

**CITY OF NORWICH
COMMUNITY DEVELOPMENT BLOCK GRANT • APPLICATION FOR FUNDING
PUBLIC SERVICES**

PROGRAM YEAR 2021 (PY 47) • SEPTEMBER 1, 2021 – AUGUST 31, 2022

DUE: WEDNESDAY FEBRUARY 17, 2021 AT 4 PM AT 23 UNION STREET, NORWICH, 2ND FLOOR

Office of Community Development
23 Union Street, 2nd floor • Tel (860) 823-3770 • Fax (860) 823-3715

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PART I: GENERAL INFORMATION

AGENCY: Safe Futures, Inc.

LEGAL NAME
(if different from Agency) _____

ADDRESS: 16 Jay Street
New London, CT 06320

E-MAIL: msoussloff@safefuturesct.org

EXECUTIVE DIRECTOR: Katherine Verano, Chief Executive Officer

CONTACT NAME AND TITLE: Margaret N. Soussloff, Director of Grants & Compliance

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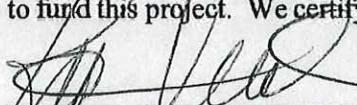
AGENCY FISCAL YEAR: 7/1 6/30
Begin End

PROGRAM OR PROJECT NAME: Norwich Domestic Violence Response Team (NDVRT)

CDBG REQUEST & AWARD AMOUNTS:

	REQUEST	AWARD
UPCOMING FISCAL YEAR (This Request) (September 1, 2021 - August 31, 2022)	\$15,000	\$ _____
CURRENT FISCAL YEAR (Prior Year Award) (September 1, 2019 – August 31, 2020)	\$15,000	\$15,000

The information contained herein and attached as exhibits hereto is, to the best of our knowledge and belief, true, correct and complete and that the City of Norwich can rely upon these statements in determining whether to fund this project. We certify that the Agency Board of Directors has approved this application.



EXECUTIVE DIRECTOR/DEPT. HEAD

Katherine Verano, Chief Executive Officer
Printed Name

2/16/2021
DATE



BOARD OF DIRECTORS

Gregory Massad, Esq., Treasurer, Safe Futures BOD
Printed Name

2/10/2021
DATE

PART II: PROGRAM INFORMATION

A. INTRODUCTION/AGENCY INFORMATION

A1. Brief History

Safe Futures was established as the Women's Center of Southeastern Connecticut, Inc. in 1976 to be a resource center and rape crisis hotline for women. In 1978, Genesis House, a shelter for battered and abused women, was opened and a 24-hour hotline was established. Phoenix House, the first domestic violence transitional living program in Connecticut, opened in 1991, and violence-prevention education programs were added in 1992. In 2004, the agency created a domestic violence team in partnership with the Norwich Police Department as part of the Victim Advocate Law Enforcement program. In 2007, the agency helped to establish a dedicated domestic violence docket in the New London court and created the first domestic violence supportive housing program in Connecticut that provides intensive case management to chronically homeless families affected by trauma. In 2012, the Women's Center changed its name to Safe Futures to better reflect those being served, the full scope of programs, and to highlight the importance of violence prevention and breaking the cycles of violence in our work. Also in 2012, the agency, in collaboration with Connecticut Coalition Against Domestic Violence, piloted the Lethality Assessment Program with four local municipal law enforcement agencies, which is now a statewide program. In 2016, the agency expanded the transitional living program to include scattered-sites. In 2018, the agency was recognized nationally as being the first domestic violence shelter in Connecticut to accept victims with pets. In 2019, the agency acquired the Norwich-based Bethsaida Community, Inc. with their on-site programs Katie Blair House and Flora O'Neil Apartments. Also in 2019, the agency, in collaboration with CCADV, implemented a Rapid Re-housing program as well as expanding the Law Enforcement program regionally. In 2020, the agency implemented Camp HOPE Safe Futures and embarked on the development of the Family Justice Center model with Alliance for Hope International and community partners.

The mission of Safe Futures is to save lives, restore hope, and change the future for those impacted by domestic violence, sexual assault, stalking, and trafficking in southeastern Connecticut.

Our ultimate vision is to eliminate violence in our community so that southeastern Connecticut becomes a place:

- Where violence and abuse are not tolerated;
- Where all victims and survivors are able to get the support and resources they need to create a new life for themselves; and
- Where all children and teens feel safe, and learn the skills to establish healthy friendships and dating relationships.

Safe Futures is the only agency exclusively providing services, support, and housing for victims of domestic violence, sexual assault, stalking, and trafficking in New London County. We define our services as trauma-informed, racially equitable, person-centered and voluntary. All of Safe Futures programs are provided free of charge, and confidential, to those in need regardless of income level, ethnicity, age, religion, ability, sexual orientation or gender. Last year, Safe Futures served: 172 women, children, and men, along with their pets, who were homeless due to abuse, in our emergency shelter (or in hotels when the shelter was full) and 203 homeless victims in our on-site or scattered transitional housing programs, on-site or scattered site permanent supportive housing, rapid re-housing program, or on-site short-term safe living program; over 7,000 individuals seeking counseling and court support services for domestic violence, sexual assault, stalking and trafficking, with 3,552 being new clients; and 4,367 students and 310 educators and community members participating in our prevention education programs.

Through the Lethality Assessment Program (LAP), area police officers assess an intimate partner domestic violence victim at the scene of the incident to determine if they are at risk of being murdered. If a victim is at high risk, police call a dedicated phone line at Safe Futures. Last year, of the Lethality Screens administered by area police, officers called in 249, and 81% (201/249) of victims immediately utilizing services at Safe Futures.

A2. Hours of Operation

Safe Futures provides services during the following hours of operation:

- New London Counseling & Advocacy Office - Monday through Friday, 9 am to 5 pm.
- Norwich Counseling & Advocacy Office – Monday through Friday, 9 am to 5 pm.
- Family Violence Victim Advocates (FVVAs) in the New London and Norwich Criminal Courts – Monday through Friday, 9 am to 5 pm.
- Civil Family Violence Victim Advocates (CFVVAs) in the New London and Norwich Civil Courts - Monday through Friday, 9 am to 5 pm.
- Victim Advocate Law Enforcement (VALE) program located in the Norwich Police Department - varying days, 7 hours/week (For Fiscal Year 2021: partially funded with City of Norwich CDBG PY 46 funds. Continuation of program based on available funding for Fiscal Year 2022.) For Fiscal Year 2021, due to the impact of the exemplary Norwich VALE program, Safe Futures again received funding from Connecticut Coalition Against Domestic Violence (CCADV) to mirror the VALE program regionally. Continuation of the regional VALE program in Fiscal Year 2022 is unknown at this time).
- Domestic Violence Hotline – Available 24 hours/day, 365 days/year.
- Lethality Assessment Program dedicated phone line for law enforcement – Available 24 hours/day, 365 days/year.
- Emergency domestic violence shelter and case management services - Available 24 hours/day, 365 days/year.
- Nurse Advocate to assess victims medical needs, schedule as needed.
- Protect Our Pets allowing victims pets to stay with them in all our housing programs and supports our therapy dog, Luna and our working cat, Jill.
- Camp HOPE Safe Futures/Pathways Mentor Program for children exposed to domestic violence, held during summer for 1 week overnight camp and monthly meeting during year.
- Transitional Living Program, on-site, located in New London – Case Management services available 35 hours/week.
- Supportive Housing Program, scattered site, office located in Norwich – Case Management services available 35 hours/week.
- Supportive Housing Program, on-site, located in Norwich-Case Management services available 35 hours/week.
- Short-Term safe living program for victims on their journey to recovery from substance abuse, on-site, located in Norwich – Case Management services available +35 hours/week.
- Rapid Re-housing Program, scattered site, office located in New London-Case Management services available 35 hours/week.
- Domestic Violence and Self-Esteem support groups located in Norwich and New London - 1 evening in Norwich and 1 evening in New London/week.
- Community Education and Outreach programs, including violence-prevention education programs, throughout New London County by appointment.

A3. Total number of persons employed

Total employees is forty-four (44), with twenty-eight (28) full-time and sixteen (16) part-time.

A4. Person responsible for compliance

Katherine Verano, Chief Executive Officer

A5. Federal Funding

In Fiscal Year 2019-2020, the total of Federal Funds Safe Futures received was \$945,119. This was above the Federal Single Audit threshold of \$750,000. Safe Futures had a Federal Single audit for fiscal year 19-20. There were no material weaknesses; no significant deficiencies and no matters of noncompliance noted, as well as no exceptions to testing. Safe Futures expects to be awarded over \$750,000 in Federal Funds in fiscal year 2020-2021.

B. STATEMENT OF NEED

B1. Need Statement

Domestic violence (DV) is a pattern of abusive behavior in a relationship where one partner tries to control and dominate the other. Abuse can be in the form of physical harm, financial or occupational restriction, sexual abuse, emotional, mental, gaslighting, and verbal. Victims are often isolated from outside resources and are intimidated by their abuser's threats to harm them or their children if they seek help, and continue to take the abuse because their lives, and often the lives of their children, depend upon it.

Research shows that economic dependency is the strongest predictor of a victim of DV returning to an abusive relationship, even if they know their safety is in jeopardy by doing so. In these unprecedented times due to the coronavirus pandemic, the unemployment rate across the country is the highest it has been for years. For December 2020 (latest rates available) the unemployment rate in U.S. was 6.7%; CT was 8%; New London County (Safe Futures service area) was 8.5%; City of Norwich was 11.1%; and City of New London was 12.3%.¹ The latest United Way ALICE (Asset Limited, Income Constrained) report (2020) indicates that 33% of households in southeastern Connecticut either earn more than the Federal Poverty Level, but less than the basic cost of living for the area or are poverty-level households, while in Norwich it is 44%.² Of the eight counties in CT, New London County has the second highest family violence offense rate per capita (for 2018: 1,018 per 100,000 pop.). Norwich ranks second highest of cities/towns having the highest family violence offense rate per capita in the state (for 2018: 2,204).³ In 2015, of the 8 intimate partner homicides in CT, 25% (2/8) were from New London County, with one being from Norwich.⁴ In 2016, of the 12 intimate partner homicides in CT, one occurred in Norwich as well.⁵ In 2018, of the 14 intimate partner homicides in CT, 25% (2/8) were from New London County.⁶ Also, for 2019, there were 13 intimate partner homicides in CT, with one occurring in New London County. In 2020, there was 1 intimate partner homicide in Norwich. Further, through the Lethality Assessment Program (LAP), area police officers assess a domestic violence victim at the scene to determine if they are at high risk of being murdered. If a victim is at high risk, they call a dedicated phone line at Safe Futures. The Norwich Police Department was one of the first to join the LAP in September 2012. Last year, of the 118 Lethality Screens administered by Norwich police, officers called in

¹ Connecticut Department of Labor, <http://www1.ctdol.state.ct.us/lmi/laus/lmi123.asp>

² United Way Alice Report-Connecticut, <http://bit.ly/ConnALICE>

³ Connecticut Family Violence 2018 Arrest Report, State of Connecticut Department of Emergency Services and Public Protection, published October 2019.

⁴ Upon Further Examination, 2015-2016 Findings & Recommendations, Connecticut Domestic Violence Fatality Review Committee, www.ctcadv.org.

⁵ Upon Further Examination, 2017-2018 Report of the Connecticut Domestic Violence Fatality Review Task Force, www.ctcadv.org.

⁶ Connecticut Family Violence 2018 Homicide Report, State of Connecticut Dept. of Emergency Services and Public Protection, published June 2019.

59 that were of high risk of being murdered with immediately connecting 52 victims to Safe Futures at the time of the incidence.

Norwich residents comprise about 25% of those who receive services from Safe Futures each year, and the Norwich DV Response Team (NDVRT) was created in 2004 to provide services specifically in Norwich and the surrounding towns. The original NDVRT consisted of Family Violence Victim Advocates (FVVAs) in the Norwich court, a Victim Advocate Law Enforcement (VALE) Advocate in the Norwich Police Department, weekly support groups at the Madonna Place, 24-hour hotlines, and a full-time walk-in Counseling office. In March 2019, Safe Futures acquired the Norwich-based Bethesda Community, Inc. and their on-site programs Katie Blair House (KBH), a short-term safe living program for women who are victims of domestic violence, sexual assault, stalking and trafficking on their journey to recovery from substance abuse, and Flora O'Neil Apartments (FON), permanent supportive housing. The addition of these programs further strengthens NDVRT with residential options.

In 2009, reduced funding forced Safe Futures to close the full-time Norwich walk-in Counseling office. As a result, Norwich residents had to travel to New London to receive services from Safe Futures, greatly reducing who had access to walk-in services. In September 2012, with support from Norwich CDBG, we were able to restore a part-time office within the Center for Mental Health at the William W. Backus Hospital. A crisis counselor worked there two days/week. Through collaborative efforts, and, by leveraging several resources along with Norwich CDBG, we were able to move the counselor to a full-time counseling office located at 241 Main Street, Norwich since April 2015.

In recent years, the VALE Program was primarily supported by fundraising dollars and a few private foundation grants. Unfortunately, for Fiscal Year 2016, the State of Connecticut restructured a grant we received for 20 years and we were no longer deemed eligible. To replace the lost of these state funds, we had to redirect fundraising dollars. As a result, this necessitated the suspension of the VALE Program in July 2015. Fortunately, with the support of Norwich CDBG Re-Allocation funds, VALE services were reinstated in December 2015. Even after the CDBG Re-Allocation funds ended in 5/31/16, Safe Futures was able to continue VALE until the start of awarded funds from Norwich CDBG Program Year 42, 9/1/16, which also supported the crisis counselor at the Norwich office. We were also awarded funds from Norwich CDBG Program Year 43, beginning 9/1/17, Program Year 44, beginning 9/1/18, Program Year 45, beginning 9/1/19 and Program Year 46, beginning 9/1/20 for both programs. With almost 200 victims from Norwich referred to VALE in CDBG Program Year 45, the need continues.

