (Re-allocation) to restore the VALE Advocate hours and PY42, PY43, PY 44, PY 45, PY46, PY 47, and PY 48 to maintain the program. Funding is requested now to continue the NDVRT, specifically the Crisis Counselor and VALE Advocate.

Safe Futures receives funding from Connecticut Coalition Against Domestic Violence (CCADV) (through state and federal funding) to partially support programs. Other Funding includes allocations from United Way, individual contributions, and grant funding (from federal, state, private foundations and others), none

of which are guaranteed year after year. See answer to E1. for specific anticipated amounts for 2023-2024.

F2b. If no, please state the reason(s) why.

Not applicable.

G. SECTION 3 REQUIREMENTS

Not applicable. *Please see Exhibit 9.*

ADDRESSING THE NATIONAL OBJECTIVE

Does your program:

- Address the needs of low- and/or moderate-income residents (see income chart below)? AND/OR
- Serve seniors; severely disabled adults; homeless; battered spouses; abused/neglected children and youth; illiterate adults; migrant farm workers, persons living with HIV/AIDS and persons who use food banks or meals programs.

PROGRAM BENEFICIARY OUTCOME STATISTICS:

Please see Exhibit 8

STAFFING RESOURCES: Identify every person involved in the implementation and administration of the program. Use the chart below and additional sheets if necessary. Please refer to page 15 regarding Section 3 to determine if you are or will be a Section 3 concern. If you are/will meet Section 3 criteria, it will be mandatory for you to complete the attached Section 3 documentation.

Position/Title	Salary Range	CDBG Portion of Salary	Full-time or Part-time?	Hired As a Result of Funding (Y/N)
Chief Executive Officer	\$100,000-\$200,000	0%	Full-time	N
Chief Financial Officer	\$70,000-\$100,000	0%	Full-time	N
Chief Operating Officer	\$70,000-\$100,000	0%	Full-time	N
Counseling Manager	\$28.00-\$35.00/hr.	0%	Part-time	N
Domestic Violence Counselor/Advocate	\$26.00-\$30.00/hr..	20%	Full-time	Y (to continue expansion)
VALE Advocate	\$28.00-\$35.00/hr.	20%	Full-time	Y (to continue expansion)

PART III: BUDGET INFORMATION**A. AGENCY FINANCIAL DATA**

SUPPORT & REVENUE	CURRENT	ANTICIPATED
	FY 22-23	FY 23-24
Program fees	\$43,600	\$43,600
Other Grants including foundations	\$378,719	\$418,367
Fundraising (Donations)	\$872,500	\$972,000
CDBG	\$15,000	\$15,000
General Fund	\$0	\$0
State & Federal Grants	1,764,817	1,627,317
Other Revenue:		
Local gov't grants, contracts & fees	\$71,810	\$71,810
United Way & other campaigns	\$169,906	\$169,906
Interest, Dividends & Misc. Income	\$16,000	\$16,000
TOTAL REVENUE	\$3,332,352	\$3,334,000
EXPENSES	CURRENT	ANTICIPATED
	FY 22-23	FY 23-24
Salaries	\$2,156,033	\$2,195,033
Employee Benefits	\$222,197	\$222,197
Payroll Taxes	\$168,379	\$169,027
Professional Fees & Services	\$113,167	\$113,167
Operations / Phones /Postage	\$212,315	\$212,315
Insurance (other)	\$37,328	\$37,328
Equipment Rental & Maintenance, Acquisition	\$65,825	\$65,825
Printing & Publication	\$8,800	\$8,800
Travel / Conferences/Conventions	\$17,500	\$17,500
Legal Fees	\$7,500	\$7,500
Other expenses:		
Client assistance	\$147,376	\$109,376
Depreciation	\$125,460	\$125,460
Dues, Staff development, bank charges, advertising, bad debt	\$50,472	\$50,472
TOTAL EXPENSES	\$3,332,352	\$3,334,000
BALANCE (total revenue less expense)	\$0	\$0

B. PROGRAM SPECIFIC FINANCIAL DATA (PLEASE NOTE THIS HAS CHANGED)

SUPPORT & REVENUE	CDBG FUNDED PORTION	NON-CDBG FUNDED PORTION	% OF CDBG FUNDS USED FOR PROGRAM
Program Fees	-	-	-
Other grants/foundations (non-government)	-	\$50,000	
Donations	-	\$32,250	
CDBG	\$15,000	-	100%
General Fund	-	-	-
State Government	-	\$62,250	-
Federal Government	-	0	-
Other Revenue–United Way	-	\$5,000	-
TOTAL REVENUE	\$15,000	\$149,500	10%
EXPENSES	CDBG FUNDED PORTION	NON-CDBG FUNDED PORTION	% OF CDBG FUNDS USED FOR PROGRAM
Salaries	\$12,000	\$104,000	12%
Employee Benefits	\$850	\$18,000	5%
Payroll Taxes	\$1,200	\$10,000	12%
Professional Services (including accounts and attorneys)	\$250	\$1,000	25%
General Operations & Supplies (incl. Overhead and Printing)	\$700	\$15,500	5%
Travel / Conferences	-	\$500	
Vehicle Expense	-		
Other –Client assistance	-	\$500	
TOTAL EXPENSES	\$15,000	\$149,500	10%
BALANCE (Total revenue less expenses)	0	\$0	0%

CDBG PY 49 Appendix for Supplemental Information:

EXHIBIT 1-Financial Statement and Audit

EXHIBIT 2-Insurance/Bond/Worker's Compensation (Insurance Certificate)

EXHIBIT 3-Non-profit Determination

EXHIBIT 4-List of Board of Directors

EXHIBIT 5-Organizational Chart

EXHIBIT 6-Resumes of Chief Program Administrator and Chief Fiscal Officer

EXHIBIT 7-Conflict of Interest Disclosure

EXHIBIT 8-Outcome

EXHIBIT 9-Section 3 Contractor Affidavit

Financial Statement

Funds awarded under the City of Norwich Community Development Block Grant will be accounted for on an accrual basis using accounting software customized for nonprofit organizations. With this software, Safe Futures currently accounts for at least 60 distinct grants and contracts per year, and an additional 50 cost centers, to ensure that certain restricted revenues and expenditures are maintained separately, and that the results of specific activities are uniquely identified. The software can record budgets on an agency, program and/or grant basis, and prepare reports that compare actual financial results to those budgets, as required.

Safe Futures documents its financial policies and procedures in a manual covering such topics as: financial operations; banking; investments; debt; internal controls; receipts; disbursements; payroll; financial reporting; audits; and records retention. This manual is reviewed and updated periodically by staff and/or the Finance Committee of the Board of Directors. The manual was last updated in February 2021.

Safe Futures uses the reimbursement method in drawing down funds, which minimizes the time elapsed between the transfer of funds and disbursement of project activities. Grant reports are prepared per the requirements of individual funders. The majority of payments for government grants are initiated by submitting quarterly financial reports generated by the Chief Financial Officer or by initiating draws created by the Chief Financial Officer. Two federal grants use the eLOCCS online payment system for reimbursements.

Safe Futures has a system of internal controls that follows good business practices and includes clear lines of authority over financial transactions, policies guiding approval authority over transactions, an adequate chart of accounts and structure of cost centers, written accounting manual, adequate separation of duties, controlled access to accounting records, and procedures for regular reconciliation. The financial statements of the agency are audited annually by a Certified Public Accountant who also performs an annual audit under the State of Connecticut Single Audit Act, as well as a Federal Single Audit. Reviews of internal controls conducted as part of such audits have reflected an unqualified (no exceptions noted) opinion from the auditors. Safe Futures has been deemed a low-risk auditee in our most recent Federal Single audit report.

The Board of Directors of Safe Futures has approved an Administrative and Financial Record Retention and Management policy that addresses the security and length of retention time for administrative and accounting records, including grant records and documentation. The policy is based on best practices in nonprofit management and is reviewed for adequacy whenever the agency is subject to the provisions of a new grant, contract or regulation.

The Chief Financial Officer has a Masters degree in Business Administration with an Accounting Specialization and a Bachelor of Science degree in Finance. She has worked in the non-profit field for over 19 years and has served as a Chief Financial Officer or Director of Finance for 13 years, 10 of those years with Safe Futures.

SAFE FUTURES, INC.

FINANCIAL STATEMENTS AND
GOVERNMENT REPORTS

JUNE 30, 2022



SAFE FUTURES, INC.

**FINANCIAL STATEMENTS AND
GOVERNMENT REPORTS**

JUNE 30, 2022

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Board of Directors
Safe Futures, Inc.
16 Jay Street
New London, CT 06320



INDEPENDENT AUDITOR'S REPORT

We have audited the accompanying financial statements of Safe Futures, Inc., which comprise the statement of financial position as of June 30, 2022, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion of the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Safe Futures, Inc. as of June 30, 2022, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Stacey L. Gualtieri, CPA
Audrey A. Leone, CPA

Report on Summarized Comparative Information

We have previously audited Safe Futures, Inc.'s 2021 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated September 29, 2021. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2021, is consistent, in all material respects, with the audited financial statements from which it has been derived.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated September 20, 2022, on our consideration of Safe Futures, Inc.'s internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Safe Futures, Inc.'s internal control over financial reporting and compliance.

Other Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying Schedule of Expenditures of Federal Awards, as required by *Title 2 U.S. Code of Federal Regulations (CFR) Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*, and the accompanying Schedule of Expenditures of State Financial Assistance, as required by the *Connecticut State Single Audit Act*, are presented for purposes of additional analysis and are not a required part of the financial statements. Such information is the responsibility of management, was derived from, and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements of to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements taken as a whole.