B2. Uniqueness of services

Safe Futures is still the only agency in New London County that provides services exclusively for victims of domestic violence, sexual assault, stalking, and trafficking, and their families. There are no other agencies in the Norwich area that offer similar services, having certified Domestic Violence Counselors/Advocates available at our walk-in office and at the Norwich Police Department to work alongside police officers when they are responding to domestic violence calls.

B3. Address Community Needs

During 2005-2006, when the FVVA, VALE Advocate, and Norwich walk-in Counseling office were all staffed full-time, Safe Futures served 1,992 Norwich residents, 36% of all individuals served at that time. During 2011-2012, with a full-time FVVA, a part-time VALE Advocate, and no Norwich Counseling office, only 1,568 individuals were served, 26% of individuals served at that time.

To address this disparity, a part-time office was opened in Norwich in September 2012 within Backus Hospital. During the summer of 2014, we engaged a volunteer to survey Norwich social service agencies to

discover their level of awareness about our office. Surveyed agencies included: Madonna Place, Norwich Human Services (NHS), Thames Valley Council for Community Action (TVCCA), Southeastern Mental Health Authority (SMHA), Catholic Charities, Reliance House, and the Department of Social Services (DSS). Representatives from these agencies saw us as an essential service and were pleased we were available in Norwich once again. However, they felt that it would be better for us to have a full-time office in downtown Norwich, so that they, and other social service agencies, could easily refer their clients to us within walking distance of their own offices to ensure clients received services quickly.

To address these needs, we leveraged several funding sources, including Norwich CDBG funding, to lease a new office space on Main Street in downtown Norwich, and began providing walk-in services five days/week in spring of 2015, which we have continued. Due to lack of funding, the VALE Program was not staffed from July 2015 to December 2015, even though there was a need. With the support of the City of Norwich, CDBG Re-Allocation funds, we were able to reinstate VALE services in December 2015. Although this funding ended 5/31/16, Safe Futures made a concerted effort to continue the program when we were notified we would be receiving City of Norwich, Program Year 42 CDBG funds, beginning 9/1/16, and were successful. Safe Futures also received Program Year 43 CDBG funds, beginning 9/1/17, Program Year 44 CDBG funds, beginning 9/1/18, Program Year 45 CDBG funds, beginning 9/1/19, and Program Year 46 CDBG funds for both the counseling office services and VALE. Hence, the VALE program in partnership with the Norwich Police Department has been available once again since December 2015.

During the coronavirus pandemic, as Safe Futures services are considered "essential", all of Safe Futures services - hotlines, counseling, court support, and housing programs - remain operational for victims, with appropriate COVID-19 precautions being made. Our hotline is available 24/7. In March 2020, at the beginning of CT "Stay Safe, Stay Home", the Norwich office temporarily consolidated with the New London office for safety reasons. The Norwich counselor provided services remotely with the Norwich Office reopening July 1, 2020, with COVID-19 precautions in place including a table outside the door with masks, gloves, sanitizer, and a sign instructing clients to administer a COVID-19 prescreen and complete a questionnaire of what is needed; installation of an intercom/buzzer and camera system; and installation of a plexi-glass door in the counseling office to act as a barrier protection between client and staff. For COVID-19 precautions, the VALE Advocate is providing remote follow-up to domestic violence victims seen by the Norwich police.

B4. Waiting List

Safe Futures' programs strive to provide services at the moment victims seek support, and a 24-hour hotline, answered by a certified Domestic Violence Counselor/Advocate, are available for the times when a staff member is not. Currently, walk-in services in Norwich are provided Mondays through Fridays from 9am to 5pm in our office in downtown Norwich. The VALE program certified Domestic Violence Counselor/Advocate is available 1 day a week to work alongside Norwich police officers when they are responding to DV calls, providing immediate crisis intervention and advocacy to victims during an investigation, and for follow-up services (During COVID-19, services are provided remotely).

C. PROGRAM DESCRIPTION

C1. General Description

For Program Year 47, funding from Norwich CDBG is requested to partially support certified Domestic Violence Counselors/Advocates positions in Norwich which provide services five days/week in our walk-in office and work with the Norwich Police through the VALE Program one day a week.

Domestic Violence Counselors/Advocates provide immediate support and, when appropriate, crisis

intervention services. The main objective of the Counseling program is to listen, assess the degree of danger, safety plan, and provide information and referrals that can best meet the victim's needs. Contrary to popular belief, getting a victim to leave an abusive situation is not always the best or safest choice. Abusers will often restrict access to finances, destroy the victim's rental and/or credit history, or prevent the victim from being employed, all of which make it incredibly hard for the victim to be able to provide for themselves and their children or obtain housing independently, putting the family at risk of homelessness and poverty.

Our certified Domestic Violence Counselors/Advocates work with victims to make the best and safest decisions for themselves, and their children. Our office is conveniently located at 241 Main Street, Norwich, close to Madonna Place, Reliance House, Catholic Charities, Southeastern Council on Alcoholism and Drug Dependence, the Norwich courthouse, and Norwich Human Services. This location ensures that the maximum numbers of victims are not only aware of, but have easy access to our services, which include:

- Designing safety plans so that the victim can continue living with the abuser as safely as possible, until leaving is an option or escape is necessary;
- Support and assistance accessing emergency shelter and obtaining emergency transportation to flee a violent situation;
- One-on-one support helping victims, and when appropriate their children, understand the effects of domestic violence and help them cope with the emotional impact of the abuse;
- Providing in-person support and assistance at the emergency room;
- Access to our bilingual advocate or an interpreter through Language Line Translation to ensure that language and cultural differences do not act as barriers to service;
- Making referrals to additional programs for therapeutic counseling, mental/physical health, and coordination with community-based agencies for other specialized services;
- Assisting victims to understand the process of obtaining a Temporary Restraining Order or other legal documents to increase their safety; and
- Providing community donations of clothing, household goods, and personal care items.

Since Safe Futures' donation area is located in New London, the Norwich office allows for a collection of personal care items, duffel bags, clothing, and food to be easily accessible in case of an emergency - enabling victims to leave as soon as they need to without worrying about taking the time to pack an extra set of clothes or personal care items for themselves and their children. It also provides a safe space to bring victims who need to be removed from a dangerous situation and begin safety planning for immediate relocation.

The VALE Program places a certified Domestic Violence Counselor/Advocate in the Norwich Police Department to work alongside the designated Domestic Violence police officer, and other police officers when responding to domestic violence calls. The advocate is located at the police station, rides with the police, and is provided with a desk, phone, etc. With this unique partnership, the VALE Advocate provides immediate crisis intervention and advocacy to victims during a police investigation, acting as a liaison between law enforcement and victims so that victims can feel safe and learn to trust the police officers as a resource.

The VALE Advocate provides the following services:

- Immediate response to domestic incidents to ensure the safety of the victim and their children, and support to the police officers investigating the call;
- Safety planning for victims, including information on how to enhance their safety in their home and

develop an understanding of domestic violence;

- Information and education about protective orders and assistance with protective order modification, as well as information about applying for civil restraining orders;
- Information on the law enforcement processes and education about the victim's rights;
- Escorted transportation of the victim to and from court;
- Assistance and one-on-one support to help the victim and their family at court, as they are often re-victimized during the court process; and
- Unannounced phone calls and follow up visits along with law enforcement, to ensure that the victim and children are safe, that their needs are being met, and that the offender is honoring restraining and protective orders.

The VALE Advocate acts as a vital link between victims and domestic violence services Safe Futures offer and other community agencies - a link that can prevent future fatalities and ensure that victims are able to access the resources they need to live better, safer lives, free from violence.

C1a. Alignment with 5-year Consolidated Plan (see www.norwichct.org)

The *City of Norwich, Connecticut Consolidated Plan 2020-2024*, recognizes domestic violence services as a needed activity in the "Create a Suitable Living Environment" category. In answer to the Community Needs Assessment Question 10-Special Community Needs Services, 70.91% answered that Domestic Violence Services were either a Somewhat High or High Priority.⁷ Further, the plan recognizes domestic violence victims need access to safe, affordable housing opportunities with strong case management. Safe Futures NVRDT assists the city in accomplishing this goal through supportive services.

C1b. Collaboration with other programs and organizations

To provide services in Norwich, Safe Futures actively collaborates with a number of programs and organizations. The NDVRT was created through partnerships with the Norwich court, Norwich Police Department, and the William W. Backus Hospital. The FVVAs and CFVVAs utilize space in the Norwich court and the VALE Advocate utilizes space in the Norwich Police Department. For PY 47, Safe Futures will continue offering services at our walk-in office and a Domestic Violence Counselor/Advocate will continue working with the Norwich Police Department, with the advocate located at the police station and working alongside the police. The Norwich Police Department is dedicated to providing services to DV victims, but does not have the resources to provide advocacy, as the VALE Advocate does. The Norwich Police fully supports the continuation of the VALE Program and Advocate position, as indicated by past support letters and of the willingness to speak at the Public Hearings in support of the project each year.

Safe Futures' weekly domestic violence support group sessions are currently held in the offices of Madonna Place in Norwich (during COVID-19 they are being held through teleconferencing).

Since December 2011, Safe Futures has collaborated with Covenant Shelter, Mystic Area Shelter & Hospitality, Thames Valley Council for Community Action, and Southeastern Mental Health Authority to provide a Coordinated Access system (CAN) for homeless families in southeastern Connecticut, meeting weekly. Under this system, homeless families make one point of entry call and the collaborating agencies work together to find the family shelter. This system is deemed a best practice by the U.S. Department of Housing and Urban Development. In 2013, the program was expanded to include New London Homeless Hospitality Center, Norwich Human Services, Reliance House and St. Vincent DePaul Place to provide CAN for individuals, as well. And, in fall 2018, to more effectively serve homeless individuals and families,

⁷ City of Norwich, Connecticut consolidated plan 2020-2024, www.norwichct.org

the Southeastern CAN and the Northeastern CAN merged, combining resources area served, to form the Eastern CAN. The Chief Executive Officer of Safe Futures is part of the CAN Steering Committee that meets at least monthly and other Staff Futures staff attend the weekly CAN meetings.

C1c. Links with local or regional plans

The Department of Housing and Urban Development considers all victims of domestic violence as low or very-low income, and in New London County for the 2020 CT Point-in Time Count, 22% of homeless individuals reported being a survivor of domestic violence.⁸ Therefore, Safe Futures staff are actively involved in local, regional, and statewide efforts to end homelessness and are members of the following groups:

- Eastern Connecticut Partnership to End Homelessness, Coordinated Access Network-*Steering Committee, Community Care Team, Family Community Care Team*
- CT BOS Steering Committee-statewide initiative to integrate domestic violence and homeless systems
- Connecticut Coalition to End Homelessness

C1d. Partnerships

In 2004, Safe Futures created a domestic violence team in partnership with the Norwich Police Department as part of VALE. Full funding of our request from PY 47 will allow our partnership with the Norwich Police Department to continue (and to support a crisis counselor in our Norwich Office). The Norwich Police see the value of the partnership as evidenced through consulting with Safe Futures on domestic violence, sexual assault, stalking, and trafficking issues, acknowledgement of VALE being a bridge between a victim and police since so many victims are not comfortable with reporting their abuse to police, working as a team with the advocate to deliver trauma-informed services, and providing an office space for the advocate.

C1e. New program or service?

Funding is requested to support certified Domestic Violence Counselors/Advocates positions, 5 days/week at our Norwich Office and 1 day a week at the Norwich Police Station through VALE. The Norwich Crisis Counselor position was created in part with Norwich CDBG funding obtained in PY 38, and sustained in PY39, PY40, PY41, PY 42, PY 43, PY 44, PY 45, and PY 46. CDBG funding in Program Year 36 was used to restore the weekly Norwich support group, and funding in Program Year 37 enabled Safe Futures to maintain the VALE Advocate position and expand it from 18 hours/week to 21 hours/week. Until July 2015, Safe Futures sustained the VALE Program through other grants and fundraising dollars. Funding from Program Year 41 Re-Allocation (and other Safe Futures generated grants and fundraising dollars) allowed us to restore the VALE Program, with an advocate position for 14 hours/week. Funding from PY 42, PY 43, PY 44, PY 45 and now PY 46 has allowed us to continue the VALE Program. Although, now at only one day a week due to reduced funding from other sources.

C2. Activity or Service Information

C2a. Location of services

C2b. Frequency of services

C2c. Hours of operation

If we receive full CDBG funding (along with other needed funding), Counseling & Advocacy walk-in services will be provided Monday through Friday from 9:00am to 5:00pm at our office at 241 Main Street,

⁸Connecticut Coalition to End Homelessness, CT Point-in-Time Count 2020 Norwich-New London Summary, <https://cceh.org/data/interactive/2020pitdashboard/>.

9/1/21-8/31/22. The VALE Advocate will be located with the Norwich Police Domestic Violence unit at the Norwich Police Department, 70 Thames Street, Norwich. The advocate will be available 1 day a week from 9/1/21-8/31/22. As the police receive DV related calls 24/7, the advocate will be flexible to work some evening hours so they will be available at different times. Additional support and crisis intervention services are available through Safe Futures 24-hour hotlines.

C2d. Anticipated number to be served from Norwich

During PY 47, it is anticipated that 150 individuals, 50 of them Norwich residents, will be served through the walk-in office. We anticipate with the VALE Advocate available for one day a week, approximately 300 individuals, 250 of them Norwich residents, will be served through the program.

C2e. Hours of Operation

See answer to C2a/b/c.

C3. Percentage of requested grant funds for administration and salaries and Total number of employees hired and/or retained as a result

The requested funds will be used to retain 1.2 FTE Domestic Violence Counselors/Advocates in Norwich. No CDBG funds will be used for administrative salaries.

C4. Outcomes Theory of Change

When a victim of domestic violence can meet with a Domestic Violence Counselor/Advocate, in-person, and work on a safety plan, receive basic needs, referrals for community-based services, and discuss how domestic violence has personally affected their own life, they feel safer, know where to turn for resources, and can preserve their safety in the future.

Inputs:

One certified Domestic Violence Counselor/Advocate available Monday through Friday; office space at 241 Main Street, Norwich; safety planning and education materials and Safe Futures' pamphlets; referral information and forms; personal care items to be given to clients; phone, computer and other office supplies including surveys.

One certified Domestic Violence Vale Advocate available one day a week; office space at Norwich Police Department, 70 Thames Street; safety planning and education materials and Safe Futures' pamphlets; referral information and forms; basic need items to be given to clients; phone, computer and other office supplies including surveys.

Outputs:

150 victims will come to the Norwich office to receive services and 50 will be Norwich residents.

300 victims of DV, 280 being Norwich residents, will receive VALE Program services.

Activities:

Walk-in crisis intervention and counseling services in our office at 241 Main Street in Norwich Monday through Friday from 9:00 am to 5:00 pm; safety planning; assessment; psycho-educational support and counseling about the effects of domestic violence on the victim and children; coordination of transportation to safe shelter when necessary; assistance understanding application process for a Temporary Restraining Order; Bi-Lingual services and access to Language Line Translation services; referrals to community resources or other Safe Futures programs; coordination of services with VALE, FVVAs and CFVVAs; provision of 911 emergency cell phones; and provision of donated clothing, household, and personal care

items as needed.

Work alongside Norwich police officers when responding to domestic violence calls providing immediate crisis intervention and advocacy one day a week, flexible hours; safety planning; assessment; psycho-educational support and counseling about the effects of domestic violence on the victim and children; coordination of transportation to safe shelter when necessary; assistance understanding application process for a Temporary Restraining Order; Protective Order checks; Bi-Lingual services and access to Language Line Translation services; referrals to community resources or other Safe Futures programs; coordination of services with Crisis Counselors, FVVAs and CFVVAs; provision of 911 emergency cell phones; and provision of donated clothing, household, and personal care items as needed.

Outcomes: The outcomes we measure are the industry standard used by domestic violence programs nationwide. They include: increase knowledge of how to enhance one's safety; increase knowledge about domestic violence; and, increase knowledge of community resources. Surveys are utilized to gather information and victims are asked to answer each question either "Yes" or "No". Since these surveys are submitted anonymously, we cannot separate surveys received from Norwich residents from those who are not Norwich residents. We have data on the population served through the Norwich office and VALE and will project these results to the Norwich residents.

By providing the opportunity for Norwich residents to meet in-person with Domestic Violence Counselors/Advocates, we expect to improve the safety and quality of life for these underserved residents. The following illustrates how we collaborate with the Norwich Police for the Victim Advocate Law Enforcement (VALE) program.

The VALE Advocate and a local police department assisted a high danger Lethality Assessment victim after the initial arrest of their ex-partner. During the initial incident, the victim spoke with a hotline worker after their ex-partner attempted to break into the house. The VALE Advocate spoke with the victim by phone after the arrest and release of the abuser, but prior to their court arraignment. The advocate explained to the victim the Full No Contact Conditions of Release that were put into place by the police department and that they would protect them until the abuser went to court. At that point, the advocate could ask for a Full No Contact Protective Order on their behalf. The victim explained that the abuser had already been back to the residence the night prior after their release and that they had also gained entry into one of the victim's sibling's home. That residence was in another jurisdiction, but the advocate was able to speak with the corresponding police department about that incident.

The VALE Advocate safety planned extensively with this victim, especially after learning that the abuser had violated the conditions multiple times. The advocate explained that these incidents were violations and that they could call the police if they saw him again. The advocate walked through trying to get photos of the abuser, if it could be done safely, along with locking doors, windows, and being aware of their surroundings when walking to her vehicle and driving. After discussing the court process, the advocate provided her number to the victim. Only a few minutes later, the victim called back frantic, stating that the abuser had driven by the residence again. The advocate instructed the victim to immediately dial 911 and secure themselves in the residence.

Although the advocate was working off-site due to COVID, she was able to keep in contact with the police department to receive updates on the investigation. A sergeant called the advocate after the abuser was arrested for Violations of Conditions of Release to inform the advocate that the abuser would be released on bond. When the advocate followed up with the victim, they stated the police had followed them to their new apartment to make sure the abuser did not follow them. The victim also stated they understood if they saw

the abuser again, to call the police directly. The victim is now working with Safe Futures court advocates and will reach out to the civil advocate when they are ready to start the divorce process.

E. FUNDING QUESTIONS

E1. Amount and source of leveraged funding

The requested funding of \$15,000 will be combined with \$5,000 from the United Way and any funding received from the following sources for 2021-2022: \$8,000 from the R.S. Gernon Trust (to be applied for), \$15,000 from the Edward and Mary Lord Foundation (to be applied for), and \$5,000 from Nordson Corporation Foundation (to be applied for). In addition, Safe Futures has committed to contribute \$9,500 from general fundraising.

E2. If you do not receive the amount of funds requested from CDBG, how do you propose to administer and/or complete the project in the manner presented and how will this affect your service population?

If the requested funds from CDBG are not received, we will have to reassess our ability to fully staff the Norwich office five days/week and partner with the Norwich police on the VALE program with Domestic Violence Counselors/Advocates for the 2021-2022 year. Our goal is to increase the accessibility of DV services to Norwich residents, and without full CDBG funding; our ability to accomplish that may be limited.

E3. What items would you reduce/eliminate from your budget if the City wanted to (only) partially fund your application?

We are requesting funding to partially support the cost of Domestic Violence Counselors/Advocates salaries, so a reduction in funding would likely reduce the number of hours we can provide these services for Norwich residents in 2021-2022. Depending on the level of funding we may have to again suspend the VALE program all together.

F. OTHER

F1. List other agencies that provide similar services and identify those with which you collaborate. If services are similar, please elaborate on what makes this service unique.

Safe Futures is the only agency exclusively providing services, support, and housing for victims of domestic violence, sexual assault, stalking, and trafficking in New London County. The VALE program is unique as it places a certified DV Counselor/Advocate in the Norwich Police Department to work alongside police officers when responding to domestic violence calls. Other Norwich agencies that provide complementary but not similar services, and with whom Safe Futures collaborates include:

- Reliance House
- Backus Hospital
- State of CT Dept. of Children and Families
- Prosecutors, Investigators, Family Relations Office, and Probation Officers in the Norwich Court system
- Connecticut Legal Services
- United Community and Family Services
- Child and Family Agency
- CT Dept. Children and Families (DCF)
- Norwich Police Department
- Madonna Place
- SMHA
- NHS
- TVCCA
- Thames River Family Program
- CT Dept. Social Services (DSS)
- Generations Health Services
- Catholic Charities

F2. Is your request for continuation of a previously-funded CDBG program?

F2a. If yes, please state the reason(s) why.

Safe Futures has received funding from PY38, PY39, PY40, PY41, PY42, PY43, PY 44, PY 45, and PY 46 to expand and support the hours of the Norwich Crisis Counselor in the NDVRT. We received funding from PY41 (Re-allocation) to restore the VALE Advocate hours and PY42, PY43, PY 44, PY 45, and PY46 to maintain the program. Funding is requested now to continue the NDVRT, specifically the Crisis Counselor and VALE Advocate.

Safe Futures receives funding from Connecticut Coalition Against Domestic Violence (CCADV) (through state and federal funding) to partially support programs. Other Funding includes allocations from United Way, individual contributions, and grant funding (from federal, state, private foundations and others), none of which are guaranteed year after year. See answer to E1. for specific amounts.

F2b. If no, please state the reason(s) why.
Not applicable.

G. SECTION 3 REQUIREMENTS

Not applicable. *Please see Exhibit 9.*

ADDRESSING THE NATIONAL OBJECTIVE

Does your program:

- Address the needs of low- and/or moderate-income residents (see income chart below)? AND/OR
- Serve seniors; severely disabled adults; homeless; battered spouses; abused/neglected children and youth; illiterate adults; migrant farm workers, persons living with HIV/AIDS and persons who use food banks or meals programs.

PROGRAM BENEFICIARY OUTCOME STATISTICS:

Please see Exhibit 8

STAFFING RESOURCES: Identify every person involved in the implementation and administration of the program. Use the chart below and additional sheets if necessary. Please refer to page 15 regarding Section 3 to determine if you are or will be a Section 3 concern. If you are/will meet Section 3 criteria, it will be mandatory for you to complete the attached Section 3 documentation.

Position/Title	Salary Range	CDBG Portion of Salary	Full-time or Part-time?	Hired As a Result of Funding (Y/N)
Executive Director	\$100,000-\$145,000	0%	Full-time	N
Director of Finance and Administration	\$70,000-\$100,000	0%	Full-time	N
Director of Grants and Compliance	\$65,000-\$85,000	0%	Full-time	N
Associate Director of Court Services & VALE	\$50,000-\$70,000	0%	Full-time	N
Domestic Violence Counselors/Advocates	\$16.00-\$26.00/hr.	Varies	1.2 FTE's	Y (to continue expansion)

PART III: BUDGET INFORMATION

A. AGENCY FINANCIAL DATA

SUPPORT & REVENUE	CURRENT	ANTICIPATED
	FY 20-21	FY 21-22
Program fees	\$33,750	\$33,750
Other Grants including foundations	\$281,800	\$281,800
Fundraising (Donations)	\$455,000	\$517,250
CDBG	\$15,000	\$15,000
General Fund	0	0
State & Federal Grants	\$1,452,751	\$1,600,000
Other Revenue:		
Local gov't grants, contracts & fees	\$70,700	\$72,700
United Way	\$179,182	\$162,000
Interest, Dividends & Misc. Income	\$12,500	\$12,500
Sponsorships & Special events	\$5,000	\$5,000
SBA PPP Loan Forgiveness	\$74,500	0
TOTAL REVENUE	\$2,580,183	\$2,700,00
EXPENSES	CURRENT	ANTICIPATED
	FY 20-21	FY 21-22
Salaries	\$1,592,068	\$1,681,000
Employee Benefits	\$198,694	\$215,000
Payroll Taxes	\$133,086	\$133,086
Professional Fees & Services	\$74,100	\$75,000
Operations / Phones /Postage	\$119,103	\$122,000
Insurance (other)	\$29,397	\$30,000
Equipment Rental & Maintenance, Acquisition	\$165,465	\$166,000
Printing & Publication	\$11,300	\$12,000
Travel / Conferences/Conventions	\$10,500	\$20,000
Legal Fees	\$7,000	\$5,000
Other expenses:		
Client assistance	\$100,370	\$100,000
Depreciation	\$120,000	\$120,000
Dues, Staff development, bank charges, advertising, bad debt	\$19,100	\$19,400
TOTAL EXPENSES	\$2,580,183	\$2,700,000
BALANCE (total revenue less expense)	\$0	\$0

B. PROGRAM SPECIFIC FINANCIAL DATA (PLEASE NOTE THIS HAS CHANGED)

SUPPORT & REVENUE	CDBG FUNDED PORTION	NON-CDBG FUNDED PORTION	% OF CDBG FUNDS USED FOR PROGRAM
Program Fees	-	-	-
Other grants/foundations (non-government)	-	\$28,000	
Donations	-	\$19,500	
CDBG	\$15,000	-	100%
General Fund	-	-	-
State Government	-	\$62,500	-
Federal Government	-	-	-
Other Revenue—United Way	-	\$5,000	-
TOTAL REVENUE	\$15,000	\$114,750	12%
EXPENSES	CDBG FUNDED PORTION	NON-CDBG FUNDED PORTION	% OF CDBG FUNDS USED FOR PROGRAM
Salaries	\$12,000	\$85,000	13%
Employee Benefits	\$850	\$6,400	12%
Payroll Taxes	\$1,200	\$8,550	12%
Professional Services (including accounts and attorneys)	\$250	\$600	29%
General Operations & Supplies (incl. Overhead and Printing)	\$700	\$13,500	5%
Travel / Conferences		\$500	0%
Vehicle Expense	-	-	-
Other –Client assistance	-	\$200	0%
TOTAL EXPENSES	\$15,000	\$114,750	12%
BALANCE (Total revenue less expenses)	\$0	\$0	\$0

CDBG PY 46 2020 Appendix:

EXHIBIT 1-Financial Statement and Audit

EXHIBIT 2-Insurance/Bond/Worker's Compensation (Insurance Certificate)

EXHIBIT 3-Non-profit Determination

EXHIBIT 4-List of Board of Directors

EXHIBIT 5-Organizational Chart

EXHIBIT 6-Resumes of Chief Program Administrator and Chief Fiscal Officer

EXHIBIT 7-Conflict of Interest Disclosure

EXHIBIT 8-Outcome

EXHIBIT 9-Section 3 Contractor Affidavit

Financial Statement

Funds awarded under the City of Norwich, Community Development Block Grant will be accounted for on an accrual basis using accounting software customized for nonprofit organizations. With this software, Safe Futures currently accounts for at least 40 distinct grants and contracts per year, and an additional 50 cost centers, to ensure that certain restricted revenues and expenditures are maintained separately, and that the results of specific activities are uniquely identified. The software can record budgets on an agency, program and/or grant basis, and prepare reports that compare actual financial results to those budgets, as required.

Safe Futures documents its financial policies and procedures in a manual covering such topics as: financial operations; banking; investments; debt; internal controls; receipts; disbursements; payroll; financial reporting; audits; and records retention. This manual is reviewed and updated periodically by staff and/or the Finance Committee of the Board of Directors. The manual was last updated in May 2020.