DOHERTY, BEALS & BANKS, P.C.
New London, Connecticut

September 20, 2022

SAFE FUTURES, INC.
STATEMENT OF FINANCIAL POSITION
AS OF JUNE 30, 2022
WITH COMPARATIVE TOTALS FOR 2021

	ASSETS	
CURRENT ASSETS	2022	2021
Cash and cash equivalents	\$ 438,470	\$ 1,199,341
Pledges receivable, net of allowance (note 4)	166,802	161,389
Grants receivable	242,289	290,887
Prepaid expenses	125,326	83,237
TOTAL CURRENT ASSETS	972,887	1,734,854
PROPERTY AND EQUIPMENT		
Land	881,514	406,514
Building and improvements	3,962,117	3,567,717
Furniture and equipment	147,751	142,056
	4,991,382	4,116,287
Less: accumulated depreciation	(1,867,018)	(1,749,405)
TOTAL PROPERTY AND EQUIPMENT	3,124,364	2,366,882
OTHER ASSETS		
Cash and cash equivalents - restricted	591,714	32,217
Unemployment reserve funds	44,187	60,550
Pledges receivable (net of current portion) (note 4)	32,165	85,045
Beneficial interest in assets held by Community Foundation (note 7)	178,561	212,425
TOTAL OTHER ASSETS	846,627	390,237
TOTAL ASSETS	\$ 4,943,878	\$ 4,491,973

See notes to financial statements.

SAFE FUTURES, INC.
STATEMENT OF FINANCIAL POSITION
AS OF JUNE 30, 2022
WITH COMPARATIVE TOTALS FOR 2021

LIABILITIES AND NET ASSETS	2022	2021
	<hr/>	<hr/>
CURRENT LIABILITIES		
Accounts payable	\$ 28,463	\$ 67,395
Accrued expenses	302,868	255,260
TOTAL CURRENT LIABILITIES	<hr/> 331,331	<hr/> 322,655
LONG-TERM LIABILITIES		
Note payable	455,000	-
Unemployment reserve funds (note 8)	44,187	60,550
TOTAL LIABILITIES	<hr/> 830,518	<hr/> 383,205
NET ASSETS		
Without donor restrictions	3,497,791	3,467,379
With donor restrictions	615,569	641,389
TOTAL NET ASSETS	<hr/> 4,113,360	<hr/> 4,108,768
TOTAL LIABILITIES AND NET ASSETS	<hr/> \$ 4,943,878	<hr/> \$ 4,491,973

See notes to financial statements.

SAFE FUTURES, INC.
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2022
WITH COMPARATIVE TOTALS FOR 2021

SUPPORT AND REVENUE	Without Donor	With Donor	Totals	
	Retrictions	Restrictions	2022	2021
Government grants	\$ 1,800,706	\$ -	\$ 1,800,706	\$ 1,705,649
Contributions - United Way	10,209	149,732	159,941	162,162
Contributions and private grants	1,114,859	153,400	1,268,259	1,007,831
Bequest	-	-	-	169,193
Program service fees	43,638	-	43,638	35,235
Special events	7,167	-	7,167	1,425
Miscellaneous	20,995	-	20,995	3,909
Interest and dividends	3,603	(33,864)	(30,261)	52,903
Net assets released from restriction: Purpose restrictions met	<u>295,088</u>	<u>(295,088)</u>	<u>-</u>	<u>-</u>
TOTAL SUPPORT AND REVENUE	3,296,265	(25,820)	3,270,445	3,138,307
 FUNCTIONAL EXPENSES				
Program services:				
Housing other	808,597	-	808,597	724,799
Emergency assistance and support	1,103,993	-	1,103,993	939,760
Emergency shelter	866,026	-	866,026	927,008
Prevention and outreach	<u>162,519</u>	<u>-</u>	<u>162,519</u>	<u>215,054</u>
Total program services	2,941,135	-	2,941,135	2,806,621
Management and general	241,791	-	241,791	219,334
Fund-raising	<u>82,927</u>	<u>-</u>	<u>82,927</u>	<u>61,417</u>
TOTAL FUNCTIONAL EXPENSES	3,265,853	-	3,265,853	3,087,372
CHANGE IN NET ASSETS	30,412	(25,820)	4,592	50,935
NET ASSETS - BEGINNING	<u>3,467,379</u>	<u>641,389</u>	<u>4,108,768</u>	<u>4,057,833</u>
NET ASSETS - ENDING	<u><u>\$ 3,497,791</u></u>	<u><u>\$ 615,569</u></u>	<u><u>\$ 4,113,360</u></u>	<u><u>\$ 4,108,768</u></u>

See notes to financial statements.

SAFE FUTURES, INC.
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2022
WITH COMPARATIVE TOTALS FOR 2021

FUNCTIONAL EXPENSES	Housing Other	Emergency Assistance and Support	Emergency Shelter	Prevention and Outreach	Total Program Services	Management and General	Fund- raising	Totals	
								2022	2021
Salaries	\$ 399,477	\$ 784,968	\$ 450,693	\$ 104,093	\$ 1,739,231	\$ 138,715	\$ 52,583	\$ 1,930,529	\$ 1,762,338
Employee benefits	37,396	84,904	42,838	13,508	178,646	13,696	2,710	195,052	185,985
Payroll taxes	29,264	58,636	34,203	7,371	129,474	9,630	4,675	143,779	145,737
Total Salaries and Benefits	466,137	928,508	527,734	124,972	2,047,351	162,041	59,968	2,269,360	2,094,060
Supplies and materials	16,447	8,593	91,591	7,218	123,849	5,765	714	130,328	89,213
Specific aid to individuals	78,276	16,282	50,047	850	145,455	-	-	145,455	109,914
Occupancy and security	58,559	14,442	20,426	2,086	95,513	1,716	309	97,538	88,215
Repairs and maintenance	47,192	5,973	35,137	2,233	90,535	2,045	520	93,100	80,673
Conferences and conventions	18,827	26,633	1,860	2,315	49,635	6,610	739	56,984	12,883
Professional fees	17,026	27,602	30,005	4,841	79,474	16,655	51	96,180	77,107
Travel and transportation	797	539	667	1,801	3,804	455	57	4,316	3,230
Telephone	5,557	14,730	6,206	2,957	29,450	2,425	380	32,255	29,103
Computer and IT services	7,388	11,355	5,609	3,982	28,334	4,915	5	33,254	47,671
Advertising	-	-	-	-	-	105	-	105	30
Insurance	17,014	4,503	4,692	2,310	28,519	1,691	-	30,210	28,432
Printing and publication	251	939	141	103	1,434	283	4,463	6,180	9,283
Bad debts	-	-	-	-	-	8,170	-	8,170	-
Occupancy - office rent/hotels	-	6,900	60,566	-	67,466	605	-	68,071	231,862
Interest	26	7,934	-	-	7,960	888	-	8,848	555
Other expenses	858	134	18	-	1,010	11,548	4,129	16,687	15,153
Fund-raising	-	-	-	-	-	-	8,343	8,343	16,039
Equipment rental and maintenance	4,424	8,353	1,012	594	14,383	1,218	517	16,118	14,344
Postage	181	2,357	597	156	3,291	1,116	2,732	7,139	4,790
Membership dues	581	831	627	659	2,698	860	-	3,558	5,743
Property taxes	8,247	4,363	1,390	765	14,765	1,276	-	16,041	13,552
Total expenses before depreciation	747,788	1,090,971	838,325	157,842	2,834,926	230,387	82,927	3,148,240	2,971,852
Depreciation	60,809	13,022	27,701	4,677	106,209	11,404	-	117,613	115,520
Total functional expenses	\$ 808,597	\$ 1,103,993	\$ 866,026	\$ 162,519	\$ 2,941,135	\$ 241,791	\$ 82,927	\$ 3,265,853	\$ 3,087,372

The organization maintains multiple allocation schedules based on a number of formulas, including square footage and estimates of time and effort. In most cases, expenses are allocated directly.

See notes to financial statements.

SAFE FUTURES, INC.
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2022
WITH COMPARATIVE TOTALS FOR 2021

	2022	2021
CASH FLOWS FROM OPERATING ACTIVITIES		
Change in net assets	\$ 4,592	\$ 50,935
Adjustments to reconcile changes in net assets to net cash provided (used) by operating activities:		
Depreciation	117,613	115,520
Donation of land and building	(300,000)	-
(Increase) decrease in:		
Pledges receivable	47,467	108,682
Grants receivable	48,598	(88,209)
Prepaid expenses	(42,089)	(58,072)
Increase (decrease) in:		
Accounts payable	(38,932)	31,062
Accrued expenses	47,608	34,499
	(115,143)	194,417
CASH FLOWS FROM INVESTING ACTIVITIES		
Purchase of property and equipment	(120,113)	(37,300)
Transferred to funds held by others	33,864	(43,528)
	(86,249)	(80,828)
CASH FLOWS FROM FINANCING ACTIVITIES		
Restricted cash	(559,479)	-
Forgiveness of PPP loan	-	(73,963)
	(559,479)	(73,963)
CHANGE IN CASH	(760,871)	39,626
CASH - BEGINNING	1,199,341	1,159,715
CASH - ENDING	\$ 438,470	\$ 1,199,341
Noncash activities:		
Land purchased with note payable	\$ 455,000	
Donated building	300,000	
Cash paid for interest	8,848	

See notes to financial statements.

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2022

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Purpose

Safe Futures, Inc. is organized as a non-profit corporation in the State of Connecticut. Safe Futures, Inc. provides emergency shelter, counseling, traditional housing, community education, including Violence is Preventable and Restorative Practices curriculum in local school systems, and support services to victims of domestic violence and sexual assault and their families in the southeastern Connecticut area.

Income Tax Status

The Organization is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code, and therefore, has no provision for federal or state income taxes. In addition, the Organization qualifies for the charitable contribution deduction under Section 170(b)(1)(A) and has been classified as an organization that is not a private foundation.

The Organization recognizes the tax benefit from uncertain tax positions when it is more-likely-than-not the position will be sustained upon examination by taxing authorities. As of June 30, 2022, the Organization had no uncertain tax positions that qualify for either recognition or disclosure in the financial statements. In the normal course of business, the Organization's tax filings are subject to examination by federal and state taxing authorities. The Organization's tax returns for the last three years remain open for examination.

Method of Accounting

The accompanying financial statements were prepared using the accrual basis of accounting. Accordingly, revenues are recognized when earned and expenditures are recorded when incurred.

Financial Statement Presentation

The Organization follows the reporting requirements of GAAP, which requires that resources be classified for reporting purposes based on the existence or absence of donor-imposed restrictions. This is accomplished by classification of fund balances into two classes of net assets: without donor restrictions and with donor restrictions. Descriptions of the two net asset categories and the types of transactions affecting each category follow:

- Without Donor Restrictions – Net assets that are not subject to donor-imposed restrictions. Items that affect this net asset category principally consist of fees for service and related expenses associated with the core activities of the Organization.
- With Donor Restrictions – Net assets subject to donor-imposed restrictions that will be met either by actions of the Organization or the passage of time. Items that affect this net asset category are for contributions for which donor-imposed restrictions have not been met in the year of receipt. Also included in this category are net assets subject to donor-imposed restrictions to be maintained permanently by the Organization.

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2022

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reporting amounts of assets and liabilities and the disclosure of contingent assets and liabilities as of the date of the financial statements, and support, revenue and expenses during the reporting period. Actual results could differ from those estimates.

Prior Year Comparative Totals

The financial information shown for 2021 in the accompanying financial statements is included to provide a basis for comparison with 2022 and presents summarized totals only. Such total amounts do not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America. Accordingly, such amounts should be read in conjunction with the Organization's financial statements for the year ended June 30, 2021, from which the comparative total amounts were derived.

Cash and Cash Equivalents

For purposes of the Statement of Cash Flows, Safe Futures, Inc., considers all highly liquid debt instruments purchased with maturity of three months or less to be cash equivalents.

Investments

The Organization carries investments in marketable securities with readily determinable fair values and all investments in debt securities at their fair values in the Statement of Financial Position. Unrealized gains and losses are included in the change in net assets in the accompanying Statement of Activities.

Fair Values of Financial Instruments

The fair values of financial instruments have been determined through quoted market prices or present value techniques to approximate the amounts recorded in the statement of financial position.

Generally accepted accounting principles establishes a fair value hierarchy which prioritizes the inputs to valuation techniques used to measure the fair value. The three levels of the fair value hierarchy are described as follows:

Level 1 – Quoted prices (unadjusted) in active markets for identical assets or liabilities where the organization has the ability to access at the measurement date;

Level 2 – Significant other observable inputs other than quoted prices included in level 1, which are observable for the asset or liability, either directly or indirectly (i.e., quoted prices in inactive markets, broker or dealer quotations, or alternative pricing sources with reasonable levels of price transparency);

Level 3 – Unobservable inputs are used to the extent that observable inputs are unavailable due to little, if any, market activity for the asset or liability. Unobservable inputs are developed based on the best information available, which might include the organization's own data that reflects assumptions that market participants would use in pricing the asset or liability.

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2022

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Property and Equipment

Property and equipment are carried at cost or, if donated, at the approximate fair value at the date of donation. Depreciation of the Organization's assets is calculated using the straight-line method over the estimated useful lives of the assets ranging from five to forty years.

Safe Futures, Inc. capitalizes purchases over \$5,000 to property and equipment. Repairs and maintenance of property and equipment are charged to expenses as incurred.

Contributions

Contributions are recognized when the donor makes a promise to give to the Organization that is, in substance, unconditional. Contributions that are restricted by the donor are reported as increases in net assets without donor restrictions if the restrictions expire in the year in which the contributions are recognized. All other donor-restricted contributions are reported as increases in net assets with donor restrictions depending on the nature of the restrictions. When a restriction expires, net assets with donor restrictions are reclassified to net assets without donor restrictions.

Donated Materials and Services

Donated materials and equipment, if of a material amount, are reflected as contributions in the accompanying statements at their estimated values at date of receipt. The Organization pays for most services requiring specific expertise.

Approximately \$15,828 of donated services and corresponding expenses have been reflected in the financial statements. Many volunteers have donated significant amounts of their time in Safe Future's program services and in its fundraising campaigns that are not recognized as contributions in the financial statements since the criteria for recognition is not met.

Endowment Spending Policy

In accordance with the endowment fund agreement between Safe Futures and the Community Foundation of Eastern Connecticut, capital contributions to the endowment are retained and invested. There is no withdrawal of capital or earnings except upon request by the Board of Safe Futures and approval by the Community Foundation.

Grants Receivable

Government grant revenue is recognized to the extent of grant expenditures incurred. Grants receivable consists of the portion of grant expenditures incurred for which payment has yet to be received from the government or pass-through agencies.

Functional Expense Allocation

The costs of providing various programs and other activities have been summarized on a functional basis in the Statement of Activities and in the Statement of Functional Expenses. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2022

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Subsequent Events

Management has evaluated subsequent events through September 20, 2022, the date, which the financial statements were available for issue.

2. CONCENTRATION OF CREDIT RISK

The Organization maintains cash balances at financial institutions located in New London County. Accounts at each institution are insured by the Federal Deposit Insurance Corporation up to \$250,000. Safe Futures has not experienced any losses in such accounts and believes it is not exposed to significant credit risk on cash or cash equivalents. At June 30, 2022, the Organization's uninsured cash balances total \$731,903.

3. STATEMENT OF CASH FLOWS

The Organization considers all short-term investments with a maturity of three months or less to be cash equivalents.

Cash paid for interest in 2022	\$	7,934
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4. PLEDGES RECEIVABLE

Safe Futures, Inc. had unconditional pledges receivables representing the following at June 30:

Due In:

2023	\$	196,323
2024		19,519
2025		7,119
2026		4,178
2027		1,026
Thereafter		322
Total Pledges		<u>228,487</u>
Less:		
Allowance for bad debt and		27,385
4% discounted cash flow		2,135
Net pledges receivable	\$	<u>198,967</u>

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2022

5. NOTES PAYABLE

a.	\$100,000 line of credit payable in monthly installments of interest at 3.25% with principal payable on demand. The note is secured by substantially all the assets of the organization. As of June 30, 2022, there was no outstanding balance.	\$	- 0 -
b.	Note payable in monthly installments of interest only at 2.25%. Principal is due in one installment on October 14, 2024. The note is secured by savings and certificate of deposit.		<u>455,000</u>
		<u>\$</u>	<u>455,000</u>

6. NET ASSETS WITH DONOR RESTRICTIONS

Net assets with donor restrictions are restricted for the following purpose or periods.

United Way	\$	149,732
Eligible Distributions from Community Foundation Fund		29,785
Program services		<u>255,971</u>
		435,488

Permanently restricted net assets are as follows:

Beneficial interest in assets held by Community Foundation	\$	148,776
Natalie Anderson Children's Fund		<u>31,305</u>
		180,081
 Total Net Assets With Donor Restriction	 <u>\$</u>	 <u>615,569</u>

Net assets were released from restriction during the year to satisfy their purpose or time restriction as follows:

United Way	\$	150,900
Program Services		<u>144,188</u>
	<u>\$</u>	<u>295,088</u>

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2022

7. BENEFICIAL INTEREST IN ASSETS HELD BY COMMUNITY FOUNDATION

The Community Foundation of Eastern Connecticut and Safe Futures, Inc. entered into an agreement dated December 17, 2013, which created the Safe Futures, Inc. Endowment Fund. The Fund is co-mingled and invested with the Community Foundation's pool of assets. The Fund is subject to the Community Foundation's investment guidelines and spending rule and is restricted to benefit Safe Futures, Inc.

The funds were deposited into the Community Foundation's pool of assets. Safe Future's contributions through 2022 were \$125,427. The market value of the Fund at June 30, 2022, was \$178,561 (this is a Level 3 investment per note 1).

There were no distributions from the endowment during the 2021-2022 fiscal year.

8. UNEMPLOYMENT RESERVE FUND

Safe Futures, Inc. maintains an unemployment fund to self-fund unemployment claims with Unemployment Services Trust, who is also the administrator of the funds. The purpose of the fund is to provide benefits to eligible employees for future unemployment claims. As of June 30, 2022, the fund held \$44,187.

9. EMPLOYEE BENEFIT PLAN

Safe Futures, Inc. has also established a Section 403(b) defined contribution pension plan. It is available to substantially all full-time employees. Employees are 100% vested in employee salary deferrals. The employer contribution to the plan was \$43,374.

10. OPERATING LEASES

Safe Futures, Inc. has lease agreements for copier machines and office space. Minimum future rental payments under the non-cancelable operating leases in excess of one year as of June 30, 2022, are as follows:

2023	\$	6,490
2024		244

Rent expense was \$100,642 for the year ended June 30, 2022, and includes rent incurred to temporarily house clients when space was unavailable, as well as rents paid for clients in scattered site transitional living program.