Safe Futures uses the reimbursement method in drawing down funds, which minimizes the time elapsed between the transfer of funds and disbursement of project activities. Grant reports are prepared per the requirements of individual funders. The majority of payments for government grants are initiated by submitting quarterly financial reports generated by the Director of Finance & Administration. A small percentage of grants require a Request for Payment form, which is completed by the Director of Finance & Administration and signed by the Chief Executive Officer. One federal grant uses the eLOCCS online payment system for reimbursements.

Safe Futures has a system of internal controls that follows good business practices and includes clear lines of authority over financial transactions, policies guiding approval authority over transactions, an adequate chart of accounts and structure of cost centers, written accounting manual, adequate separation of duties, controlled access to accounting records, and procedures for regular reconciliation. The financial statements of the agency are audited annually by a Certified Public Accountant who also performs an annual audit under the State of Connecticut Single Audit Act, as well as a Federal Single Audit. Reviews of internal controls conducted as part of such audits have reflected an unqualified (no exceptions noted) opinion from the auditors.

The Board of Directors of Safe Futures has approved an Administrative and Financial Record Retention and Management policy that addresses the security and length of retention time for administrative and accounting records, including grant records and documentation. The policy is based on best practices in nonprofit management and is reviewed for adequacy whenever the agency is subject to the provisions of a new grant, contract or regulation.

The Director of Finance & Administration has a Masters degree in Business Administration with an Accounting Specialization and a Bachelor of Science degree in Finance. She has worked in the non-profit field for over 17 years and has served as a Director of Finance for 11 years, 8 of those years with Safe Futures.

EXHIBIT 1-Financial Statement and Audit

SAFE FUTURES, INC.

**FINANCIAL STATEMENTS AND
GOVERNMENT REPORTS**

JUNE 30, 2020

SAFE FUTURES, INC.

**FINANCIAL STATEMENTS AND
GOVERNMENT REPORTS**

JUNE 30, 2020

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Board of Directors
Safe Futures, Inc.
16 Jay Street
New London, Connecticut



INDEPENDENT AUDITOR'S REPORT

We have audited the accompanying financial statements of Safe Futures, Inc., which comprise the statement of financial position as of June 30, 2020, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion of the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Safe Futures, Inc. as of June 30, 2020, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Stacey L. Gualtieri, CPA
Audrey A. Leone, CPA

Report on Summarized Comparative Information

We have previously audited Safe Futures, Inc.'s 2019 financial statements, and we expressed an unmodified audit opinion on those audited financial statements in our report dated September 17, 2019. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2019 is consistent, in all material respects, with the audited financial statements from which it has been derived.

Other Reporting required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated September 17, 2020, on our consideration of Safe Futures, Inc.'s internal control over financial reporting and our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Safe Futures, Inc.'s internal control over financial reporting and compliance.

Other Information

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying Schedule of Expenditures of Federal Awards, as required by *Title 2 U.S. Code of Federal Regulations (CFR) Part 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards*, and the accompanying Schedule of Expenditures of State Financial Assistance, as required by the *Connecticut State Single Audit Act*, are presented for purposes of additional analysis and are not a required part of the financial statements. Such information is the responsibility of management, was derived from, and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements taken as a whole.


DOHERTY, BEALS & BANKS, P.C.
New London, Connecticut

September 17, 2020

SAFE FUTURES, INC.
STATEMENT OF FINANCIAL POSITION
AS OF JUNE 30, 2020
WITH COMPARATIVE TOTALS FOR 2019

	ASSETS	
	2020	2019
CURRENT ASSETS		
Cash and cash equivalents	\$ 1,159,715	\$ 1,029,989
Pledges receivable, net of allowance (note 4)	267,841	205,868
Grants receivable	202,678	197,146
Prepaid expenses	25,165	27,202
TOTAL CURRENT ASSETS	1,655,399	1,460,205
 PROPERTY AND EQUIPMENT		
Land	406,514	249,514
Building and improvements	3,530,419	3,144,561
Furniture and equipment	160,214	163,088
	4,097,147	3,557,163
Less: accumulated depreciation	(1,652,042)	(1,548,229)
TOTAL PROPERTY AND EQUIPMENT	2,445,105	2,008,934
 OTHER ASSETS		
Cash and cash equivalents - restricted	32,214	31,906
Unemployment reserve funds	49,889	50,893
Pledges receivable (net of current portion) (note 4)	87,275	137,732
Beneficial interest in assets held by Community Foundation (note 7)	168,897	161,150
TOTAL OTHER ASSETS	338,275	381,681
 TOTAL ASSETS	\$ 4,438,779	\$ 3,850,820

See notes to financial statements.

SAFE FUTURES, INC.
STATEMENT OF FINANCIAL POSITION
AS OF JUNE 30, 2020
WITH COMPARATIVE TOTALS FOR 2019

LIABILITIES AND NET ASSETS	<u>2020</u>	<u>2019</u>
CURRENT LIABILITIES		
Accounts payable	\$ 36,333	\$ 47,245
Accrued expenses	220,761	171,005
TOTAL CURRENT LIABILITIES	<u>257,094</u>	<u>218,250</u>
LONG-TERM LIABILITIES		
Payroll Protection Program loan	73,963	-
Unemployment reserve funds (note 8)	49,889	50,891
TOTAL LIABILITIES	<u>380,946</u>	<u>269,141</u>
NET ASSETS		
Without donor restrictions	3,458,965	2,935,544
With donor restrictions	598,868	646,135
TOTAL NET ASSETS	<u>4,057,833</u>	<u>3,581,679</u>
TOTAL LIABILITIES AND NET ASSETS	<u><u>\$ 4,438,779</u></u>	<u><u>\$ 3,850,820</u></u>

See notes to financial statements.

SAFE FUTURES, INC.
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2020
WITH COMPARATIVE TOTALS FOR 2019

SUPPORT AND REVENUE	Without Donor	With Donor	Totals	
	RetriCTIONS	Restrictions	2020	2019
Government grants	\$ 1,727,641	\$ -	\$ 1,727,641	\$ 1,238,028
Contributions - United Way	17,265	149,437	166,702	165,793
Contributions and private grants	928,660	90,045	1,018,705	1,033,725
Bequest	10,000	-	10,000	244
Program service fees	43,842	-	43,842	46,924
Special events	27,027	-	27,027	26,257
Miscellaneous	29,979	-	29,979	18,392
Interest and dividends	14,537	-	14,537	22,626
Net assets released from restriction: Purpose restrictions met	<u>286,749</u>	<u>(286,749)</u>	<u>-</u>	<u>-</u>
TOTAL SUPPORT AND REVENUE	3,085,700	(47,267)	3,038,433	2,551,989
FUNCTIONAL EXPENSES				
Program services:				
Housing other	781,978	-	781,978	551,397
Emergency assistance and support	876,562	-	876,562	703,771
Emergency shelter	619,581	-	619,581	514,668
Prevention and outreach	<u>322,767</u>	<u>-</u>	<u>322,767</u>	<u>295,321</u>
Total program services	2,600,888	-	2,600,888	2,065,157
Management and general	167,285	-	167,285	224,563
Fund-raising	<u>65,900</u>	<u>-</u>	<u>65,900</u>	<u>80,018</u>
TOTAL FUNCTIONAL EXPENSES	2,834,073	-	2,834,073	2,369,738
CHANGE IN NETS ASSETS- OPERATIONS	251,627	(47,267)	204,360	182,251
Other changes in net assets:				
Inherent contribution from acquisition	-	-	-	856,733
Capital grants and donations	<u>271,794</u>	<u>-</u>	<u>271,794</u>	<u>15,873</u>
CHANGE IN NET ASSETS	523,421	(47,267)	476,154	1,054,857
NET ASSETS - BEGINNING	2,935,544	646,135	3,581,679	2,526,822
NET ASSETS - ENDING	<u>\$ 3,458,965</u>	<u>\$ 598,868</u>	<u>\$ 4,057,833</u>	<u>\$ 3,581,679</u>

See notes to financial statements.

SAFE FUTURES, INC.
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2020
WITH COMPARATIVE TOTALS FOR 2019

FUNCTIONAL EXPENSES	Housing	Emergency	Genesis	Prevention	Total	Management	Fund-	Totals	
	Other	and Support	Home	and Outreach	Program Services	and General	raising	2020	2019
Salaries	\$ 363,332	\$ 595,499	\$ 317,509	\$ 228,960	\$ 1,505,300	\$ 86,405	\$ 29,857	\$ 1,621,562	\$ 1,316,239
Employee benefits	35,225	60,333	36,473	17,835	149,866	8,883	1,550	160,299	136,036
Payroll taxes	31,367	50,882	28,538	20,004	130,791	7,120	2,382	140,293	115,221
Total Salaries and Benefits	429,924	706,714	382,520	266,799	1,785,957	102,408	33,788	1,922,154	1,567,497
Supplies and materials	21,743	16,385	33,651	8,416	80,194	5,138	475	85,807	95,947
Specific aid to individuals	104,658	17,933	28,566	5	151,162	-	-	151,162	136,276
Occupancy and security	54,331	13,487	24,152	1,863	93,833	1,372	312	95,517	58,593
Repairs and maintenance	41,586	17,391	20,940	4,175	84,091	1,829	595	86,515	49,334
Conferences and conventions	4,548	9,597	3,849	12,377	30,371	3,038	288	33,697	39,999
Professional fees	20,812	26,042	17,655	3,624	68,133	8,392	477	77,002	96,227
Travel and transportation	3,274	6,802	2,125	5,623	17,824	614	283	18,721	22,493
Telephone	5,219	9,701	5,715	2,016	22,650	2,531	134	25,315	20,953
Computer and IT services	10,401	13,074	9,906	7,483	40,865	6,523	5	47,393	37,198
Advertising	-	-	-	203	203	-	990	1,193	16,000
Insurance	16,617	4,228	5,163	2,163	28,170	1,407	-	29,577	19,829
Printing and publication	373	848	430	409	2,058	1,000	6,533	9,591	5,440
Bad debts	-	-	-	-	-	4,148	-	4,148	15,130
Occupancy - office rent/hotels	-	13,681	52,297	-	65,978	572	-	66,550	17,741
Interest	1,151	-	-	-	1,151	230	-	1,381	1,334
Other expenses	233	115	833	241	1,422	11,990	-	13,412	17,984
Fund-raising	-	-	-	-	-	-	20,502	20,502	37,654
Equipment rental and maintenance	5,862	5,590	1,224	1,294	13,970	2,541	830	17,341	14,211
Postage	655	1,398	1,201	325	3,579	1,407	688	5,674	3,210
Membership dues	544	768	687	1,213	3,213	914	-	4,127	3,769
Property taxes	6,688	3,919	-	-	10,607	-	-	10,607	-
Total expenses before depreciation	728,619	867,673	590,914	318,226	2,505,431	156,054	65,900	2,727,386	2,276,819
Depreciation	53,359	8,889	28,667	4,541	95,456	11,231	-	106,687	92,920
Total functional expenses	\$ 781,978	\$ 876,562	\$ 619,581	\$ 322,767	\$ 2,600,887	\$ 167,285	\$ 65,900	\$ 2,834,073	\$ 2,369,738

The organization maintains multiple allocation schedules based on a number of formulas, including square footage and estimates of time and effort. In most cases, expenses are allocated directly.

See notes to financial statements.

SAFE FUTURES, INC.
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2020
WITH COMPARATIVE TOTALS FOR 2019

	2020	2019
CASH FLOWS FROM OPERATING ACTIVITIES		
Change in net assets	\$ 476,154	\$ 1,054,857
Adjustments to reconcile changes in net assets to net cash provided (used) by operating activities:		
Depreciation	106,687	92,920
Donation of land and building	(261,700)	-
Inherent contribution from acquisition	-	(856,733)
(Increase) decrease in:		
Contributions restricted for capital purchases	(271,794)	(15,873)
Pledges receivable	(11,516)	(63,766)
Grants receivable	(5,532)	(37,955)
Prepaid expenses	2,037	(6,353)
Increase (decrease) in:		
Accounts payable	(10,912)	14,180
Accrued expenses	49,756	2,119
	73,180	183,396
NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES		
CASH FLOWS FROM INVESTING ACTIVITIES		
Cash received in acquisition	-	203,753
Purchase of property and equipment	(281,464)	(21,207)
Contributions restricted for capital purchases	271,794	15,872
Transferred to funds held by others	(7,747)	(14,346)
	(17,417)	184,072
NET CASH PROVIDED (USED) FROM INVESTING ACTIVITIES		
CASH FLOWS FROM FINANCING ACTIVITIES		
Forgiveness of PPP loan	(209,237)	-
Advance on PPP loan	283,200	-
	73,963	-
NET CASH PROVIDED (USED) FROM FINANCING ACTIVITIES		
CHANGE IN CASH	129,726	367,468
CASH - BEGINNING	1,029,989	662,521
CASH - ENDING	\$ 1,159,715	\$ 1,029,989

See notes to financial statements.

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2020

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Purpose

Safe Futures, Inc. is organized as a non-profit corporation in the State of Connecticut. Safe Futures, Inc. provides emergency shelter, counseling, traditional housing, community education, including Violence is Preventable and Restorative Practices curriculum in local school systems, and support services to victims of domestic violence and sexual assault and their families in the southeastern Connecticut area.

Income Tax Status

The Organization is exempt from federal income tax under Section 501(c)(3) of the Internal Revenue Code, and therefore, has no provision for federal or state income taxes. In addition, the Organization qualifies for the charitable contribution deduction under Section 170(b)(1)(A) and has been classified as an organization that is not a private foundation.

The Organization recognizes the tax benefit from uncertain tax positions when it is more-likely-than-not the position will be sustained upon examination by taxing authorities. As of June 30, 2020, the Organization had no uncertain tax positions that qualify for either recognition or disclosure in the financial statements. In the normal course of business, the Organization's tax filings are subject to examination by federal and state taxing authorities. The Organization's tax returns for the last three years remain open for examination.

Method of Accounting

The accompanying financial statements were prepared using the accrual basis of accounting. Accordingly, revenues are recognized when earned and expenditures are recorded when incurred.

Financial Statement Presentation

The Organization follows the reporting requirements of GAAP, which requires that resources be classified for reporting purposes based on the existence or absence of donor-imposed restrictions. This is accomplished by classification of fund balances into two classes of net assets: without donor restrictions and with donor restrictions. Descriptions of the two net asset categories and the types of transactions affecting each category follow:

- Without Donor Restrictions – Net assets that are not subject to donor-imposed restrictions. Items that affect this net asset category principally consist of fees for service and related expenses associated with the core activities of the Organization.
- With Donor Restrictions – Net assets subject to donor-imposed restrictions that will be met either by actions of the Organization or the passage of time. Items that affect this net asset category are for contributions for which donor-imposed restrictions have not been met in the year of receipt. Also included in this category are net assets subject to donor-imposed restrictions to be maintained permanently by the Organization.

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reporting

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2020

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

amounts of assets and liabilities and the disclosure of contingent assets and liabilities as of the date of the financial statements, and support, revenue and expenses during the reporting period. Actual results could differ from those estimates.

Prior Year Comparative Totals

The financial information shown for 2019 in the accompanying financial statements is included to provide a basis for comparison with 2020 and presents summarized totals only. Such total amounts do not include sufficient detail to constitute a presentation in conformity with accounting principles generally accepted in the United States of America. Accordingly, such amounts should be read in conjunction with the Organization's financial statements for the year ended June 30, 2019, from which the comparative total amounts were derived.

Cash and Cash Equivalents

For purposes of the Statement of Cash Flows, Safe Futures, Inc., considers all highly liquid debt instruments purchased with maturity of three months or less to be cash equivalents.

Investments

The Organization carries investments in marketable securities with readily determinable fair values and all investments in debt securities at their fair values in the Statement of Financial Position. Unrealized gains and losses are included in the change in net assets in the accompanying Statement of Activities.

Fair Values of Financial Instruments

The fair values of financial instruments have been determined through quoted market prices or present value techniques to approximate the amounts recorded in the statement of financial position.

Generally accepted accounting principles establishes a fair value hierarchy which prioritizes the inputs to valuation techniques used to measure the fair value. The three levels of the fair value hierarchy are described as follows:

Level 1 – Quoted prices (unadjusted) in active markets for identical assets or liabilities where the organization has the ability to access at the measurement date;

Level 2 – Significant other observable inputs other than quoted prices included in level 1, which are observable for the asset or liability, either directly or indirectly (i.e. quoted prices in inactive markets, broker or dealer quotations, or alternative pricing sources with reasonable levels of price transparency);

Level 3 – Unobservable inputs are used to the extent that observable inputs are unavailable due to little, if any, market activity for the asset or liability. Unobservable inputs are developed based on the best information available, which might include the organization's own data that reflects assumptions that market participants would use in pricing the asset or liability.

Property and Equipment

Property and equipment are carried at cost or, if donated, at the approximate fair value at the date of donation. Depreciation of the Organization's assets is calculated using the straight-line method over the estimated useful lives of the assets ranging from five to forty years.

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2020

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Safe Futures, Inc. capitalizes purchases over \$5,000 to property and equipment. Repairs and maintenance of property and equipment are charged to expenses as incurred.

Contributions

Contributions are recognized when the donor makes a promise to give to the Organization that is, in substance, unconditional. Contributions that are restricted by the donor are reported as increases in net assets without donor restrictions if the restrictions expire in the year in which the contributions are recognized. All other donor-restricted contributions are reported as increases in net assets with donor restrictions depending on the nature of the restrictions. When a restriction expires, net assets with donor restrictions are reclassified to net assets without donor restrictions.

Donated Materials and Services

Donated materials and equipment, if of a material amount, are reflected as contributions in the accompanying statements at their estimated values at date of receipt. The Organization pays for most services requiring specific expertise.

Approximately \$26,294 of donated services and corresponding expenses have been reflected in the financial statements. Many volunteers have donated significant amounts of their time in Safe Future's program services and in its fundraising campaigns that are not recognized as contributions in the financial statements since the criteria for recognition is not met.

Endowment Spending Policy

In accordance with the endowment fund agreement between Safe Futures and the Community Foundation of Eastern Connecticut, capital contributions to the endowment are retained and invested. There is no withdrawal of capital or earnings except upon request by the Board of Safe Futures and approval by the Community Foundation.

Grants Receivable

Government grant revenue is recognized to the extent of grant expenditures incurred. Grants receivable consists of the portion of grant expenditures incurred for which payment has yet to be received from the government or pass-through agencies.

Functional Expense Allocation

The costs of providing various programs and other activities have been summarized on a functional basis in the Statement of Activities and in the Statement of Functional Expenses. Accordingly, certain costs have been allocated among the programs and supporting services benefited.

Subsequent Events

Management has evaluated subsequent events through September 17, 2020, the date, which the financial statements were available for issue.

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2020

2. CONCENTRATION OF CREDIT RISK

The Organization maintains cash balances at financial institutions located in New London County. Accounts at each institution are insured by the Federal Deposit Insurance Corporation up to \$250,000. Safe Futures has not experienced any losses in such accounts and believes it is not exposed to significant credit risk on cash or cash equivalents. At June 30, 2020 the Organization's uninsured cash balances total \$903,951.

3. STATEMENT OF CASH FLOWS

The Organization considers all short-term investments with a maturity of three months or less to be cash equivalents.

Cash paid for interest in 2020	\$	1,381
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4. PLEDGES RECEIVABLE

Safe Futures, Inc. had unconditional pledges receivables representing the following at June 30:

Due In:

2021	\$	337,579
2022		30,433
2023		24,547
2024		22,314
2025		4,872
Thereafter		5,109
Total Pledges		424,864
Less:		
Allowance for bad debt and		61,723
4% discounted cash flow		8,025
Net pledges receivable		\$ 355,116

5. NOTES PAYABLE

Safe Futures, Inc., has an agreement for a secured line of credit of \$100,000 with a financial institution with its assets as collateral at a rate of 4.25% and is payable on demand. As of June 30, 2020, there was no balance outstanding.

**SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2020**

6. NET ASSETS WITH DONOR RESTRICTIONS

Net assets with donor restrictions are restricted for the following purpose or periods.

United Way	\$ 149,437
Eligible Distributions from Community Foundation Fund	14,491
Program services	249,590
	<u>413,518</u>

Assets held in perpetuity are as follows:

Beneficial interest in assets held by Community Foundation	154,406
Natalie Anderson Children's Fund	30,945
	<u>185,351</u>

Total Net Assets With Donor Restriction	<u>\$ 598,868</u>
-----------------------------------------	-------------------

Net assets were released from restriction during the year to satisfy their purpose or time restriction as follows:

United Way	\$ 150,817
Program Services	135,932
	<u>\$ 286,749</u>

7. BENEFICIAL INTEREST IN ASSETS HELD BY COMMUNITY FOUNDATION

The Community Foundation of Eastern Connecticut and Safe Futures, Inc. entered into an agreement dated December 17, 2013, which created the Safe Futures, Inc. Endowment Fund. The Fund is co-mingled and invested with the Community Foundation's pool of assets. The Fund is subject to the Community Foundation's investment guidelines and spending rule and is restricted to benefit Safe Futures, Inc.

The funds were deposited into the Community Foundation's pool of assets. Safe Future's contributions through 2020 were \$125,227. The market value of the Fund at June 30, 2020, was \$168,897 (this is a Level 3 investment per note 1).

There were no distributions from the endowment during the 2019 – 2020 fiscal year.

8. UNEMPLOYMENT RESERVE FUND

Safe Futures, Inc. maintains an unemployment fund to self-fund unemployment claims with Unemployment Services Trust, who is also the administrator of the funds. The purpose of the fund is to provide benefits to eligible employees for future unemployment claims. As of June 30, 2020, the fund held \$49,889. Unemployment claims paid during the year was \$5,958.

9. EMPLOYEE BENEFIT PLAN

Safe Futures, Inc. has also established a Section 403(b) defined contribution pension plan. It is available to substantially all full-time employees. Employees are 100% vested in employee salary deferrals. The employer contributions to the plan was \$32,874.

SAFE FUTURES, INC.
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2020

10. OPERATING LEASES

Safe Futures, Inc. has lease agreements for copier machines and office space. Minimum future rental payments under the non-cancelable operating leases in excess of one year as of June 30, 2020, are as follows:

2021	\$	9,139
2022		9,139
2023		6,490
2024		244

Rent expense was \$138,968 for the year ended June 30, 2020, and includes rent incurred to temporarily house clients when space was unavailable, as well as rents paid for clients in scattered site transitional living program.

11. CONTINGENT LIABILITIES

Safe Futures Inc., from time to time is involved in litigation arising from its normal operating practices. After reviewing all significant matters with counsel, management believes that the resolution of these matters will not materially affect Safe Futures Inc.'s financial position.

12. AVAILABILITY OF FINANCIAL ASSETS

The following reflects Safe Futures Inc.'s financial assets as of the balance sheet date, reduced by amounts not available for general use because of contractual or donor-imposed restrictions within one of the balance sheet date:

Cash and cash equivalents	\$	1,080,257
Accounts receivables		<u>202,678</u>
		<u>\$ 1,282,935</u>

As part of the Safe Future's liquidity management, it has a policy to structure its financial assets to be available as its general expenditures, liabilities, and other obligations come due.

13. PAYCHECK PROTECTION PROGRAM

On April 17, 2020, Safe Futures, Inc. received loan proceeds in the amount of \$283,200, pursuant to the Paycheck Protection Program (the "PPP") under Division A, Title I of the CARES Act, which was enacted March 27, 2020.

The loan, which was in the form of a note dated April 16, 2020 issued to by the bank, matures on April 16, 2022 and bears interest at a rate of 1.00% per annum, payable monthly commencing on November 16, 2020. The note may be prepaid by the Organization at any time prior to maturity with no prepayment penalties.

Funds from the loan and accrued interest are forgivable as long as the funds are used for eligible purposes, including payroll costs, health care benefits, rent, and utilities. Under the terms of the PPP, certain amounts of the loan may be forgiven if they are used for qualifying expenses as described in the CARES Act. The Organization recorded \$209,237 as grant income for the year ended June 30, 2020.

Board of Directors
Safe Futures, Inc.
New London, Connecticut



**Report on Compliance for Each Major State Program; Report on Internal Control
over Compliance; and Report on the Schedule of Expenditures of State Financial Assistance
Required by the State Single Audit Act**

Independent Auditor's Report

Report on Compliance for Each Major State Program

We have audited Safe Futures, Inc.'s compliance with the types of compliance requirements described in the Office of Policy and Management's *Compliance Supplement* that could have a direct and material effect on each of Safe Futures, Inc.'s major state programs for the year ended June 30, 2020. Safe Futures, Inc.'s major state programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts and grants applicable to its state programs.

Auditors' Responsibility

Our responsibility is to express an opinion on compliance for each of Safe Futures, Inc.'s major state programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the State Single Audit Act (C.G.S. Sections 4-230 to 4-236). Those standards and the State Single Audit Act require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major state program occurred. An audit includes examining, on a test basis, evidence about Safe Futures, Inc.'s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major state program. However, our audit does not provide a legal determination of Safe Futures, Inc.'s compliance.

Opinion on Each Major State Program

In our opinion, Safe Futures, Inc., complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major state programs for the year ended June 30, 2020.

Report on Internal Control over Compliance

Management of Safe Futures, Inc., is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered Safe Futures, Inc.'s internal control over compliance with the types of requirements that could have a direct and material effect on each major state program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing our opinion on compliance for each major state program and to test and report on internal control over compliance in accordance with the State Single Audit Act, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Safe Futures, Inc.'s internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a state program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a state program will not be prevented, or detected and corrected, on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance with a type of compliance requirement of a state program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the State Single Audit Act. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of State Financial Assistance Required by the State Single Audit Act

We have audited the financial statements of Safe Futures, Inc., as of and for the year ended June 30, 2020 and have issued our report thereon dated September 17, 2020, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of state financial assistance is presented for purposes of additional analysis as required by the State Single Audit Act and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of state financial assistance is fairly stated in all material respects in relation to the financial statements as a whole.

Doherty, Beals & Banks
DOHERTY, BEALS & BANKS, P.C.
New London, Connecticut

September 17, 2020

SAFE FUTURES, INC.
SCHEDULE OF EXPENDITURES OF STATE FINANCIAL ASSISTANCE
FOR THE FISCAL YEAR ENDED JUNE 30, 2020

State Grantor / Pass- Through Grantor / Program Title	State Grant Program Core-CT Number	Passed Through To Sub recipients*	Expenditures
Judicial Branch			
Youth Services Prevention Grant	11000-JUD96114-12559-087		\$ 8,000
Pass-through Connecticut Coalition Against Domestic Violence, Inc. Victims of Crime Act Victim Assistance Project	12014-JUD95810-10020-047		47,789
State Match Program – Victims of Crime Act Victim Assistance	12014-JUD95810-12047-047		14,708
Pass-through Connecticut Coalition Against Domestic Violence, Inc. Shelter for Victims of Human Trafficking	11000-JUD95810-12376		710
Department of Housing Construction Grant	12063-DOH-46900-40237		40,034
Department of Mental Health and Addiction Services Housing Support and Services	11000-MHA53000-12035		79,729
Office of Policy and Management Nonprofit Grant Program (NGP)	12052-OPM20830-43574		249,040
Pass-through Connecticut Coalition Against Domestic Violence, Inc. Law Enforcement Advocacy	# 2016-VWCCADVLEVA, SID # 12251		4,103
Pass-through Connecticut Coalition Against Domestic Violence, Inc. Child Advocate	17VWCCADVCA, SID#12251 18VWCCADVCA, SID#12251		2,938 1,183
Pass-through Connecticut Coalition Against Domestic Violence, Inc. Shelter Services Program (Domestic Violence)(SSP)	11000-DSS60000-16149-10254		304,264
Transitional Living Program	11000-DSS60000-16271		64,858
Total Expenditures of State Financial Assistance			<u>\$ 817,356</u>

See notes to schedule.