11. CONTINGENT LIABILITIES

Safe Futures Inc., from time to time is involved in litigation arising from its normal operating practices. After reviewing all significant matters with counsel, management believes that the resolution of these matters will not materially affect Safe Futures Inc.'s financial position.

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2022

12. AVAILABILITY OF FINANCIAL ASSETS

The following reflects Safe Futures Inc.'s financial assets as of the balance sheet date, reduced by amounts not available for general use because of contractual or donor-imposed restrictions within one year of the balance sheet date:

Cash and cash equivalents	\$ 438,470
Grants receivables	<u>242,289</u>
	<u>\$ 680,759</u>

As part of the Safe Future's liquidity management, it has a policy to structure its financial assets to be available as its general expenditures, liabilities, and other obligations come due.

13. ECONOMIC INJURY DISASTER LOAN

Safe Futures, Inc. obtained a COVID EIDL from the Small Business Administration (SBA). The loan was funded in the amount of \$2,000,000 on July 1, 2022, with an interest rate of 2.75%. Installment payments of \$8,975 monthly will begin 24 months from the date of the promissory note. The balance of principal and interest will be payable 30 years from the date of the promissory note (January 18, 2022). The property at 16 Jay Street in New London serves as collateral.

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE
FOR EACH MAJOR STATE PROGRAM; REPORT ON
INTERNAL CONTROL OVER COMPLIANCE; AND
REPORT ON THE SCHEDULE OF EXPENDITURES OF STATE
FINANCIAL ASSISTANCE REQUIRED BY
THE STATE SINGLE AUDIT ACT**



Board of Directors
Safe Futures, Inc.
16 Jay Street
New London, CT 06320

Report on Compliance for Each Major State Program

Opinion on Each Major State Program

We have audited Safe Futures, Inc.'s compliance with the types of compliance requirements identified as subject to audit in the Office of Policy and Management's *Compliance Supplement* that could have a direct and material effect on each of Safe Futures, Inc.'s major state programs for the year ended June 30, 2022. Safe Futures, Inc.'s major state programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

In our opinion, Safe Futures, Inc. complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major state programs for the year ended June 30, 2022.

Basis for Opinion on Each Major State Program

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America (GAAS); the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States; and the State Single Audit Act (C.G.S Sections 4-230 to 4-236). Our responsibilities under those standards and the State Single Audit Act are further described in the Auditors' Responsibilities for the Audit of Compliance section of our report.

We are required to be independent of Safe Futures, Inc. and to meet our other ethical responsibilities, in accordance with relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion on compliance for each major state program. Our audit does not provide a legal determination of Safe Futures, Inc.'s compliance with the compliance requirements referred to above.

Responsibilities of Management for Compliance

Management is responsible for compliance with the requirements referred to above and for the design, implementation, and maintenance of effective internal control over compliance with the requirements of laws, statutes, regulations, rules and provisions of contracts or grant agreements applicable to Safe Futures, Inc.'s state programs.

Auditors' Responsibilities for the Audit of Compliance

Our objectives are to obtain reasonable assurance about whether material noncompliance with the compliance requirements referred to above occurred, whether due to fraud or error, and express an opinion on Safe Futures, Inc.'s compliance based on our audit. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS, *Government Auditing Standards*, and the State Single Audit will always detect material noncompliance when it exists. The risk of not detecting material noncompliance resulting from fraud is higher than for that resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Noncompliance with the compliance requirements referred to above is considered material if there is a substantial likelihood that, individually or in the aggregate, it would influence the judgment made by a reasonable user of the report on compliance about Safe Futures, Inc.'s compliance with the requirements of each major state program as a whole.

In performing an audit in accordance with GAAS, *Government Auditing Standards*, and the State Single Audit Act, we:

- exercise professional judgment and maintain professional skepticism throughout the audit.
- identify and assess the risks of material noncompliance, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding Safe Futures, Inc.'s compliance with the compliance requirements referred to above and performing such other procedures as we considered necessary in the circumstances.
- obtain an understanding of Safe Futures, Inc.'s internal control over compliance relevant to the audit in order to design audit procedures that are appropriate in the circumstances and to test and report on internal control over compliance in accordance with the State Single Audit Act, but not for the purpose of expressing an opinion on the effectiveness of Safe Futures, Inc.'s internal control over compliance. Accordingly, no such opinion is expressed.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and any significant deficiencies and material weaknesses in internal control over compliance that we identified during the audit.

Report on Internal Control Over Compliance

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a state program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a state program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a state program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the Auditors' Responsibilities for the Audit of Compliance section above and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies in internal control over compliance. Given these limitations, during our audit we did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, material weaknesses or significant deficiencies in internal control over compliance may exist that were not identified.

Our audit was not designed for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, no such opinion is expressed. The purpose of this report on internal control over

compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the State Single Audit Act. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of State Financial Assistance Required by the State Single Audit Act

We have audited the financial statements of Safe Futures, Inc. as of and for the year ended June 30, 2022, and have issued our report thereon dated September 20, 2022, which contained an unmodified opinion on those financial statements. Our audit was performed for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of state financial assistance is presented for purposes of additional analysis as required by the State Single Audit Act and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of state financial assistance is fairly stated in all material respects in relation to the financial statements as a whole.

Doherty, Beals & Banks, P.C.

DOHERTY BEALS & BANKS P.C.
New London, CT 06320

September 20, 2022

SAFE FUTURES, INC.
SCHEDULE OF EXPENDITURES OF STATE FINANCIAL ASSISTANCE
YEAR ENDED JUNE 30, 2022

State Grantor / Pass-Through Grantor / Program Title	State Grant Program Core-CT Number	Passed Through to Subrecipients	Expenditures
Judicial Branch			
Youth Services Prevention Grant	11000-JUD96114-12559-087	-	\$ 8,180
Pass Through Connecticut Coalition Against Domestic Violence, Inc.			
Victims of Crime Act Victim Assistance Project	02-1817-13 - 10020	-	47,789
Victims of Crime Act Victim Assistance Project	02-1817-13 - 12047	-	10,341
Shelter for Victims of Human Trafficking	332060 - 12376	-	4,230
Department of Mental Health and Addiction Services			
Housing Support and Services-SHNSP	11000-MHA53000, 21MHA2096-12035	-	63,150
Housing Support and Services-SHNSP	11000-MHA53000, 21MHA2096-29797		2,526
Housing Support and Services-Katie Blair House	11000-MHA53000, 21 MHA1050 -12600	-	15,150
Housing Support and Services-Katie Blair House	11000-MHA53000, 21MHA1050 -29797	-	606
Housing Support and Services-Katie Blair House	22MHA79ARPAR1 - 29797	-	15,000
Housing Support and Services-Katie Blair House	22MHA79ARPAR2 - 29797	-	2,500
Office of Policy and Management			
Pass Through Connecticut Coalition Against Domestic Violence, Inc.			
Law Enforcement Advocacy	18VWCCADVLEVA, SID#12251	-	2,550
Child Advocate	20VWCCADVCA, SID#12251	-	2,938
Department of Social Services			
Pass Through Connecticut Coalition Against Domestic Violence, Inc.			
Shelter Services Program	11000-19DSS401FJ - 16271	-	282,109
TLP Program	11000-19DSS5411FJ - 16271	-	64,858
Shelter Services Program	11000-19DSS401FJ - 35132	-	18,399
TOTAL EXPENDITURES OF STATE FINANCIAL ASSISTANCE		<u>\$ -</u>	<u>\$ 540,326</u>

The accompanying notes are an integral part of this schedule..

SAFE FUTURES, INC.
NOTES TO SCHEDULE OF EXPENDITURES OF
STATE FINANCIAL ASSISTANCE
FOR THE YEAR ENDED JUNE 30, 2022

The accompanying schedule of expenditures of state financial assistance includes state grant activity of the Safe Futures, Inc. under programs of the State of Connecticut for the fiscal year ended June 30, 2022. Various departments and agencies of the State of Connecticut have provided financial assistance through grants and other authorizations in accordance with the General Statutes of the State of Connecticut. These financial assistance programs fund several programs including emergency shelter and transitional living programs.

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The accounting policies of the Safe Futures, Inc. conform to accounting principles generally accepted in the United States of America as applicable to not-for-profit agencies.

The information in the Schedule of Expenditures of State Financial Assistance is presented based upon regulations established by the State of Connecticut, Office of Policy and Management.

Basis of Accounting

The expenditures reported on the Schedule of Expenditures of State Financial Assistance are reported on the accrual basis of accounting. In accordance with Section 4-236-22 of the Regulations to the State Single Audit Act, certain grants are not dependent on expenditure activity, and accordingly, are considered to be expended in the fiscal year of receipt. These grant program receipts are reflected in the expenditures column of the Schedule of Expenditures of State Financial Assistance.

2. SUBRECIPIENTS

The Schedule of Expenditures of State Financial Assistance is to include an identification of the total amount provided to subrecipients from each state program. This identification should be presented on the face of the Schedule. There were no payments to subrecipients during the reporting period.

SAFE FUTURES, INC.
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2022

I. SUMMARY OF AUDITOR'S RESULTS

Financial Statements

Type of auditor's opinion issued: Unmodified

Internal control over financial reporting:

- Material weakness(es) identified? yes no
- Significant deficiency(ies) identified? yes none reported

Noncompliance material to financial statements noted? yes no

State Financial Assistance

Internal control over major programs:

- Material weakness(es) identified? yes no
- Significant deficiency(ies) identified? yes none reported

Type of auditor's opinion issued on compliance for major programs: Unmodified

Any audit findings disclosed that are required to be reported in accordance with Section 4-236-24 of the Regulations to the State Single Audit Act? yes no

- The following schedule reflects the major programs included in the audit:

State Grantor and Program	State Core-CT Number	Expenditures
Department of Social Services		
Shelter Services Program	11000-19DSS401FJ-16271	\$ 282,109
TLP Program	11000-19DSS5411FJ-16271	64,858

- Dollar threshold used to distinguish between type A and type B programs. \$ 100,000

SAFE FUTURES, INC.
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2022

II. FINANCIAL STATEMENT FINDINGS

- We issued reports, dated September 20, 2022, on internal control over financial reporting and on compliance and other matters based on an audit of financial statements performed in accordance with *Government Auditing Standards*.
- Our report on compliance indicated no reportable instances of noncompliance.
- Our report on internal control over financial reporting indicated no significant deficiencies.

III. STATE FINANCIAL ASSISTANCE FINDINGS AND QUESTIONED COSTS

No findings or questioned costs are reported relating to State Financial Assistance programs.

**INDEPENDENT AUDITORS' REPORT
ON INTERNAL CONTROL OVER
FINANCIAL REPORTING AND ON COMPLIANCE AND
OTHER MATTERS BASED ON AN AUDIT OF
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE
WITH *GOVERNMENT AUDITING STANDARDS***



Board of Directors
Safe Futures, Inc.
16 Jay Street
New London, CT 06320

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Safe Futures, Inc. (a nonprofit organization), which comprise the statement of financial position as of June 30, 2022, and the related statements of activities, and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 20, 2022.

Report on Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered Safe Futures, Inc.'s internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of Safe Futures, Inc.'s internal control. Accordingly, we do not express an opinion on the effectiveness of Safe Futures, Inc.'s internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements, on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses or significant deficiencies may exist that were not identified.

Report on Compliance and Other Matters

As part of obtaining reasonable assurance about whether Safe Futures, Inc.'s financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Stacey L. Gualtieri, CPA
Audrey A. Leone, CPA

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of Safe Futures, Inc.'s internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Safe Futures, Inc.'s internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Doherty, Beals & Banks, P.C.

DOHERTY, BEALS & BANKS, P.C.
New London, Connecticut

October 20, 2022



**INDEPENDENT AUDITOR’S REPORT ON COMPLIANCE
FOR EACH MAJOR PROGRAM AND ON
INTERNAL CONTROL OVER COMPLIANCE
REQUIRED BY THE UNIFORM GUIDANCE**

Board of Directors
Safe Futures, Inc.
16 Jay Street
New London, CT 06320

Report on Compliance for Each Major Federal Program

Opinion on Each Major Federal Program

We have audited Safe Futures, Inc.’s compliance with the types of compliance requirements identified as subject to audit in the OMB *Compliance Supplement* that could have a direct and material effect on each of Safe Futures, Inc.’s major federal programs for the year ended June 30, 2022. Safe Futures, Inc.’s major federal programs are identified in the summary of auditor’s results section of the accompanying schedule of findings and questioned costs.

In our opinion, Safe Futures, Inc. complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2022.

Basis for Opinion on Each Major Federal Program

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Our responsibilities under those standards and the Uniform Guidance are further described in the Auditor’s Responsibilities for the Audit of Compliance section of our report.

We are required to be independent of Safe Futures, Inc. and to meet our other ethical responsibilities, in accordance with relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion on compliance for each major federal program. Our audit does not provide a legal determination of Safe Futures, Inc.’s compliance with the compliance requirements referred to above.

Responsibilities of Management for Compliance

Management is responsible for compliance with the requirements referred to above and for the design, implementation, and maintenance of effective internal control over compliance with the requirements of laws, statutes, regulations, rules, and provisions of contracts or grant agreements applicable to Safe Futures, Inc.’s federal programs.

Auditor's Responsibilities for the Audit of Compliance

Our objectives are to obtain reasonable assurance about whether material noncompliance with the compliance requirements referred to above occurred, whether due to fraud or error, and express an opinion on Safe Futures, Inc.'s compliance based on our audit. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with generally accepted auditing standards, *Government Auditing Standards*, and the Uniform Guidance will always detect material noncompliance when it exists. The risk of not detecting material noncompliance resulting from fraud is higher than for that resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Noncompliance with the compliance requirements referred to above is considered material if there is a substantial likelihood that, individually or in the aggregate, it would influence the judgment made by a reasonable user of the report on compliance about Safe Futures, Inc.'s compliance with the requirements of each major federal program as a whole.

In performing an audit in accordance with generally accepted auditing standards, *Government Auditing Standards*, and the Uniform Guidance, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material noncompliance, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding Safe Futures, Inc.'s compliance with the compliance requirements referred to above and performing such other procedures as we considered necessary in the circumstances.
- Obtain an understanding of Safe Futures, Inc.'s internal control over compliance relevant to the audit in order to design audit procedures that are appropriate in the circumstances and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of Safe Futures, Inc.'s internal control over compliance. Accordingly, no such opinion is expressed.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and any significant deficiencies and material weaknesses in internal control over compliance that we identified during the audit.

Report on Internal Control over Compliance

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the Auditor's Responsibilities for the Audit of Compliance section above and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies in internal control over compliance. Given these limitations, during our audit we did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, material weaknesses or significant deficiencies in internal control over compliance may exist that were not identified.

Our audit was not designed for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, no such opinion is expressed.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Doherty, Beals & Banks, P.C.

Doherty, Beals & Banks, P.C.
New London, Connecticut

October 20, 2022

SAFE FUTURES, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2022

Federal Grantor / Pass-Through Grantor / Program Title	Passthrough Entity Identifying Number	Federal CFDA Number	Passed Through to Subrecipients	Federal Expenditures
U.S. DEPARTMENT OF JUSTICE				
Passed Through Connecticut Coalition Against Domestic Violence, Inc.				
Against Domestic Violence, Inc.				
Violence Against Women Formula Grants	2017-WF-AX-0028	16.588	-	\$ 8,813
Violence Against Women Formula Grants	2018-WF-AX-0040	16.588	-	7,650
Victims of Crime Act	02-1817-13	16.575	-	427,185
Victims of Crime Act	02-1817-13	16.575	-	14,350
Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking or Sexual Assault.	2016-WH-AX-0077	16.736	-	110,335
TOTAL U.S. DEPARTMENT OF JUSTICE			-	568,333
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				
Passed Through Connecticut Department of Housing				
Domestic Violence and Human Trafficking	19DOH0901FJ	14.267	-	35,000
Domestic Violence and Human Trafficking	CT0092L1E051912	14.267	-	51,596
Domestic Violence and Human Trafficking	CT0092L1E051912	14.267	-	28,042
Domestic Violence and Human Trafficking	CT0092L1E051912	14.267	-	84,586
Emergency Services for Domestic Violence	2G417304/58250	14.218	-	10,000
Emergency Services for Domestic Violence	B-19 MC 09-0012	14.218	-	2,500
Emergency Services for Domestic Violence	B-20 MC 09-0012	14.218	-	12,500
TOTAL U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT			-	224,224
FEDERAL EMERGENCY MANAGEMENT AGENCY				
Passed Through United Way of Southeastern Connecticut				
Emergency Food and Shelter Program - Phase 38	147200-004	97.024	-	1,890
Emergency Food and Shelter Program - Phase 39	147200-004	97.024	-	1,368
TOTAL FEDERAL EMERGENCY MANAGEMENT AGENCY			-	3,258
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				
Passed Through Connecticut Department of Social Services and through Connecticut Coalition Against Domestic Violence, Inc.				
Shelter Services Program	159-1FJ-SSP-01	93.667	-	6,954
Passed Through Connecticut Department of Social Services and then passed through Connecticut Coalition Against Domestic Violence, Inc.				
Shelter Services Program	159-1FJ-SSP-01	93.671	-	74,501
Shelter Services Program - FVPSA CARES	159-1FJ-SSP-01	93.671	-	2,000
Shelter Services Program - FVPSA ARPA	159-1FJ-SSP-01	93.951	-	10,423
Shelter Services Program - OPM Hoteling	159-1FJ-SSP-01	21.019	-	215,281
TOTAL U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES			-	309,159
U.S. DEPARTMENT OF EDUCATION				
Passed Through New London Public School System				
New London Public Schools - ARP ESSER Grant		84.425W	-	50,000
SUBSTANCE ABUSE MENTAL HEALTH SERVICES ADMINISTRATION				
NASMHPD/SAMHSA pass through Connecticut Department of Mental Health and Addiction Services				
Passed Through Connecticut Department of Health and Human Services to Connecticut Coalition Against Domestic Violence	22 MHA6004	93.243		54,390
Coordination Statewide Triage	21MHA6007	93.665	-	7,696
TOTAL SUBSTANCE ABUSE MENTAL HEALTH SERVICES ADMINISTRATION			-	62,086
TOTAL EXPENDITURES OF FEDERAL AWARDS			-	\$ 1,217,060

The accompanying notes are an integral part of this schedule..

SAFE FUTURES, INC.
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2022

1. ACCOUNTING BASIS

BASIC FINANCIAL STATEMENTS

The accounting policies of Safe Futures, Inc. conform to accounting principles generally accepted in the United States of America as applicable to nonprofit organizations.

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

The accompanying schedule of expenditures of federal awards has been prepared on the accrual basis consistent with the preparation of the financial statements. Information included in the schedule of expenditures of federal awards is presented in accordance with the requirements of the Uniform Guidance.

For cost reimbursement awards, revenues are recognized to the extent of expenditures. Expenditures have been recognized to the extent the related obligation was incurred within the applicable grant period and liquidated within 90 days after the end of the grant period.