SAFE FUTURES, INC.
NOTES TO SCHEDULE OF EXPENDITURES OF
STATE FINANCIAL ASSISTANCE
FOR THE YEAR ENDED JUNE 30, 2020

The accompanying schedule of expenditures of state financial assistance includes state grant activity of the Safe Futures, Inc. under programs of the State of Connecticut for the fiscal year ended June 30, 2020. Various departments and agencies of the State of Connecticut have provided financial assistance through grants and other authorizations in accordance with the General Statutes of the State of Connecticut. These financial assistance programs fund several programs including emergency shelter and transitional living programs.

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The accounting policies of the Safe Futures, Inc. conform to accounting principles generally accepted in the United States of America as applicable to not-for-profit agencies.

The information in the Schedule of Expenditures of State Financial Assistance is presented based upon regulations established by the State of Connecticut, Office of Policy and Management.

Basis of Accounting

The expenditures reported on the Schedule of Expenditures of State Financial Assistance are reported on the accrual basis of accounting. In accordance with Section 4-236-22 of the Regulations to the State Single Audit Act, certain grants are not dependent on expenditure activity, and accordingly, are considered to be expended in the fiscal year of receipt. These grant program receipts are reflected in the expenditures column of the Schedule of Expenditures of State Financial Assistance.

2. SUBRECIPIENTS

The Schedule of Expenditures of State Financial Assistance is to include an identification of the total amount provided to subrecipients from each state program. This identification should be presented on the face of the Schedule. There were no payments to subrecipients during the reporting period.

SAFE FUTURES, INC.
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2020

I. SUMMARY OF AUDITOR'S RESULTS

Financial Statements

Type of auditor's opinion issued: Unmodified

Internal control over financial reporting:

- Material weakness(es) identified? _____ yes _____ X no
- Significant deficiency(ies) identified? _____ yes _____ X none reported
- Noncompliance material to financial statements noted? _____ yes _____ X no

State Financial Assistance

Internal control over major programs:

- Material weakness(es) identified? _____ yes _____ X no
- Significant deficiency(ies) identified? _____ yes _____ X none reported

Type of auditor's opinion issued on compliance for major programs: Unmodified

Any audit findings disclosed that are required to be reported in accordance with Section 4-236-24 of the Regulations to the State Single Audit Act? _____ yes _____ X no

- The following schedule reflects the major programs included in the audit:

<u>State Grantor and Program</u>	<u>State Core-CT Number</u>	<u>Expenditures</u>
Office of Policy and Management		
Nonprofit Grant Program (NGP)	12052-OPM20830-43574	\$ 249,040
Department of Social Services		
Shelter Services Program	11000-DSS60000-16149-10254	304,264
• Dollar threshold used to distinguish between type A and type B programs.		\$ 100,000

SAFE FUTURES, INC.
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2020

II. FINANCIAL STATEMENT FINDINGS

- We issued reports, dated September 17, 2020, on internal control over financial reporting and on compliance and other matters based on an audit of financial statements performed in accordance with *Government Auditing Standards*.
- Our report on compliance indicated no reportable instances of noncompliance.
- Our report on internal control over financial reporting indicated no significant deficiencies.

III. STATE FINANCIAL ASSISTANCE FINDINGS AND QUESTIONED COSTS

No findings or questioned costs are reported relating to State Financial Assistance programs.

Board of Directors
Safe Futures, Inc.
New London, Connecticut



**REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE
AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN
ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

Independent Auditor's Report

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States the financial statements of the Safe Futures, Inc., which comprise the statement of financial position as of June 30, 2020 and the related statements of activities, and cash flows for the year ended, and the related notes to the financial statements, and have issued our report thereon dated September 17, 2020.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered Safe Futures, Inc.'s internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Safe Futures, Inc.'s internal control. Accordingly, we do not express an opinion on the effectiveness of the Safe Futures, Inc.'s internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A significant deficiency is a deficiency, or combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Safe Futures, Inc.'s financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Stacey L. Gualtieri, CPA
Audrey A. Leone, CPA

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the result of that testing, and not to provide an opinion on the effectiveness of Safe Futures, Inc.'s internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the Safe Futures, Inc.'s internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Doherty, Beals & Banks

DOHERTY, BEALS & BANKS, P.C.
New London, Connecticut

September 17, 2020



Board of Directors
Safe Futures, Inc.
New London, Connecticut

**INDEPENDENT AUDITOR'S REPORT ON COMPLIANCE FOR EACH MAJOR
PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE AND REPORT
ON SCHEDULE OF FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE**

Report on Compliance for Each Major Federal Program

We have audited Safe Futures, Inc.'s compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of Safe Futures, Inc.'s major federal programs for the year ended June 30, 2019. Safe Futures, Inc.'s major federal programs are identified in the summary of auditor's results section of the accompanying Schedule of Federal Findings and Questioned Costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and terms and conditions of its federal award applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of Safe Futures, Inc.'s major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about Safe Futures, Inc.'s compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of Safe Futures, Inc.'s compliance.

Opinion on Each Major Federal Program

In our opinion, Safe Futures, Inc. complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs as identified in the summary of auditor's results section of the accompanying Schedule of Federal.

Stacey L. Gualtieri, CPA
Audrey A. Leone, CPA

Report on Internal Control Over Compliance

Management of Safe Futures, Inc. is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered Safe Futures, Inc.'s internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of Safe Futures, Inc.'s internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Purpose of This Report

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Doherty, Beals & Banks PC
Doherty, Beals & Banks, P.C.
New London, Connecticut

September 17, 2020

SAFE FUTURES, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2020

Federal Grantor Pass-Through Grantor Program Title	Grant Number	Federal CFDA Number	Grant Period Ending	Passed Through to Subrecipients	Federal Expenditures
U.S. DEPARTMENT OF JUSTICE					
Passed Through Connecticut Coalition Against Domestic Violence, Inc.					
Violence Against Women Formula Grants	2017-WF-AX-0032	16.588	12/31/2017	-	\$ 2,938
Violence Against Women Formula Grants	2018-WF-AX-0040	16.588	12/31/2018	-	4,692
Violence Against Women Formula Grants	2016-WF-AX-0004	16.588	12/31/2016	-	12,308
Crime Victim Assistance	159-1FJ-SSP-01	16.575	6/30/2020	-	449,644
				-	469,582
Transitional Housing Assistance for Victims of Domestic Violence, Dating Violence, Stalking or Sexual Assault.					
	2016-WH-AX-0077	16.736	9/30/2022	-	141,451
TOTAL U.S. DEPARTMENT OF JUSTICE				-	611,033
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT					
Passed Through Connecticut Department of Housing					
Domestic Violence and Human Trafficking Rapid Rehousing Program					
	19DOH0901FJ	14.267	6/30/2020	-	15,500
Domestic Violence and Human Trafficking Rapid Rehousing Program					
	CT0092L15051912	14.267	5/31/2021	-	51,596
	CT0092L15051912	14.267	9/30/2019	-	35,093
	CT0092L15051912	14.267	9/30/2020	-	57,490
Emergency Services for Domestic Violence					
	221,6504,465,33-09	14.218	6/30/2020	-	5,500
	B-19 MC 09-0012	14.218	8/31/2019	-	2,500
	B-19 MC 09-0012	14.218	8/31/2020	-	12,500
TOTAL U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT				-	180,179
FEDERAL EMERGENCY MANAGEMENT AGENCY					
Passed Through Connecticut Department of Social Services					
Shelter Services					
	159-1FJ-SSP-01	93.671	6/1/2022	-	107,989
Passed Through Connecticut Coalition Against Domestic Violence, Inc.					
Shelter Services					
	043-OSA-SSP-03	93.671	6/30/2022	-	2,000
Passed Through United Way of Southeastern Connecticut					
Emergency Food and Shelter Program					
	147200-004	97.024	2/28/2020	-	6,000
	147200-004	97.024	10/31/2020	-	557
TOTAL FEDERAL EMERGENCY MANAGEMENT AGENCY				-	116,546
U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES					
Passed Through Connecticut Department of Social Services					
Temporary Assistance for Needy Families					
	159-1FJ-SSP-01	93.568	6/1/2022	-	7,361
TOTAL U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES				-	7,361
U.S. DEPARTMENT OF EDUCATION					
Passed Through New London Public School System					
Education for Homeless Children and Youth					
	12060-20770-2020-20-82079	84.196	6/30/2020	-	30,000
TOTAL U.S. DEPARTMENT OF EDUCATION				-	30,000
TOTAL EXPENDITURES OF FEDERAL AWARDS				-	\$ 945,119

The accompanying notes are an integral part of this schedule.

SAFE FUTURES, INC.
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2020

1. ACCOUNTING BASIS

BASIC FINANCIAL STATEMENTS

The accounting policies of Safe Futures, Inc. conform to accounting principles generally accepted in the United States of America as applicable to nonprofit organizations.

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

The accompanying schedule of expenditures of federal awards has been prepared on the accrual basis consistent with the preparation of the financial statements. Information included in the schedule of expenditures of federal awards is presented in accordance with the requirements of the Uniform Guidance.

For cost reimbursement awards, revenues are recognized to the extent of expenditures. Expenditures have been recognized to the extent the related obligation was incurred within the applicable grant period and liquidated within 90 days after the end of the grant period.

For performance-based awards, revenues are recognized to the extent of performance achieved during the grant period.

COST ALLOCATION PRINCIPLES

Safe Futures, Inc. has elected not to use the 10 percent de minimus indirect cost rate allowed under the Uniform Guidance.

2. OTHER FEDERAL ASSISTANCE

Safe Futures, Inc. did not receive other federal assistance in the form of insurance, loans, or loan guarantees.

SAFE FUTURES, INC.
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
YEAR ENDED JUNE 30, 2020

Federal Grantor Pass-Through Grantor Program Title	Grant Number	Federal CFDA Number	Grant Period Ending	Passed Through to Subrecipients	Federal Expenditures
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TOTAL U.S. DEPARTMENT OF JUSTICE				-	611,033
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TOTAL FEDERAL EMERGENCY MANAGEMENT AGENCY				-	116,546
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Education for Homeless Children and Youth	12060-20770-2020-20-82079	84.196	6/30/2020	-	30,000
TOTAL U.S. DEPARTMENT OF EDUCATION				-	30,000
TOTAL EXPENDITURES OF FEDERAL AWARDS				-	\$ 945,119

The accompanying notes are an integral part of this schedule.

Liability Insurance Coverage

- Safe Futures, Inc. (formerly the Women's Center of Southeastern Connecticut) carries liability insurance in the amount of \$1,000,000 per occurrence, \$3,000,000 aggregate with the Alliance of Non-Profits for Insurance. We carry additional excess liability insurance of \$3,000,000 with them as well.

Payroll Taxes and Worker's Compensation

- Safe Futures pays all payroll taxes and carries workers' compensation insurance as required by Federal and State law.

Fidelity Bond Coverage

- Safe Futures has a Crime Shield Policy with The Hartford, which covers Employee Dishonesty for \$100,000; Forgery and Alteration is covered for \$100,000; Theft is covered for \$10,000; Computer Fraud is covered for \$100,000 and Theft and Robbery is covered for \$10,000.

Current Insurance Certificate

- A current certificate of insurance is attached.