For performance-based awards, revenues are recognized to the extent of performance achieved during the grant period.

COST ALLOCATION PRINCIPLES

Safe Futures, Inc. has elected not to use the 10 percent de minimus indirect cost rate allowed under the Uniform Guidance.

2. OTHER FEDERAL ASSISTANCE

Safe Futures, Inc. did not receive other federal assistance in the form of insurance, loans, or loan guarantees.

SECTION I – SUMMARY OF AUDITORS’ RESULTS

Financial Statements

Type of auditor’s report issued: Unmodified

Internal control over financial reporting:

- Material weakness(es) identified? yes no
- Significant deficiency (s) identified that are not considered to be material weaknesses? yes none reported
- Noncompliance material to financial statements noted? yes no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? yes no
- Significant deficiency(s) identified that are not considered to be material weaknesses? yes none reported

Type of auditor’s report issued on compliance for major programs: Unmodified

Any audit findings disclosed that are required to be reported in accordance with Uniform Guidance, Section 200.516 yes no

Identification of Major Programs

<u>Name of Federal Program or Cluster</u>	<u>CFDA Number</u>
NASMHPD/SAMHSA	93.243
Shelter Services Program-OPM Hoteling	21.019

Dollar threshold used to distinguish between Type A and Type B program: \$ 750,000

Auditee qualified as a low risk auditee? No

SECTION II - FINANCIAL STATEMENT FINDINGS

No findings are reported.

SECTION III - FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

No findings are reported.

SUMMARY SCHEDULE OF THE STATUS OF PRIOR YEAR AUDIT FINDINGS

No prior year audit findings were reported.

Liability Insurance Coverage

- Safe Futures, Inc. (formerly the Women's Center of Southeastern Connecticut) carries liability insurance in the amount of \$1,000,000 per occurrence, \$3,000,000 aggregate with the Alliance of Non-Profits for Insurance. We carry additional excess liability insurance of \$3,000,000 with them as well.

Payroll Taxes and Worker's Compensation

- Safe Futures pays all payroll taxes and carries workers' compensation insurance as required by Federal and State law.

Fidelity Bond Coverage

- Safe Futures has a Crime Shield Policy with The Hartford which covers \$100,000 for Employee Theft, \$50,000 for Money Orders and Counterfeit Currency, \$100,000 for Depositors Forgery or Altercation, and \$10,000 for Money, Securities and Other Property.

Current Insurance Certificate

- A copy of current insurance certificate is attached.



P.O. Box 2508, Room 4010
Cincinnati OH 45201

In reply refer to: 4077594580
Feb. 28, 2014 LTR 4168C 0
06-0950718 000000 00
00035032
BODC: TE

SAFE FUTURES INC
16 JAY ST
NEW LONDON CT 06320-5910



019554

Employer Identification Number: 06-0950718
Person to Contact: A.BOWLDS
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your May 17, 2013, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in JANUARY 1977.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section 509(a)(2).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

Please refer to our website www.irs.gov/eo for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

4077594580
Feb. 28, 2014 LTR 4168C 0
06-0950718 000000 00
00035033

SAFE FUTURES INC
16 JAY ST
NEW LONDON CT 06320-5910

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,



Tamera Ripperda
Director, Exempt Organizations

Safe Futures, Inc.
2022-2023 Board of Directors
Business Information

Name	Position	Affiliation & Address	Telephone/Email
Gregory Massad Esq. 2017	President 2023	<i>Partner</i> Messier, Massad, Burdick & Assoc. 107 State Street New London, CT 06320	Cell: (860) 235-0775 Work: (860) 443-7014 gmassad@messiermassad.com
Laura L. Mooney, Ph.D 2011	Vice President 2023	<i>Community Volunteer</i>	Cell: (860) 514-1360 laura.louise.mooney@gmail.com
Andrew R. Barker 2016	Treasurer 2023	<i>President</i> ARB Holdings LLC 9 Union Park Boston, MA 02118	Cell: (617) 669-7195 andrew.barker@southendbuttery.com
Thomas LaFauci 2022	Secretary 2023	<i>Project Manager</i> Dominion Nuclear Rope Ferry Road Waterford, CT 06385	Work: (860) 447-1791 ext. 6476 Cell: (860) 287-6166 Tom.W.LaFauci@DominionEnergy.com
Nicole Broadus 2021	Director	<i>Organizing Manager</i> Hearing Youth Voices 230 State Street New London, CT 06320	Work: (860) 437-7422 Cell: (860)237-7662 n_broadus18@yahoo.com
Elsie C. Childs 2014	Director	<i>Community Volunteer</i>	Cell: (860) 608-4679 Home: (860) 434-1924 echilds49.ec@gmail.com
Peter H. Eliel 2017	Director	<i>Community Volunteer</i> Former Officer of Associated Metal & Minerals	Cell: (646)549-0268 Petereliel25@gmail.com
Louis J. Fusaro, Jr. 2022	Director	<i>Police Chief</i> Town of Groton 68 Groton Long Point Road Groton, CT 06340	Cell: (860) 213-0104 Work: (860) 441-6710 LFusaro@groton-ct.gov

Safe Futures, Inc.
2022-2023 Board of Directors
Business Information

William Horgan, MD MBA, FACEP, FAAP 2018	Director	<i>Regional Medical Director of Quality & Safety Emergency Medicine/Pediatric Emergency Medicine for Hartford HealthCare</i> 326 Washington Street Norwich, CT 06360	Work: (860) 889-8331 ext.4455 william.horgan@hhchealth.org
Patricia LaPierre Falling Leaves 2019	Director	<i>Mohegan Tribal Council, Recording Secretary</i> The Mohegan Tribe 13 Crow Hill Road Uncasville, CT 06382	Work: (860) 908-2390 plapierre@moheganmail.com
Susan Noyes 2017	Director	<i>Community Volunteer</i> Former Director Training and OD AT&T	Cell: (860) 908-5770 snoyes@safefuturesct.org
Allen Rosenshine 2022	Director	<i>Chairman Emeritus</i> BBDO Worldwide	Cell: (917) 855-0112 Allen.rosenshine@bbdo.com
Linda Sample 2016	Director	<i>Founder</i> A Thyme to Cook, Inc. (catering and event design) 426 NW Corner Road N. Stonington, CT 06359	Cell: (860) 908-5910 linda@athymetocook.com
Katherine Verano 2017	<i>Ex-Officio Non-voting Member</i>	<i>CEO</i> Safe Futures, Inc. 16 Jay Street New London, CT 06320	Cell: (860)705-9756 Work: (860) 447-0366 ext. 211 Fax: (860) 440-3327 kverano@safefuturesct.org