EXHIBIT 2-Insurance Certificate



CERTIFICATE OF LIABILITY INSURANCE

DATE (MM/DD/YYYY)
02/10/2021

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Sumner & Sumner, Inc. 757 Main Street P. O. Box 187 Willimantic CT 06226	CONTACT NAME: Melissa Quinn PHONE (A/C, No, Ext): (860) 423-7733 E-MAIL ADDRESS: mquinn@sumnerandsumner.com	FAX (A/C, No): (860) 450-7240
	INSURER(S) AFFORDING COVERAGE	
INSURED Safe Futures Inc. 16 Jay Street New London CT 06320	INSURER A: Alliance of Nonprofits for Insurance	
	INSURER B: CHA Workers Compensation Trust	
	INSURER C: Philadelphia Indemnity Ins Co	
	INSURER D:	
	INSURER E:	
	INSURER F:	

COVERAGES CERTIFICATE NUMBER: 20/21 REVISION NUMBER:

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DD/YYYY)	LIMITS
A	<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY <input type="checkbox"/> CLAIMS-MADE <input checked="" type="checkbox"/> OCCUR GEN'L AGGREGATE LIMIT APPLIES PER: <input checked="" type="checkbox"/> POLICY <input type="checkbox"/> PRO-JECT <input type="checkbox"/> LOC OTHER:			2020-19636	07/01/2020	07/01/2021	EACH OCCURRENCE \$ 1,000,000 DAMAGE TO RENTED PREMISES (Ea occurrence) \$ 500,000 MED EXP (Any one person) \$ 20,000 PERSONAL & ADV INJURY \$ 1,000,000 GENERAL AGGREGATE \$ 3,000,000 PRODUCTS - COMP/OP AGG \$ 3,000,000 Employee Benefits \$ 1,000,000
A	<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY <input checked="" type="checkbox"/> ANY AUTO <input type="checkbox"/> OWNED AUTOS ONLY <input type="checkbox"/> SCHEDULED AUTOS <input type="checkbox"/> HIRED AUTOS ONLY <input type="checkbox"/> NON-OWNED AUTOS ONLY <input type="checkbox"/> AUTOS ONLY			2020-19636	07/01/2020	07/01/2021	COMBINED SINGLE LIMIT (Ea accident) \$ 1,000,000 BODILY INJURY (Per person) \$ BODILY INJURY (Per accident) \$ PROPERTY DAMAGE (Per accident) \$ Underinsured motorist \$ 2,000,000
A	<input checked="" type="checkbox"/> UMBRELLA LIAB <input checked="" type="checkbox"/> OCCUR <input type="checkbox"/> EXCESS LIAB <input type="checkbox"/> CLAIMS-MADE DED RETENTION \$			2020-19636-UMB	07/01/2020	07/01/2021	EACH OCCURRENCE \$ 3,000,000 AGGREGATE \$ 3,000,000
B	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) If yes, describe under DESCRIPTION OF OPERATIONS below		N/A	01161-08	07/01/2020	07/01/2021	<input checked="" type="checkbox"/> PER STATUTE <input type="checkbox"/> OTH-ER E.L. EACH ACCIDENT \$ 2,500,000 E.L. DISEASE - EA EMPLOYEE \$ 2,500,000 E.L. DISEASE - POLICY LIMIT \$ 2,500,000
C	DIRECTORS & OFFICERS			PHSD1548136	07/01/2020	07/01/2021	LIMIT \$1,000,000 AGGREGATE \$2,000,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORD 101, Additional Remarks Schedule, may be attached if more space is required)

CERTIFICATE HOLDER City of Norwich Office of Community Development 23 Union Street, 2nd Floor Norwich CT 06360	CANCELLATION SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS. AUTHORIZED REPRESENTATIVE
-------------------------------------------------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------



Department of the Treasury
Internal Revenue Service

P.O. Box 2508, Room 4010
Cincinnati OH 45201

In reply refer to: 4077594580
Feb. 28, 2014 LTR 4168C 0
06-0950718 000000 00

00035032

BODC: TE

SAFE FUTURES INC
16 JAY ST
NEW LONDON CT 06320-5910



019554

Employer Identification Number: 06-0950718
Person to Contact: A.BOWLDS
Toll Free Telephone Number: 1-877-829-5500

Dear Taxpayer:

This is in response to your May 17, 2013, request for information regarding your tax-exempt status.

Our records indicate that you were recognized as exempt under section 501(c)(3) of the Internal Revenue Code in a determination letter issued in JANUARY 1977.

Our records also indicate that you are not a private foundation within the meaning of section 509(a) of the Code because you are described in section 509(a)(2).

Donors may deduct contributions to you as provided in section 170 of the Code. Bequests, legacies, devises, transfers, or gifts to you or for your use are deductible for Federal estate and gift tax purposes if they meet the applicable provisions of sections 2055, 2106, and 2522 of the Code.

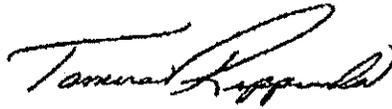
Please refer to our website www.irs.gov/eo for information regarding filing requirements. Specifically, section 6033(j) of the Code provides that failure to file an annual information return for three consecutive years results in revocation of tax-exempt status as of the filing due date of the third return for organizations required to file. We will publish a list of organizations whose tax-exempt status was revoked under section 6033(j) of the Code on our website beginning in early 2011.

4077594580
Feb. 28, 2014 LTR 4168C 0
06-0950718 000000 00
00035033

SAFE FUTURES INC
16 JAY ST
NEW LONDON CT 06320-5910

If you have any questions, please call us at the telephone number shown in the heading of this letter.

Sincerely yours,



Tamera Ripperda
Director, Exempt Organizations

EXHIBIT 4-List of Board of Directors

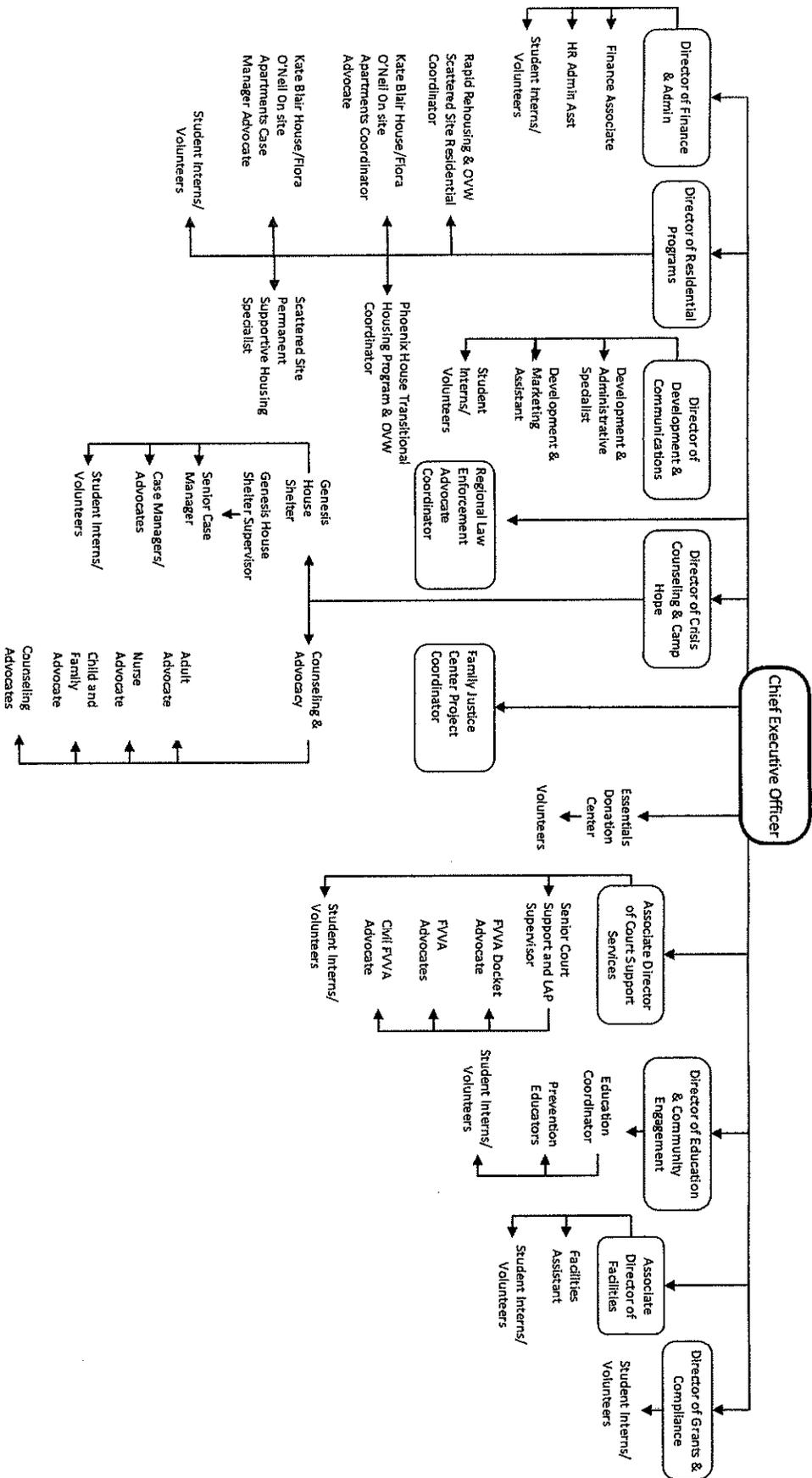
**Safe Futures, Inc.
2020-2021 Board of Directors
Business Information**

Name	Position	Affiliation & Address	Telephone/Email
Andrew R. Barker 2016	President, Co-Chair 2018	<i>President</i> ARB Holdings LLC 9 Union Park Boston, MA 02118	Cell: (617) 669-7195 andrew.barker@southendbuttery.com
Laura L. Mooney, Ph.D 2011	President, Co-Chair 2020 (President 2013-2018)	<i>Community Volunteer</i> Former Board Chair of Lyme Youth Services Bureau, additional service on several other SECT non-profits	Cell: (860) 514-1360 laura.louise.mooney@gmail.com
Michael L. Graves 2014	Vice President 2018	<i>Project Manager</i> Dominion Nuclear Rope Ferry Road Waterford, CT 06385	Cell: (860) 204-1676 michael.l.graves@dom.com
Gregory Massad Esq. 2017	Treasurer 2018	<i>Partner</i> Messier, Massad, Burdick & Flynn, LLC 21 Huntington Street New London, CT 06320	Cell: (860) 235-0775 Work: (860) 443-7014 gmassad@messiermassad.com
Lisa H. Picarazzi 2012	Secretary 2016	<i>Community Volunteer</i> Former Sr. Project Controls Specialist Dominion Nuclear	Cell: (860) 204-2448 Home: (860) 691-0085 LisaHPic@aol.com
Elsie C. Childs 2014	Director	<i>Community Volunteer</i> Volunteered at Hartford Hospital, New London Homeless Hospitality Center, and several SECT non-profits	Cell: (860) 608-4679 Home: (860) 434-1924 echilds49.ec@gmail.com
James M. Eckerle 2016	Director	<i>Business Consultant</i> 42 East 20 th Street, Apt 5C New York, NY 10003	Cell: (678) 575-2086 jamesmeckerle@gmail.com
Peter H. Eliel 2017	Director	<i>Community Volunteer</i> Former Officer of Associated Metal & Minerals	Cell: (646)549-0268 Petereliel25@gmail.com
William Horgan, MD MBA, FACEP, FAAP 2018	Director	<i>Regional Medical Director of Quality & Safety Emergency Medicine/Pediatric Emergency Medicine for Hartford HealthCare</i> 326 Washington Street Norwich, CT 06360	Wok: (860) 889-8331 ext.4455 william.horgan@hhchealth.org

**Safe Futures, Inc.
2020-2021 Board of Directors
Business Information**

Name	Position	Affiliation & Address	Telephone/Email
Patricia LaPierre 2019	Director	<i>Mohegan Tribal Council, Corresponding Secretary</i> The Mohegan Tribe 13 Crow Hill Road Uncasville, CT 06382	Work: (860) 908-2390 plapierre@moheganmail.com
Susan Noyes 2017	Director	<i>Community Volunteer</i> Safe Futures, Inc. 16 Jay Street New London, CT 06325 Former Director Training and OD AT&T	Cell: (860) 908-5770 snoyes@safefuturesct.org
Roger W. Parkman 2011	Director	<i>Realtor</i> William Pitt Sotheby's International/Essex 3 Main Street Essex, CT 06426	Cell: (203) 676-1061 rparkman@wpsir.com
George Potts 2020	Director	<i>Interim Chief of Police</i> Mashantucket Pequot Tribble Police Department 101 Pequot Trail P.O. Box 3196 Mashantucket, CT 06338-3196	Cell 860-885-8201 Work: 860-396-6654 gpotts@mptn-nsn.gov
Linda Sample 2016	Director	<i>President</i> A Thyme to Cook, Inc. (catering and event design) 426 NW Corner Road N. Stonington, CT 06359	Cell: (860) 908-5910 Work: (860) 887-5932 linda@athymetocook.com
Katherine Verano 2017	<i>Ex-Officio Non-voting Member</i>	<i>CEO</i> Safe Futures, Inc. 16 Jay Street New London, CT 06320	Cell: (860)705-9756 Work: (860) 447-0366 ext. 211 Fax: (860) 440-3327 kverano@safefuturesct.org

Exhibit 5-Organizational Chart



Katherine G. Verano

Tel. (860)-705-9756

KVerano@safefuturesct.org

SUMMARY OF QUALIFICATIONS

Have been influential in the enactment of legislative change to protect victims of domestic violence and sexual assault in the State of Connecticut. Possess strong communication, teaching and organizational skills. Extensive experience in human services and the judicial processes to strengthen community collaborations, especially on issues related to the empowerment of victims and victims' rights.

PROFESSIONAL EXPERIENCE

SAFE FUTURES, INC., New London, Ct., formerly The Women's Center of SECT,

1993 to present

- Executive Director 2017
- Director of Client Services and Facilities 2013 - 2017
- Supervisor of Court and Law Enforcement Advocates 2000 - 2013
- Court Family Violence Victim Advocate and Counseling Advocate 1994 – 2000

Executive Director

- Responsible for overall management of organization, including long range and operational planning, resource development, financial management, external relations, human resource management, public policy advocacy, program administration and oversight, and board support.
- Wrote policy and agency standards in compliance with the Connecticut Coalition Against Domestic Violence (CCADV)
- Served as the Legislative Liaison on Public Policy for Safe Futures and the Connecticut Coalition Against Domestic Violence, 2000 to present
- Serve as the Lethality Assessment Program statewide certification train the trainer of all law enforcement with the Connecticut Coalition Against Domestic Violence in Connecticut
- Serve as the Law Enforcement Council facilitator in the re certification process of domestic violence practices and model policy to all southeastern and eastern Connecticut law enforcement agencies

Katherine G. Verano

- Chief State Attorney's Office Domestic Violence Homicide Investigative Toolkit Task Force, 2019 to present
- State of Connecticut, Governor Appointed-Model Policy Governing Council for law Enforcement's Response to Family Violence, 2019 to present
- Serve on the Connecticut College and Three Rivers College Coordinated Community Response Advisory Committees (2000 – 2017)
- Assist in grant writing and presentations to funders to maintain programs and staff capacity
- Organize quarterly community roundtables to include law enforcement, judicial, child and advocacy agencies and other community partners to broaden awareness about the effects of domestic violence, educate partners and strengthen collaborative relationships
- Member of the CT Balance of State Steering Committee, 2016 to present
- Member of Coordinated Access Network (CAN) Steering Committee for Eastern CT, 2013 to present
- Member of Boston Domestic Violence Evaluation and Research Collaborative (DVPERC)

LANDMARK BANK, East Hartford, Ct.