Safe Futures Inc. Organizational Structure

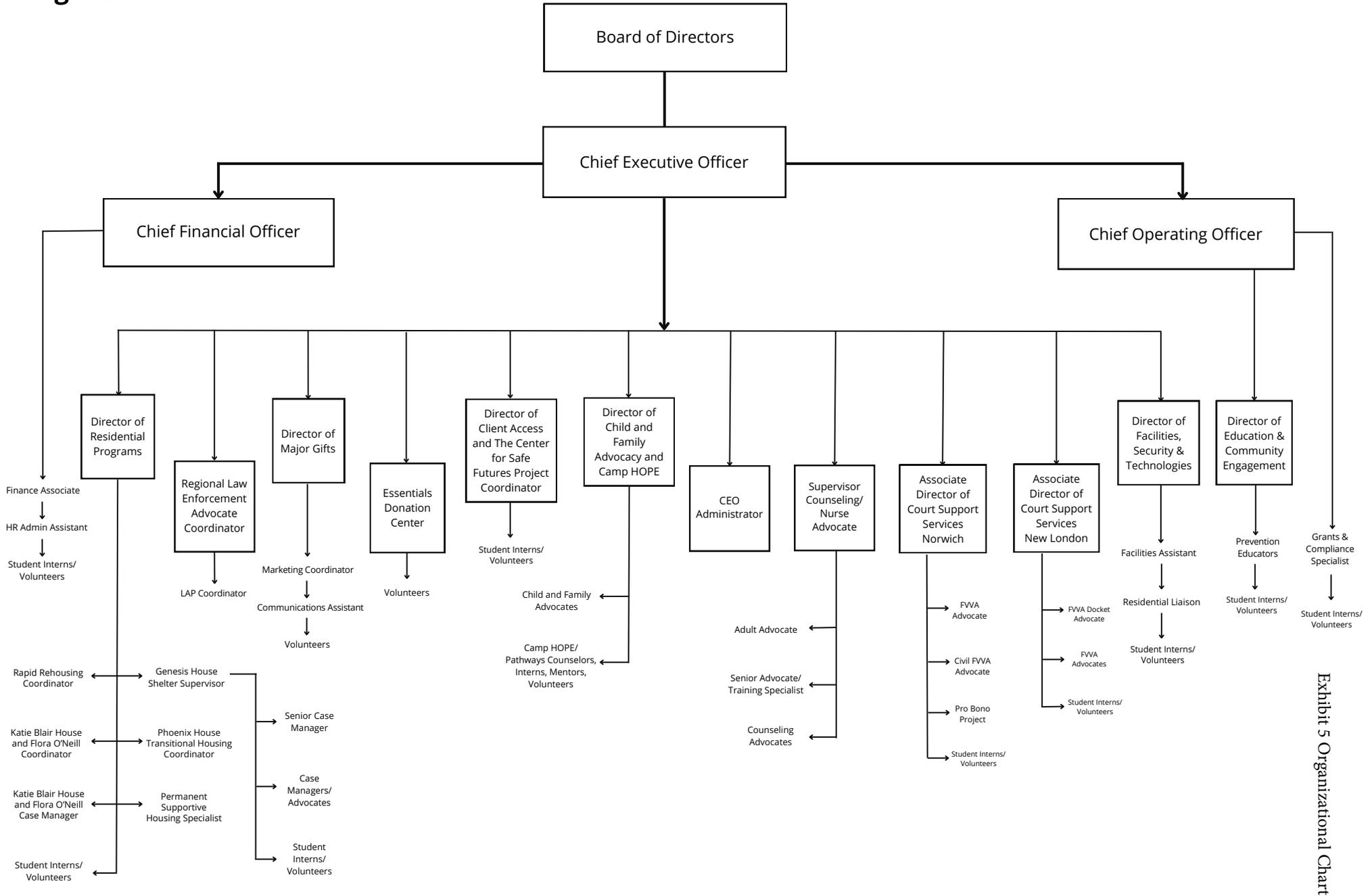


Exhibit 5 Organizational Chart

Katherine G. Verano

Tel. (860)-705-9756

KVerano@safefuturesct.org

SUMMARY OF QUALIFICATIONS

Influential in the enactment of legislative change to protect victims of domestic violence, sexual assault, stalking and trafficking in the State of Connecticut. Possess strong communication, teaching and organizational skills. Extensive experience in human services and the judicial processes to strengthen community collaborations, especially on issues related to the empowerment of victims and victims' rights. Vision and leadership skills in developing a multi-disciplinary team of professionals to work together under one roof as a Family Justice Center.

PROFESSIONAL EXPERIENCE

SAFE FUTURES, INC., New London, CT, formerly The Women's Center of SECT

1994 to present

- Chief Executive Officer, January 1, 2017, to present
- Director of Client Services and Facilities, 2013 – 2017
- Supervisor of Court Support Services and Law Enforcement Advocates, Family Relations Division of Criminal Court- 2000 - 2013
- Criminal Court Family Violence Victim Advocate 1996 – 2000
- Crisis Counseling, Hotline and Shelter Advocate, 1994 – 1996
- Fundraising 1993-1995

Accomplishments:

- Appointed in 2022 by Governor to serve a four-year term on the Judicial Review Council for the State of Connecticut
- Serves on the Governor's State of Connecticut Task Force for Law Enforcement Response to Domestic Violence Model Policy
- Serves on the Governors Women and Girls Council for the State of Connecticut
- Traveled to China as an Ambassador representing the Connecticut Coalition Against Domestic Violence (CCADV), Safe Futures and the State of Connecticut and recognized as the expert trainer on Connecticut's Lethality Assessment Program, Domestic Violence, and collaborating partnerships. Supported by the Paul Tsai China Law Center, Yale Law School. Trained Government officials and representatives from Taiwan, Hunan, and Beijing in Beijing over a two-week period in June 2017.
- Wrote policy and agency standards in compliance with the Connecticut Coalition Against Domestic Violence (CCADV)
- Serves as the Legislative Liaison on Public Policy for Safe Futures and CCADV, 2000 - present
- Serves as the Lethality Assessment Program (LAP) statewide certification train-the-trainer for all law enforcement in CT, with CCADV, being an integral liaison in bringing the first region in Connecticut.
- Leading the agency in the process of becoming a Family Justice Center.

Katherine G. Verano

- Serves as the Eastern Connecticut POST Certified Law Enforcement Council facilitator for the recertification process of domestic violence practices and model policy to all southeastern and eastern CT law enforcement agencies
- Serves on the Connecticut College and Three Rivers College Coordinated Community Response Advisory Committees for Title IV, 2000 – present
- Featured in GRACE MAGAZINE of The Day News in 2007 as the unsung hero in Domestic Violence Advocacy.
- Served on the Veteran’s Judicial Diversionary Board, writing policy in Washington DC
- Assist in grant writing and presentations to funders to maintain programs and staff capacity
- Organize quarterly community roundtables to include law enforcement, judicial, child and advocacy agencies and other community partners to broaden awareness about the effects of domestic violence, educate partners and strengthen collaborative relationships
- Member of the CT Balance of State Steering Committee, 2016 - present
- Member of Coordinated Access Network (ECAN) Steering Committee for southeastern CT., 2013 - present
- Member of Boston College Domestic Violence Evaluation and Research Collaborative (DVPERC)
- Interviewed thousands of victims and offenders in the judicial system over a 20-year period.
- Affiliate of The Alliance for Hope International Family Justice Centers, San Diego

Chief Executive Officer Position Summary: The CEO or is responsible for the overall administration and management of Safe Futures, Inc., including service programs, fund-raising, and business operations. Areas of responsibility include strategic planning and evaluation, policy development and administration, personnel and fiscal management, community relationships and public relations. The CEO is hired by and directly accountable to the board of directors through its elected board chair and executive committee.

Responsibilities:

1. Management and Administration

- a. In conjunction with the board of directors, develop, implement, assess, and communicate strategic plans, including short-, medium- and long-range goals for the organization to ensure that community and organizational needs are being met.
- b. Develop and monitor annual operating plans.
- c. Develop and administer operational policies.
- d. Oversee the implementation and development of The Center for Safe Futures family justice center.
- d. Oversee all programs, services, and activities to ensure that program objectives are met.
- e. Ensure compliance with funding sources and regulatory requirements.
- f. Provide information for evaluation of the organization's activities.

2. Fiscal

- a. Monitor, manage and evaluate the fiscal performance of the organization to assure quality service within projected expenditures.

Katherine G. Verano

- b. Develop, recommend, and monitor annual and other budgets.
- c. Supervise the business affairs of the organization to assure that its funds are expended to the best advantage possible.
- d. Provide for proper fiscal record-keeping and reporting.
- e. Submit monthly financial statements to the board of directors.
- f. Prepare and submit grant applications and funding proposals as appropriate.

3. Personnel

- a. Administer board-approved personnel policies.
- b. Ensure proper (legal) hiring and termination procedures.
- c. Oversee all disciplinary actions.
- d. Provide for adequate supervision and evaluation of all staff and volunteers.

4. Board Relations

- a. Assist the board chair in planning the agenda and materials for board meetings.
- b. Initiate and assist in developing policy recommendations and in setting priorities.
- c. Represent the organization at board of directors' meetings, board committees and sub-committee.
- d. Work with the board to raise funds from the community.
- e. Carry out directives of the board of directors.
- f. Staff board committees as appropriate.

5. Public Relations

- a. Promote a positive organizational and personal image; be available to clients; develop and maintain contacts with individuals who are important to the organization.
- b. Stay abreast of industry/market needs and shifts, recommends, and implements modifications to meet changing needs; manage government relations.

Past Work Experience

LANDMARK BANK, East Hartford, Ct.

Assistant Vice President
Branch Operations
Mortgage and Commercial Lending
Positions over 1985-1993

BANK OF BOSTON, Hartford Connecticut

Branch Manager/Bank Officer
Internal Operations,
Finance and Bookkeeping, 1978-1985

MARKETPLACE RESTAURANT, Glastonbury

ADAMS MILL RESTAURANT, Manchester

KB WINTONBURY RESTAURANT, Bloomfield

Partner- Organize Banquets, Weddings
Manage, Human Resources, Admin.

Education

Attended:

Katherine G. Verano

- Central Connecticut State College-Miscellaneous Education Studies
- Tunxis Community College-Psychology
- American Institute of Banking-Finance, Management
- UConn- Real Estate
- Certified Domestic Violence and Sexual Assault Councilor
- Certified Lethality Assessment Train the Trainer
- State Police Academy POST Certified Instructor
- CPR/First Aid
- Numerous train the trainer certifications

KAREN E. CAMBOULIVES

Tel. (860)-823-8185

KCamboulives@live.com

SUMMARY OF QUALIFICATIONS

Provide financial input into strategic and operational agency objectives as member of leadership team.

Possess excellent communication, organizational, analytical and supervisory skills and judgment.

Have created, maintained and continually improved smoothly running accounting systems, functions and processes, including financial reporting, budgeting, strategic projections and day-to-day operations.

Improved financial stability of organizations by providing enhanced information for decision making.

Managed cash flow and monitored use of organizational resources to maximize objectives.

PROFESSIONAL EXPERIENCE

SAFE FUTURES, INC., New London, CT
Chief Financial Officer

July 2018-Present: Full-Time 5 Days/Per Week
October 2012-June 2018: Full-Time 4 Days/Per Week

- Handled and oversaw accounting entries and processes related to acquisition of another organization.
- Completed overhaul of accounting system; streamlined classes, accounts and processes.
- Established and documented routine monthly, quarterly and annual closing process; streamlined process to be more standardized and efficient (includes up to 60 entries or tasks including bank reconciliations, payroll accruals, monthly payroll reconciliations & fixed assets).
- Prepare budgets and financial reports for over sixty private and government grants annually.
- Prepare and submit all quarterly financial reports to government funders.
- Revamped financial reporting package; prepared and designed new reports and dashboard to help in executive decision making and forecasting.
- Work with management to create annual agency budget allocated among seven programs.
- Work with auditors to prepare all audit related schedules with no audit entries or findings.
- Established an organized grant reporting system for tracking of temporarily restricted net assets and government grants.
- Worked with payroll manager to implement payroll integration with accounting system.
- Worked with donor database manager to integrate donor database with accounting system.
- Supervise Bookkeeper, Grant-Writer and Administrative Assistant.
- Oversee Human Resources function, technology and procurement of insurances.

ALLIANCE FOR LIVING, New London, CT
Director of Finance

April 2013-June 2018: 1 Day/Week

- Worked with Executive Director to greatly improve financial stability of organization by providing financial information and reports to assist in making key financial decisions.
- Greatly improved financial reporting of agency, achieving no audit adjusting entries and obtaining a clean Federal single audit after first year of being responsible for books of agency.
- Established cost allocation plan and implemented related cost allocation procedures.
- Established line of credit to assist with cash flow management.
- Cleaned up accounting system; streamlined classes, accounts and processes.
- Continue to train and teach Finance Manager to handle financial reporting responsibilities.

CO-OPPORTUNITY, INC., Hartford, CT
Director of Finance and Administration

July 2009-October 2012: Full-Time Employee
April 2003-July 2009: Part-Time Consultant

- Worked with management team to provide senior financial perspective to Agency directives.
- Prepared analyses and budget scenarios to provide direction in making strategic management decisions at the Board and management level.
- Maintained responsibility for oversight of all day-to-day financial functions including accounts payable, cash receipts, grant reporting, payroll and reconciliations of accounts.
- Oversaw Agency payroll and benefits procurement and administration.
- Supervised Part-time Bookkeeper, Receptionist and Purchasing function.
- Created and subsequently updated Finance policies and procedures manual.
- Worked with program managers and directors to develop budgets and monitor program spending and provide direction to ensure cost-effective programming.
- Collaborated with grants manager and program managers to develop grant budgets.
- Developed and administered annual Agency budget and departmental budgets.
- Prepared monthly financial reports, cash projections and revenue projections and presented reports monthly to the finance committee of the Board of Directors.
- Managed cash flow.
- Oversaw status of Agency investments; created an investment policy.
- Acted as Agency liaison with financial institutions and funder fiscal departments.
- Managed audit process, including bid process and preparation and co-ordination of annual audit.
- Reviewed all contracts with funding sources, making recommendations to Executive Director; monitored financial requirements for compliance to contractual agreements.
- Managed lease agreements and equipment purchases.
- Procured and managed adequate insurance coverage.
- Oversaw all administrative services and facilities including staffing, contract administration, safety and security.
- Worked with a Human Resources consultant to update Agency's Employee handbook and personnel policies.

ACCOUNTING RESOURCES, INC. (ARI), Glastonbury, CT
Accounting Manager; Non-Profit Accounting Manager

September 2002-July 2009

- Provided fully and partially outsourced accounting functions to several organizations simultaneously, establishing and maintaining efficient and effective financial systems.
- Greatly improved financial systems of multiple clients to achieve clean audits and single audits.

- Worked closely with Executive Directors and staff of clients to provide complete financial function with high level of excellence; functions performed included financial reporting, cash management, grant reporting, preparation of Agency budgets, grant budgets and budget revisions, forecasting, supervision of bookkeeping and preparation for year-end audit.
- Attained proficiency in all areas of documentation and reporting for Federal and State grants.
- Researched and implemented CT Office of Policy and Management cost allocation procedures for several clients and trained staff internally at ARI to implement for their respective clients.
- Promoted to Accounting Manager.
- Supervised several staff-accountants.

AMICORE, INC., Colchester, CT and Andover, MA
Accountant

July 1999-August 2002

- Responsible for all bookkeeping and accounting functions including financial statement generation, cash flow management, and forecasting of operations.
- Prepared company for purchase by joint venture of three Fortune 500 companies.
- Remained with company until after company moved to corporate location in Andover, MA.

SELF-EMPLOYED TAX PREPARER

1997-1999

- Prepared income tax returns for approximately fifty individuals and small businesses annually.

SNET MOBILTY, New Haven, CT
Assistant Controller

1995-1996

- Established accounting and financial reporting systems for Cellular One acquisition.
- Learned and implemented complex revenue accounting of cellular phone industry and trained subsequent staff to take over function.
- Promoted to Assistant Controller in less than one year, supervising four staff-persons.

ARTHUR ANDERSEN LLP, Hartford, CT
Senior Auditor

1992- 1995

- Supervised and conducted audits, reviews and special projects for a diverse client base including banks, hospitals, manufacturing companies and pension plans.
- Promoted to Senior Auditor.

EDUCATION

UNIVERSITY of CONNECTICUT, Storrs, CT
MBA, Specialization in Accounting
Bachelor of Science, Finance

December 1991
May 1989

SKILLS

Computer Programs: Microsoft Office Suite, QuickBooks
Languages: Bilingual French and English (Dual French/U.S. citizenship)

PART V: CONFLICT OF INTEREST QUESTIONNAIRE

**COMMUNITY DEVELOPMENT BLOCK GRANT
CITY OF NORWICH, CONNECTICUT**

**APPLICANT CONFLICT OF INTEREST QUESTIONNAIRE
2023-2024 PROGRAM YEAR**

Federal, State, and City law prohibits employees and public officials of the City of Norwich from participating on behalf of the City in any transaction in which they have a financial interest. This questionnaire must be completed and submitted by each applicant for Community Development Block Grant (CDBG) funding. The purpose of this questionnaire is to determine if the applicant, or any of the applicant's staff, or any of the applicant's Board of Directors would be in conflict of interest.

1. Is there any member(s) of the applicant's staff or any member(s) of the applicant's Board of Directors or governing body who is or has/have been within one year of the date of this questionnaire (a) a City employee or consultant, or (b) a City Council member, or (c) a member of the Community Development Advisory Committee (CDAC) member? Yes No

If yes, please list the name(s) and information requested below:

Name of person	Job Title of person	Indicate City employee, consultant, City Council member, CDAC member or other official (named)

2. Will the CDBG funds requested by the applicant be used to award a subcontract to any individual(s) or business affiliate(s) who is/are currently or has/have been within one year of the date of this questionnaire a City employee, consultant, City Council person or Community Development Advisory Committee member? Yes No

If yes, please list the name(s) and information requested below:

Name of person	Job Title of person	Indicate City employee, consultant, City Council member, CDAC member or other official (named)

3. Is there any member(s) of the applicant's staff or member(s) of the applicant's Board of Directors or other governing body who are business partners or family members of a City employee, consultant, City Council person, Community Development Advisory Committee member? Yes No

If yes, please identify below the City employee, consultant, or Council member with whom each individual has family or business ties.

Name of member	Name of City employee, Consultant, City Council member, CDAC member or other official (named)	Indicate type of tie (Family or Business)	If family, indicate relationship

4. Have you read and understood the HUD regulation regarding conflict of interest, 24 CFR 570.611 (attached)?

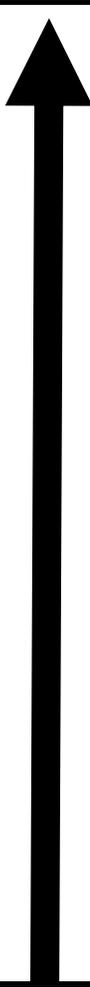
Name of Applicant: Safe Futures, Inc.

Signature of Applicant's Representative 

Title Chief Executive Officer

Date

2-6-2023

OUTCOME: Victims increase their safety and quality of life.				Finish
	2021 Actual	2022 Estimated	2023 Anticipated	
<i>Long Term Outcome: Victims report that they know about the available resources in the community as a result of working with a Norwich Domestic Violence Counselor/Advocate.</i>				
Total Number of Participants:	34	120	120	
Total Number of Participants Achieving Outcome:	34	108	108	
Percent Who Achieved Outcome:	100%	90%	90%	
<i>Interim Outcome: Victims learn about the effects of domestic violence on their lives through working with a Norwich Domestic Violence Counselor/Advocate.</i>				
Total Number of Participants:	34	120	120	
Total Number of Participants Achieving Outcome:	34	108	108	
Percent Who Achieved Outcome:	100%	90%	90%	
<i>Short Term Outcome: Victims develop a personalized safety plan with a Norwich Domestic Violence Counselor/Advocate.</i>				
Total Number of Participants:	23	120	120	
Total Number of Participants Achieving Outcome:	23	108	108	
Percent Who Achieved Outcome:	100%	90%	90%	
<i>Output: Victims of domestic violence from Norwich who either come to or call the Norwich office or police respond to, receive domestic violence services from Norwich Domestic Violence Counselors/Advocates.</i>				
Total Number of Participants:	685	720	720	
Total Participants that are Norwich Residents:	490	450	450	
Total Number of Participants Achieving Outcome:	490	450	450	
Percent Who Achieved Outcome:	100%	100%	100%	
				Start

Section 3 Contractor Affidavit

A Section 3 Business Concern is a business or organization that:

- Is 51 percent or more owned by section 3 residents; or
- Has permanent, full-time employees at least 30 percent of whom are currently Section 3 residents, or within three years of the date of first employment with the business concern were section 3 residents; or
- Has a commitment to subcontract in excess of 25% of the dollar award of all subcontracts to be awarded to such businesses describe above

This is to certify that Safe Futures, Inc. (formerly Women’s Center of Southeastern CT, Inc.)
Business Name (Print)

 Is a Section 3 Business Concern (Please read, review and implement necessary items in document entitled “Section 3 Requirements”)

 Is **NOT** a Section 3 Business Concern but the contract for work will require my business or sub-contractor to hire, train, or educate a new employee. (Please read, review and implement necessary items in document entitled “Section 3 Requirements”)

 X Is **NOT** a Section 3 Business Concern and the contract for work will **NOT** require my business or sub-contractor to hire, train or educate a new employee. (No further action is necessary unless an employee is hired during the contract period)


Authorized Signer

 2-6-23
Date

Katherine Verano, Chief Executive Officer
Print Name