1985-1993 Asst. Vice President, Branch
Manager, Corporate Lending

BANK OF AMERICA, Hartford Connecticut

1978-1985 Branch Manager/Bank
Officer, Internal Operations,
Finance and Bookkeeping

Education

Attended Central Connecticut State College, Tunxis Three Rivers and American Institute of Banking

Katherine G. Verano

Safe Futures Executive Director

Position Summary: The Executive Director is responsible for the overall administration and management of the Women's Center of Southeastern Connecticut, Inc., including service programs, fund-raising, and business operations. Areas of responsibility include planning and evaluation, policy development and administration, personnel and fiscal management, and public relations. This is a full-time position, hired by and directly accountable to the board of directors through its elected board chair.

Responsibilities:

1. Management and Administration

- a. In conjunction with the board of directors, develop, implement, assess and communicate strategic plans, including short-, medium- and long-range goals for the organization to ensure that community and organizational needs are being met.
- b. Develop and monitor annual operating plans.
- c. Develop and administer operational policies.
- d. Oversee all programs, services and activities to ensure that program objectives are met.
- e. Ensure compliance with funding sources and regulatory requirements.
- f. Provide information for evaluation of the organization's activities.

2. Fiscal

- a. Monitor, manage and evaluate the fiscal performance of the organization to assure quality service within projected expenditures.
- b. Develop, recommend, and monitor annual and other budgets.
- c. Supervise the business affairs of the organization to assure that its funds are expended to the best advantage possible.
- d. Provide for proper fiscal record-keeping and reporting.
- e. Submit monthly financial statements to the board of directors.
- f. Prepare and submit grant applications and funding proposals as appropriate.

3. Personnel

- a. Administer board-approved personnel policies.
- b. Ensure proper (legal) hiring and termination procedures.
- c. Oversee any and all disciplinary actions.
- d. Provide for adequate supervision and evaluation of all staff and volunteers.

4. Board Relations

- a. Assist the board chair in planning the agenda and materials for board meetings.
- b. Initiate and assist in developing policy recommendations and in setting priorities.
- c. Represent the organization at board of directors meetings.
- d. Work with the board to raise funds from the community.
- e. Carry out directives of the board of directors.
- f. Staff board committees as appropriate.

5. Public Relations

- a. Promote a positive organizational and personal image; be available to clients; develop and maintain contacts with individuals who are important to the organization.
- b. Stay abreast of industry/market needs and shifts, recommends and implements modifications to meet changing needs; manage government relations.

KAREN E. CAMBOULIVES

Tel. (860)-823-8185

KCamboulives@live.com

SUMMARY OF QUALIFICATIONS

Provide financial input into strategic and operational agency objectives as member of leadership team.

Possess excellent communication, organizational, analytical and supervisory skills and judgment.

Have created, maintained and continually improved smoothly running accounting systems, functions and processes, including financial reporting, budgeting, strategic projections and day-to-day operations.

Improved financial stability of organizations by providing enhanced information for decision making.

Managed cash flow and monitored use of organizational resources to maximize objectives.

PROFESSIONAL EXPERIENCE

SAFE FUTURES, INC., New London, CT
Director of Finance and Administration

July 2018-Present: Full-Time 5 Days/Per Week
October 2012-June 2018: Full-Time 4 Days/Per Week

- Established and documented routine monthly, quarterly and annual closing process; streamlined process to be more standardized and efficient (includes up to 60 entries or tasks including bank reconciliations, payroll accruals, monthly payroll reconciliations & fixed assets).
- Prepare budgets and financial reports for over sixty private and government grants annually.
- Prepare and submit all quarterly financial reports to government funders.
- Revamped financial reporting package; prepared and designed new reports and dashboard to help in executive decision making and forecasting.
- Work with management to create annual agency budget allocated among seven programs.
- Work with auditors to prepare all audit related schedules with no audit entries or findings.
- Completed overhaul of accounting system; streamlined classes, accounts and processes.
- Established an organized grant reporting system for tracking of temporarily restricted net assets and government grants.
- Worked with payroll manager to implement payroll integration with accounting system.
- Worked with donor database manager to integrate donor database with accounting system.
- Supervise Bookkeeper, Grant-Writer and Administrative Assistant.
- Oversee Human Resources function, technology and procurement of insurances.

ALLIANCE FOR LIVING, New London, CT
Director of Finance

April 2013-June 2018: 1 Day/Week

- Worked with Executive Director to greatly improve financial stability of organization by providing financial information and reports to assist in making key financial decisions.

- Greatly improved financial reporting of agency, achieving no audit adjusting entries and obtaining a clean Federal single audit after first year of being responsible for books of agency.
- Established cost allocation plan and implemented related cost allocation procedures.
- Established line of credit to assist with cash flow management.
- Cleaned up accounting system; streamlined classes, accounts and processes.
- Continue to train and teach Finance Manager to handle financial reporting responsibilities.

CO-OPPORTUNITY, INC., Hartford, CT
Director of Finance and Administration

July 2009-October 2012: Full-Time Employee
April 2003-July 2009: Part-Time Consultant

- Worked with management team to provide senior financial perspective to Agency directives.
- Prepared analyses and budget scenarios to provide direction in making strategic management decisions at the Board and management level.
- Maintained responsibility for oversight of all day-to-day financial functions including accounts payable, cash receipts, grant reporting, payroll and reconciliations of accounts.
- Oversaw Agency payroll and benefits procurement and administration.
- Supervised Part-time Bookkeeper, Receptionist and Purchasing function.
- Created and subsequently updated Finance policies and procedures manual.
- Worked with program managers and directors to develop budgets and monitor program spending and provide direction to ensure cost-effective programming.
- Collaborated with grants manager and program managers to develop grant budgets.
- Developed and administered annual Agency budget and departmental budgets.
- Prepared monthly financial reports, cash projections and revenue projections and presented reports monthly to the finance committee of the Board of Directors.
- Managed cash flow.
- Oversaw status of Agency investments; created an investment policy.
- Acted as Agency liaison with financial institutions and funder fiscal departments.
- Managed audit process, including bid process and preparation and co-ordination of annual audit.
- Reviewed all contracts with funding sources, making recommendations to Executive Director; monitored financial requirements for compliance to contractual agreements.
- Managed lease agreements and equipment purchases.
- Procured and managed adequate insurance coverage.
- Oversaw all administrative services and facilities including staffing, contract administration, safety and security.
- Worked with a Human Resources consultant to update Agency's Employee handbook and personnel policies.

ACCOUNTING RESOURCES, INC. (ARI), Glastonbury, CT
Accounting Manager; Non-Profit Accounting Manager

September 2002-July 2009

- Provided fully and partially outsourced accounting functions to several organizations simultaneously, establishing and maintaining efficient and effective financial systems.
- Greatly improved financial systems of multiple clients to achieve clean audits and single audits.
- Worked closely with Executive Directors and staff of clients to provide complete financial function with high level of excellence; functions performed included financial reporting, cash management, grant reporting, preparation of Agency budgets, grant budgets and budget revisions, forecasting, supervision of bookkeeping and preparation for year-end audit.
- Attained proficiency in all areas of documentation and reporting for Federal and State grants.

- Researched and implemented CT Office of Policy and Management cost allocation procedures for several clients and trained staff internally at ARI to implement for their respective clients.
- Promoted to Accounting Manager.
- Supervised several staff-accountants.

AMICORE, INC., Colchester, CT and Andover, MA
Accountant

July 1999-August 2002

- Responsible for all bookkeeping and accounting functions including financial statement generation, cash flow management, and forecasting of operations.
- Prepared company for purchase by joint venture of three Fortune 500 companies.
- Remained with company until after company moved to corporate location in Andover, MA.

SELF-EMPLOYED TAX PREPARER

1997-1999

- Prepared income tax returns for approximately fifty individuals and small businesses annually.

SNET MOBILITY, New Haven, CT
Assistant Controller

1995-1996

- Established accounting and financial reporting systems for Cellular One acquisition.
- Learned and implemented complex revenue accounting of cellular phone industry and trained subsequent staff to take over function.
- Promoted to Assistant Controller in less than one year, supervising four staff-persons.

ARTHUR ANDERSEN LLP, Hartford, CT
Senior Auditor

1992- 1995

- Supervised and conducted audits, reviews and special projects for a diverse client base including banks, hospitals, manufacturing companies and pension plans.
- Promoted to Senior Auditor.

EDUCATION

UNIVERSITY of CONNECTICUT, Storrs, CT
 MBA, Specialization in Accounting
 Bachelor of Science, Finance

December 1991
 May 1989

SKILLS

Computer Programs: Microsoft Office Suite, QuickBooks
 Languages: Bilingual French and English (Dual French/U.S. citizenship)

PART V: CONFLICT OF INTEREST QUESTIONNAIRE

**COMMUNITY DEVELOPMENT BLOCK GRANT CITY
OF NORWICH, CONNECTICUT**

**APPLICANT CONFLICT OF INTEREST QUESTIONNAIRE
2021-2022 PROGRAM YEAR**

Federal, State, and City law prohibits employees and public officials of the City of Norwich from participating on behalf of the City in any transaction in which they have a financial interest. This questionnaire must be completed and submitted by each applicant for Community Development Block Grant (CDBG) funding. The purpose of this questionnaire is to determine if the applicant, or any of the applicant's staff, or any of the applicant's Board of Directors would be in conflict of interest.

1. Is there any member(s) of the applicant's staff or any member(s) of the applicant's Board of Directors or governing body who is or has/have been within one year of the date of this questionnaire (a) a City employee or consultant, or (b) a City Council member, or (c) a member of the Community Development Advisory Committee (CDAC) member? Yes No

If yes, lease list the name(s) and information requested below:

Name of person	Job Title of person	Indicate City employee, consultant, City Council member, CDAC member or other official (named)

2. Will the CDBG funds requested by the applicant be used to award a subcontract to any individual(s) or business affiliate(s) who is/are currently or has/have been within one year of the date of this questionnaire a City employee, consultant, City Council person or Community Development Advisory Committee member? Yes No

If yes, please list the name(s) and information requested below:

Name of person	Job Title of person	Indicate City employee, consultant, City Council person, CDAC member or other official (named)

3. Is there any member(s) of the applicant's staff or member(s) of the applicant's Board of Directors or other governing body who are business partners or family members of a City employee, consultant, City Council person, Community Development Advisory Committee member? Yes No

If yes, please identify below the City employee, consultant, or Council member with whom each individual has family or business ties.

Name of member	Name of City employee, Consultant, City Council member, CDAC member or other official (named)	Indicate type of tie (Family or Business)	If family, indicate relationship

4. Have you read and understood the HUD regulation regarding conflict of interest, 24 CFR 570.611 (attached)? Yes

Name of Applicant: Safe Futures, Inc.

Signature of Applicant's Representative: _____

Title: Chief Executive Officer


 Date 2-16-2021

OUTCOME: Victims increase their safety and quality of life.				Finish
	2019 Actual	Estimated 2020	2021 Anticipated	
<i>Long Term Outcome: Victims report that they know about the available resources in the community as a result of working with a Norwich Domestic Violence Counselor/Advocate.</i>				
Total Number of Participants:	34	120	120	
Total Number of Participants Achieving Outcome:	34	108	108	
Percent Who Achieved Outcome:	100%	90%	90%	
<i>Interim Outcome: Victims learn about the effects of domestic violence on their lives through working with a Norwich Domestic Violence Counselor/Advocate.</i>				
Total Number of Participants:	34	120	120	
Total Number of Participants Achieving Outcome:	34	108	108	
Percent Who Achieved Outcome:	100%	90%	90%	
<i>Short Term Outcome: Victims develop a personalized safety plan with a Norwich Domestic Violence Counselor/Advocate.</i>				
Total Number of Participants:	22	120	120	
Total Number of Participants Achieving Outcome:	22	108	108	
Percent Who Achieved Outcome:	100%	90%	90%	
<i>Output: Victims of domestic violence from Norwich who either come to or call the Norwich office or police respond to, receive domestic violence services from Norwich Domestic Violence Counselors/Advocates.</i>				Start
Total Number of Participants:	346	475	450	
Total Participants that are Norwich Residents:	240	400	330	
Total Number of Participants Achieving Outcome:	240	400	330	
Percent Who Achieved Outcome:	100%	100%	100%	

Section 3 Contractor Affidavit

A Section 3 Business Concern is a business or organization that:

- Is 51 percent or more owned by section 3 residents; or
- Has permanent, full-time employees at least 30 percent of whom are currently Section 3 residents, or within three years of the date of first employment with the business concern were section 3 residents; or
- Has a commitment to subcontract in excess of 25% of the dollar award of all subcontracts to be awarded to such businesses describe above

This is to certify that Safe Futures, Inc. (formerly Women’s Center of Southeastern CT, Inc.)
Business Name (Print)

 Is a Section 3 Business Concern (Please read, review and implement necessary items in document entitled “Section 3 Requirements”)

 Is **NOT** a Section 3 Business Concern but the contract for work will require my business or sub-contractor to hire, train, or educate a new employee. (Please read, review and implement necessary items in document entitled “Section 3 Requirements”)

 X Is **NOT** a Section 3 Business Concern and the contract for work will **NOT** require my business or sub-contractor to hire, train or educate a new employee. (No further action is necessary unless an employee is hired during the contract period)



Authorized Signer

2-16-21

Date

Katherine Verano, Chief Executive Officer
Print Name

